



New York State Board of Elections Annual Report 2009



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STATE BOARD OF ELECTIONS

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Douglas A. Kellner
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Evelyn J. Aquila
Commissioner

Robert A. Brehm
Co-Executive Director

To: The Honorable David Paterson, Governor
Members of the New York State Legislature

We are pleased to submit to you the New York State Board of Elections' 2009 Annual Report. This report provides a comprehensive review of board programs and accomplishments during the calendar year 2009.

The Board's mission consists of the oversight of each County Board of Elections and the Board of Elections in the City of New York, as well as statewide compliance with the Help America Vote Act and the National Voter Registration Act. The Board, among other things, administers several critical programs, including the statewide voter registration list (NYSVoter), all agency-based registration, and the voting system certification program. In addition to ensuring fair and broad ballot access for hundreds of candidates from throughout the State, the Board is committed to the active investigation of election law violations, and vigorous oversight of enforcement of campaign financial disclosure filing requirements.

There were several significant accomplishments in 2009. The Board voted in December to certify the use of new optical scan voting systems for use throughout the state beginning with the 2010 Fall elections. The full certification process was a long and arduous road complete with numerous setbacks which culminated in the completion of a testing process that included more than 13,000 individual test steps. Nonetheless, the certification of the Dominion ImageCast machine and the Election Systems & Software DS-200 and AutoMark ballot marking device represent the most far-reaching overhaul of the voting process in New York in more than 100 years.

Prior to the board's vote to certify the new systems, two separate Pilot Projects were undertaken in the Primary and General elections to provide real-world conditions for the new systems. Nineteen counties chose to participate in the Pilot on a county-wide basis for both elections. A further 27 counties utilized the new systems on a more limited basis.

The New York State Board of Elections has worked diligently to embrace each of the new programs it has faced, and is recognized on the national level as a leader in enhancing the participation of eligible voters to the elective franchise. Its commitment to providing open, accessible and accurate elections is without equal.

Respectfully submitted,

Douglas A. Kellner
Co-Chair

Evelyn J. Aquila
Commissioner

James A. Walsh
Co-Chair

Gregory P. Peterson
Commissioner



Front Row: L to R, Commissioner James A. Walsh, Commissioner Gregory P. Peterson, Commissioner Evelyn J. Aquila, Commissioner Douglas A. Kellner.
Back Row: L to R, Co-Executive Director Todd D. Valentine, Co-Executive Director Stanley L. Zalen¹.

Mission Statement

The State Board of Elections was established in the Executive Department, June 1, 1974 as a bipartisan agency vested with the responsibility for administration and enforcement of all laws relating to elections in New York State. The Board is also responsible for regulating disclosure and limitations of a Fair Campaign Code intended to govern campaign practices. In conducting these wide-ranging responsibilities, the Board offers assistance to local election boards and investigates complaints of possible statutory violations. In addition to the regulatory and enforcement responsibilities, the board is charged with the preservation of citizen confidence in the democratic process and enhancing voter participation in elections.



1. Mr. Zalen retired in October, 2009. Robert A. Brehm was appointed as his successor.

PERSONNEL DIRECTORY

Commissioners

Douglas A. Kellner
Co-Chair

James A. Walsh
Co-Chair

Evelyn J. Aquila
Commissioner

Gregory P. Peterson
Commissioner

Robert A. Brehm
Co-Executive Director

Donna Mullahey
Secretary

Todd D. Valentine
Co-Executive Director

Maryellen Reda
Secretary

Office of the Special Counsel

Kimberly A. Galvin, *Special Counsel*
Paul Collins, *First Deputy Counsel*

Office of the Enforcement Counsel

Elizabeth C. Hogan, *Enforcement Counsel*
William J. McCann, Jr., *Special Deputy Counsel*

Campaign Financial Disclosure

Patricia M. Lloyd, *Associate Accountant*
Josephine T. Jackson, *Senior Accountant*

Public Information

John W. Conklin, *Dir. of Public Information*
Vacant, *Dep. Dir. of Public Information Services*

Agency-Based Voter Registration

Gregory Fiozzo, *Coordinator of NVRA Operations*
Patrick Campion, *Coordinator of Special Projects*

Election Operations & Services

Anna E. Svizzero, *Director of Election Operations*
Joseph T. Burns, *Dep. Dir. of Election Operations*

Information Technology

George Stanton, *Chief of Data Processing Servs*
Daniel E. Valvo, *Mgr of Data Processing Servs*

Administrative Office

Thomas Jarose, *Assoc. Personnel Administrator*
Colleen Koch, *Administrative Assistant*

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Counsel's Office

Legal Opinions

The Office of Special Counsel is responsible for preparing responses to requests for formal opinions from the board. These formal opinions serve to further clarify certain sections of the Election Law. The Board issued two formal opinions for 2009, and numerous informal opinions throughout the course of the year.

