

NEW YORK STATE BOARD OF ELECTIONS  
ELECTION OPERATIONS UNIT  
40 STEUBEN STREET  
ALBANY, NEW YORK 12207-2109

APPLICATION FOR CERTIFICATION OF VOTING SYSTEM

NAME OF VENDOR: Sequoia Voting Systems, Inc. CONTACT PERSON: Sandra Green  
ADDRESS OF COMPANY: 717 17<sup>th</sup> St., Suite 310 - Denver, CO 80202  
TELEPHONE: 303-775-3334 FAX: 303-446-3047 EMAIL: [sgreen@sequoiavote.com](mailto:sgreen@sequoiavote.com) MOBIL: 303-775-3334  
FEDERAL TAX IDENTIFICATION NUMBER: 37-1274619

DESCRIPTION OF VOTING SYSTEM

Democracy™ Suite is a set of products designed to meet the requirements of the United States Electoral Assistance Commission 2005 Voluntary Voting System Guidelines.

The Imagecast Precinct Tabulator is a precinct optical scan ballot tabulator used in conjunction with an external ballot storage box and audio vote system with voter verifiable paper audit trail (VVPAT). The unit is designed to scan marked paper ballots, interpret voter marks on the paper ballot, communicate these interpretations back to the voter either visually through the integrated LCD display or via audio through integrated headphones; and upon acceptance of the voter, deposit the ballots into the secure ballot box. ADA requirements are met using an integrated Audio Tactile Interface (ATI) that is tethered to the Imagecast. This provides the voter with the ability to create a machine and human readable marked paper ballot for direct independent verification (Direct IV) entirely through an audio interface.

The Imagecast Central Scan Tabulator is an optical scan ballot tabulator system for centralized counting centers. Using identical configurations as the precinct system, the central system scans marked paper ballots, interprets voter marks on the paper ballot, stores results, and tabulates each vote from each paper ballot.

The system is operated by election supervisors from a centralized data center. The hardware consists of: a) central scanner hardware, b) central scanning workstations, c) Results and Tally workstations, d) database server components, and e) a Gigabit Ethernet Network. This system provides functionality to consolidate results and enter write-in candidates tabulated at either the precinct or central tabulator.

VOTING SYSTEM HARDWARE INFORMATION

TYPE OF SYSTEM

DIRECT RECORD ELECTRONIC  PRECINCT O/S  ABSENTEE  OTHER

MODIFICATION TO AN EXISTING NYS CERTIFIED SYSTEM:  YES  NO IF YES, CHECK APPROPRIATE BOX BELOW

MODIFICATION TO HARDWARE  MODIFICATION TO SOFTWARE  MODIFICATION TO HARDWARE AND SOFTWARE

NAME OF SYSTEM: Democracy™ Suite (EMS Core System, Application Server, Database Server, Election Event Designer, Results, Tally & Release, Results Publishing Module, Democracy Suite Hardware), ImageCast™ Precinct Ballot Imager with AudioVote Add-On, ImageCast™ Central Ballot Imager.

MODEL NUMBER: 1.0

LIST ALL AUXILIARY COMPONENTS: Ballot Box

FIRMWARE AND SOFTWARE RELEASE INFORMATION

IF YOU CHECKED YES TO MODIFICATION TO SOFTWARE ABOVE PLEASE ENTER BOTH THE CURRENT CERTIFIED RELEASE # AND THE MODIFIED RELEASE # BELOW

FIRMWARE RELEASE #: 1.0  
EMS BALLOT DEFINITION SOFTWARE RELEASE #: Democracy™ Suite Election Event Designer (EED) 1.0  
EMS BALLOT ACCUMULATION SOFTWARE RELEASE #: Democracy™ Suite Results, Tally & Release (RTR) 1.0

IS SYSTEM FEDERALLY CERTIFIED? QUALIFICATION #:

The Democracy Suite Imagecast<sup>TM</sup> Precinct Optical Tabulator, Central Optical Tabulator, and EMS proposed for N.Y.S. is not yet federally qualified but is currently undergoing testing at a federal qualified Independent Testing Authority (ITA) for certification to the 2005 Voluntary Voting System Guidelines (VVSG).

### GENERAL INFORMATION

DO YOU OWN ALL RIGHTS TO THIS EQUIPMENT? No

IF NO, EXPLAIN: Sequoia Voting System has entered into an exclusive agreement with Dominion Voting Systems Corporation to sell the Democracy Suite<sup>TM</sup> product line in the State of New York. Dominion Voting Systems Corporation retains all rights to Democracy Suite<sup>TM</sup> products sold by Sequoia.

