NEW YORK STATE BOARD OF ELECTIONS
ELECTION OPERATIONS UNIT – ATTN: TARRY A. BREADS
40 STEUBEN STREET
ALBANY, NEW YORK 12207

APPLICATION FOR CERTIFICATION OF VOTING SYSTEM

NAME OF VENDOR: Sequoia Voting Systems

CONTACT PERSON: Sandy Green, Director of Certification

ADDRESS OF COMPANY: 717 17th St., Suite 310, Denver, CO 80202

TELEPHONE NUMBER: 303-446-8683 FAX NUMBER: 303-446-3047

E-MAIL ADDRESS: sgreen@sequoiavote.com [redacted]

[redacted]

DESCRIPTION OF VOTING SYSTEM

Democracy Suite™ is a set of products designed to meet the requirements of the United States Electoral Assistance Commission 2005 Voluntary Voting System Guidelines.

The ImageCast Precinct Optical Scan System is an Election District level optical scan ballot tabulator used in conjunction with an external ballot storage box. The unit is designed to scan marked paper ballots, interpret voter marks on the paper ballot, communicate these interpretations back to the voter either visually through the integrated LCD display or via audio through integrated headphones, and upon acceptance of the voter, deposit the ballots into the secure ballot box.

The ImageCast has a HAVA Compliant ADA module. ADA voters use an Audio Tactile Interface (ATI) and a full-sized viewing screen to make selections via an audio and/or visual interface. When the voting session is complete, the voter reviews and verifies their selections without assistance, prints a marked paper ballot that is both machine and human readable, and inserts the ballot into an optical scanner for an independent verification. Once the ballot verification is confirmed, the ballot is automatically placed in a secure ballot storage compartment without the need for Inspector intervention.

In addition to providing an ADA system for NY, the ImageCast System is a fully integrated voting system that meets all of the Election Laws and Regulations of the State, including the requirement for full face ballots. Therefore, the ImageCast System is an all-in-one solution that can be used independently to serve ADA voters (Lot II) or as a complete NY compliant voting system (Lot I).

The EMS system is operated by election supervisors from a data center. The hardware consists of: a) Election Event Definition (EED) b) Results Tally and Reporting (RTR) operator workstations, c) database server components, and d) a Gigabit Ethernet Network. This system provides functionality to consolidate results and enter write-in candidates tabulated at either the precinct or central tabulator.

VOTING SYSTEM HARDWARE INFORMATION

SELECT ONE: Per Solicitation 21231 **LOT I** **LOT II**

*(SUBMIT SEPARATE APPLICATIONS FOR EACH SYSTEM)** **X**

NAME OF SYSTEM: Democracy Suite™ (EMS Core System, Application Server, Database Server, Election Event Designer, Results, Tally & Release, Results Publishing Module, Democracy Suite Hardware), ImageCast™ Precinct Optical Scan System with ADA module.

MODEL NUMBER: 2.0

TYPE OF SYSTEM
(Select one)

DIRECT RECORD ELECTRONIC *(DRE)* **CENTRAL COUNT ABSENTEE**

PRECINCT *(OPITICAL SCAN)* **OTHER:** *(PLEASE DESCRIBE BELOW)*

DESCRIPTION *(IF OTHER)*

FIRMWARE AND SOFTWARE RELEASE INFORMATION

FIRMWARE RELEASE #: 2.0

EMS BALLOT DEFINITION SOFTWARE RELEASE #: Democracy Suite™ Election Event Designer (EED) 2.0

EMS ACCUMULATION SOFTWARE RELEASE #: Democracy Suite™ Results, Tally & Release (RTR) 2.0

(USE ADDITIONAL SHEETS IF NECESSARY)

CONDITIONAL CERTIFICATION

IF SEEKING CONDITIONAL CERTIFICATION, WHICH LANGUAGES IN ADDITION TO ENGLISH WILL BE ACCOMMODATED BY THIS SYSTEM: N/A

GENERAL INFORMATION

DO YOU OWN ALL RIGHTS TO THIS EQUIPMENT? **YES** **NO**

IF NOT, PLEASE EXPLAIN:

Sequoia Voting System has entered into an exclusive agreement with Dominion Voting Systems Corporation to sell the Democracy Suite™ product line in the State of New York. Dominion Voting Systems Corporation retains all rights to Democracy Suite™ products sold by Sequoia.