You may obtain copies of individual opinions or a complete set of opinions by visiting the Board's website.

Legislative Activities

Counsel's office, in consultation with the executive staff, regularly monitors all legislative action which could impact the Board and the election process in New York. Such activities include attending legislative committee meetings, responding to inquiries regarding legislation, and responding to requests for comments on legislation. In addition, Counsel's office is responsible for drafting any legislative proposals of the Board. In addition to any New York State legislative initiatives, the office has worked extensively with other members of the staff in reviewing any Federal legislative proposals that may have an effect on elections in New York.

The following is a brief summary of significant Legislation activity in 2009:

Chapter Number	Summary
489	Provides that a voter claiming to live within an election district in which the voter seeks to vote being advised of the proper polling place and election district
464	Relates to ballots which are counted by machine and states the manner in which ballots are to be delivered; repealer
462	Relates to the qualifications used by the board of elections for establishing the minimum number of voting machines
426	Makes provisions for primary care givers to vote in general elections and school elections by absentee ballots
165	Allows military voter to apply for a military ballot by facsimile transmission or electronic mail; extends time for receipt of special federal and military ballots

Election Law Inquiries

The Office of Special Counsel handles general questions on election, excluding most enforcement matters. Local boards of elections, town clerks, elected officials, candidates, political committees, and involved citizens all rely on the expertise of the board’s attorneys in interpreting the law. It is estimated that the Office of Special Counsel received and responded to several hundred inquiries regarding administration and interpretation of the Election Law.

Litigation

The Office of Special Counsel represented the Board in approximately 20 new court actions in 2009.

The following is a brief summary of the significant litigation activity in 2009:

<i>LITIGATION SUMMARY</i>
<p><i>United States v. New York State</i></p> <p>In 2006, the United States Department of Justice brought suit against the State of New York and the State Board of Elections to enforce provisions of the Help America Vote Act. The State Board and the Department of Justice established a consent decree with the Court setting forth a plan which would bring the State into compliance as soon as possible. At a minimum, the Counsel's Office participates in weekly monitoring calls with the Department of Justice. This monitoring has required that the office become very involved in pilot program throughout the State in which the machines were utilized and also with the full machine deployment currently underway.</p> <p>This case has also been the basis of several additional lawsuits brought in 2010.</p>
<p><i>CIBER, INC., vs State of New York</i></p> <p>CiBER, INC. brought suit against state of New York, New York State Office of General Services, and the New York State Board of Elections for breach of agreement. CIBER INC was to provide assistance in the examination and testing of electronic or computerized voting systems related to the State of New York's democratic voting processes, and was to be paid for its services in accordance with the terms of the agreement. The New York State Attorney General's Office settled the litigation matter on behalf of the New York State Board of Elections.</p>

Voter Registration Unit

Agency-Based Voter Registration

Since 1995, the New York State Board of Elections has been assisting and guiding participating state agencies in understanding and executing their voter registration responsibilities mandated by the National Voter Registration Act of 1993 (NVRA) and its corresponding state laws. The intent of the program is to offer individuals the opportunity to register to vote when they apply for or renew a driver's license, or when they apply for services at any of the approximately 700 state offices that participate in the program.



Including the Department of Motor Vehicles, 16 New York State agencies participate in the agency-based voter registration program. Designated as state agencies which provide public assistance are the Department of Social Services and the Department of Health. Designated as state agencies that provide programs primarily engaged in providing services to people with

disabilities are the Department of Labor, Office for the Aging, Division of Veterans' Affairs, Office of Mental Health, Office of Vocational & Educational Services for Individuals for Disabilities, Commission on Quality of Care & Advocacy for Persons with Disabilities, Office of Mental Retardation & Developmental Disabilities, Commission for the Blind and Visually Handicapped, Office of Alcoholism & Substance Abuse Services, State University of New York Disability Offices, City University of New York Disability Offices, and certain offices which administer programs established or funded by such agencies. Additional state agencies designated as voter registration sites are the Department of State and the Division of Workers' Compensation.