TYPE OF BUSINESS ORGANIZATION: Corporation

CORPORATION     PARTNERSHIP     INDIVIDUAL

IF A CORPORATION:

STATE OF INCORPORATION: Delaware

AUTHORIZED TO DO BUSINESS IN N.Y.S.? Yes

MAINTAIN OFFICE IN N.Y.S.? Yes, Operations Service Center in Jamestown, NY

INDEPENDENTLY OWNED? No.

IF NO, NAME AND ADDRESS OF PARENT COMPANY: Smartmatic Corporation  
1001 Broken Sound Parkway  
Boca Raton, FL 33487

ID ANY PART OF THE SYSTEM MANUFACTURED OR ASSEMBLED BY ANOTHER COMPANY? Yes

Anson Corporation (Ogdensburg, NY)

JACO Electronics (Hauppauge, NY)

RECEIVED  
MAY 11 2006  
AM 11:00  
ELECTRONIC MAIL

### VOTING SYSTEM HISTORY INFORMATION

ALL JURISDICTIONS WHERE PRESENTLY CERTIFIED:

ALL JURISDICTIONS WHERE SYSTEM HAS BEEN USED:

The following is a small sample of some of the jurisdictions where similar variations of the proposed system have been used:

- City of Vaughan, ON, Canada
- City of Oshawa, ON, Canada
- City of St John's NLD, Canada
- City of Lethbridge, AB, Canada
- City of Montreal, QC, Canada
- City of Westminster, BC, Canada
- Warwickshire County, United Kingdom
- City of North Vancouver, BC, Canada
- City of Kamloops, BC, Canada
- City of Peterborough, ON, Canada,
- Stratford District, United Kingdom
- City of Kitchener, ON, Canada

## APPLICATION REQUIREMENTS

A check made payable to the State Board of Elections in the amount of \$5,000.00 which shall be placed in the State Special Revenue Account. Notice shall be provided to vendor when additional funds are required to be deposited.

Three copies of the operating or instructions manuals pertaining to the voting system are to be included with the completed application.

No application shall be deemed to be filed until all documentation, required under §§ 6209.4 and 6209.5 of the Rules and Regulations of the State Board have been submitted to such Board or its designee.

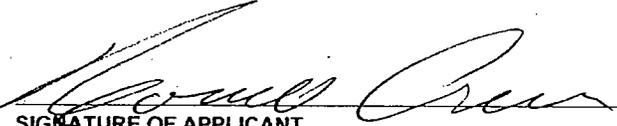
## ATTESTATIONS

I HEREBY AGREE TO PROVIDE THE VOTING SYSTEM AND TO FURNISH ALL DOCUMENTATION REQUIRED BY PART 6209 OFFICIAL COMPILATION OF CODES, RULES AND REGULATIONS OF THE STATE BOARD OF ELECTIONS OR ITS DESIGNEE UPON DEMAND AND FURTHER AGREE TO REIMBURSE THE STATE BOARD FOR THE COST OF EXAMINATION WITHIN THIRTY (30) DAYS OF RECEIPT OF NOTIFICATION OF SUCH COST. I UNDERSTAND THAT I MAY BE REQUIRED TO PLACE THE VOTING SYSTEM IN THE STATE BOARD'S POSSESSION FOR AS LONG AS SUCH SYSTEM IS IN USE IN NEW YORK STATE.

I HEREBY ACKNOWLEDGE THAT I HAVE READ AND UNDERSTAND MY OBLIGATIONS UNDER §6209.4(l), REQUIRING DISCLOSURE OF FINANCIAL CONTRIBUTIONS MADE BY ANY OFFICER, CONTROLLING SHARE HOLDER OR THE VENDOR WITHIN THE UNITED STATES OF AMERICA TO ANY POLITICAL PARTY OR CANDIDATE WITHIN 2 YEARS OF SUBMISSION OF THIS APPLICATION AND HAVE PROVIDED THE SAME.

I HEREBY ACKNOWLEDGE THAT I HAVE READ AND UNDERSTAND MY OBLIGATIONS UNDER § REQUIRING DISCLOSURE OF ANY PECUNIARY INTEREST IN OR ANY DIRECT OR INDIRECT CONTROL OVER ANY TESTING LABORATORY WHICH MAY BE USED IN CONNECTION WITH THE CERTIFICATION OF THIS VOTING SYSTEM.

7/13/07  
DATE

  
SIGNATURE OF APPLICANT

VP Sales  
TITLE

98-03114 08/17/07

STATE BOARD OF ELECTIONS  
STATE OF NEW YORK