DATE VOTING SYSTEM AND USER DOCUMENTION WILL BE DELIVERED
TO THE NEW YORK STATE BOARD OF ELECTION FOR EXAMINATION: January 14th or earlier

TYPE OF BUSINESS\ORGANIZATION: **CORPORATION** **PARTNERSHIP** **INDIVIDUAL**

IF A CORPORATION, STATE OF INCORPORATION:

1) AUTHORIZED TO DO BUSINESS IN NYS? **YES** **NO**
2) MAINTAIN AN OFFICE IN NYS? **YES** **NO**
3) INDEPENDENTLY OWNED? **YES** **NO**

IF NOT INDEPENDENTLY OWNED, NAME AND ADDRESS OF PARENT COMPANY:

IS ANY PART OF THE SYSTEM MANUFACTURED OR ASSEMBLED BY ANOTHER COMPANY? **YES** **NO**

IF "YES," NAME & ADDRESS:

Jaco Electronics
145 Oser Ave
Hauppauge, NY 11788

Ansen Corporation
100 Chimney Point Drive
Ogdensburg, New York 13669

APPLICATION REQUIREMENTS

A check made payable to the STATE BOARD OF ELECTIONS in the amount of $5,000 to cover the cost of certification shall be deposited in a State Special Revenue Account. Notice shall be provided to vendor when additional funds are required to be deposited. Vendor must submit full face ballot with the application: For a DRE submit a screen shot showing the ballot layout; for Op-Scan submit a paper ballot. Vendor shall also submit all attestations on their company letterhead. The attestations shall meet the following requirements of New York regulations: 6209.4(H) 1-4, 6209.4(J) and 6209.5(G).

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ATTESTATIONS

I HEREBY AGREE TO PROVIDE THE VOTING SYSTEM AND TO FURNISH ALL DOCUMENTATION REQUIRED BY PART 6209 OFFICIAL COMPILATION OF CODES, RULES AND REGULATIONS OF THE STATE BOARD OF ELECTIONS OR ITS DESIGNEE UPON DEMAND AND FURTHER AGREE TO REIMBURSE THE STATE BOARD FOR THE COST OF EXAMINATION WITHIN THIRTY (30) DAYS OF RECEIPT OF NOTIFICATION OF SUCH COST. I UNDERSTAND THAT I MAY BE REQUIRED TO PLACE THE VOTING SYSTEM IN THE STATE BOARD'S POSSESSION FOR AS LONG AS SUCH SYSTEM IS IN USE IN NEW YORK STATE.

I HEREBY AFFIRM THE FOLLOWING OBLIGATIONS UNDER SECTION 6209.4:

THE SUBMITTED VOTING SYSTEM COMPLIES WITH ALL APPLICABLE RULES ADOPTED BY THE STATE BOARD, AND WITH ALL APPLICABLE 2005 VOTING SYSTEM GUIDELINES NOT INCONSISTENT WITH STATE LAW OR THESE REGULATIONS, AND IS SUITABLE FOR USE BY VOTERS.

THE VENDOR WILL QUOTE AND PROVIDE A STATEWIDE, UNIFORM PRICE FOR EACH UNIT OF THE VOTING SYSTEM'S EQUIPMENT.

THE SUBMITTED VOTING SYSTEM'S SOFTWARE DOES NOT CONTAIN ANY CODE, PROCEDURES OR OTHER MATERIAL WHICH MAY DISABLE, DAMAGE, DISARM OR OTHERWISE AFFECT THE PROPER OPERATION OF THE VOTING SYSTEM, ANY HARDWARE, OR ANY COMPUTER SYSTEM OR OTHER PROPERTY OF THE STATE BOARD OR COUNTY BOARD.

ANY SUBMITTED VOTING SYSTEM PROVIDES METHODS THROUGH SECURITY SEALS OR DEVICE LOCKS TO PHYSICALLY SECURE AGAINST ATTEMPTS TO INTERFERE WITH CORRECT SYSTEM OPERATIONS. SUCH PHYSICAL SECURITY SHALL GUARD ACCESS TO MACHINE PANELS, DOORS, SWITCHES, SLOTS, PORTS, PERIPHERAL DEVICES, FIRMWARE AND SOFTWARE.

ALL VENDORS SHALL SUBMIT WITH THEIR APPLICATION FORMS, INFORMATION REGARDING PAST OR PENDING COURT CASES INVOLVING THEIR VOTING SYSTEMS OR ITS MAJOR COMPONENTS, ANY EVIDENCE OF FRAUD, FAULTY SYSTEMS, OR FAILURE TO CORRECT PAST PROBLEMS.

I HEREBY AFFIRM ALL OBLIGATIONS UNDER SECTION 6209.5, INCLUDING THE REQUIREMENT FOR DISCLOSURE OF ANY PECUNIARY INTEREST IN OR ANY DIRECT OR INDIRECT CONTROL OVER ANY TESTING LABORATORY WHICH MAY BE USED IN CONNECTION WITH THE CERTIFICATION OR ACQUISITION OF ANY VOTING SYSTEM.

Howard Cramer

1/4/2008
DATE

SIGNATURE OF APPLICANT

Vice President of Sales
TITLE