Registration Statistics

During 2009, 384,915 voter registration applications or transactions were received by county boards of elections which resulted from the efforts of state agencies. The Department of Motor Vehicles yielded the highest volume of registration applications among the various agencies mandated by the NVRA, accounting for 77% (298,191) of the total number of voter registration applications or transactions in the state. The remaining agencies/programs participating in the program accounted for 22% (85,798). Armed Services recruiting offices accounted for 0 applications. Voter registration applications and transactions incorrectly sent to the state board by mail and forwarded to the county boards of elections accounted for 926 of the above total.

Sources of Voter Registration

Motor Vehicles	298,191
Public Assistance Agencies	76,987
Disability Agencies	7,661
State Designated Agencies	1,150
By Mail	926
Armed Services	0
Total	384,915

Agency-Based Voter Registration Statistics

<u>Year</u>	<u>New Registrations</u>	<u>Address Changes</u>	<u>Enrollment Changes</u>	<u>Name Changes</u>
1995	316,627	64,005	23,108	4,644
1996	326,356	132,169	33,855	6,356
1997	273,190	171,275	32,773	8,164
1998	238,961	160,474	36,635	9,205
1999	220,771	156,619	40,089	9,400
2000	194,170	135,255	25,270	9,322
2001	191,403	129,267	26,550	10,496
2002	179,684	123,636	30,290	10,354
2003	187,100	125,627	31,403	10,949
2004	217,289	137,926	38,691	12,359
2005	147,792	106,395	19,569	9,314
2006	144,977	94,685	15,912	8,452
2007	166,341	89,779	18,113	9,665
2008	203,486	98,763	25,722	11,157
2009	160,690	97,747	26,353	10,665

Training

The State Board of Elections is responsible for the development of training materials and presentation of training programs on the requirements and implementation of the agency-based voter registration program. In 2009, the bi-annual regional agency-based voter registration training offerings were presented to the participating NVRA sites in New York State. State Board staff continues to provide updated training and reference materials as well as on-going telephone guidance and support to agency program liaisons, site personnel in all offices offering agency-based voter registration, as well as to county boards of election. The following is a listing of the dates and locations of the agency-based training that was provided in 2009.

Agency-Based Voter Registration Regional Training

<u>DATE</u>	<u>LOCATION</u>
6/4-5/09	New York City
10/1-2/09	New York City

NVRA Informational Newsletter

An NVRA Newsletter continues to be published bi-annually by the State Board of Elections every January and July and is distributed to each participating voter registration site and agency program coordinator. The purpose of the newsletter is to provide program and training information to agencies participating in the agency-based voter registration program. The newsletter contains pertinent agency-based registration guidelines, procedural recommendations, voter registration deadlines, and upcoming training information. This bulletin has been a proven tool for periodically communicating useful information and guidance to NVRA sites.

Agency Oversight

The success of the agency-based registration program relies on cooperation among the participating state agencies, county boards of elections, and the New York State Board of Elections. Due to the numerous and unique differences in clientele and services provided by each of the agencies, the administrative policies at each agency's participating offices and programs are conducted at the discretion of each individual state agency, under the guidance, input, approval and support of the State Board of Elections. Also, staff responds to all inquiries, and acts to assist agency program coordinators, site personnel, and county board staff in resolving administrative and procedural issues in an effort to ensure effective and efficient operation of the agency-based registration program in New York State.

In addition, statistical reports containing data on voter registration activity for all agency-based sites are mailed to agency commissioners and

program coordinators each month. Review of these reports enables program coordinators to monitor voter registration activity and program compliance, as well as identify inconsistencies at each participating office. This information also assists the State Board of Elections in evaluating the workload placed on county boards of elections offices by NVRA program requirements.

Distribution of NVRA Program Supplies

Supplies for the agency-based registration program are shipped weekly by NVRA staff as requested by participating sites. Constant tracking of supply order and shipping dates is made possible by a computerized supply order and inventory system specifically developed for monitoring distribution of NVRA program materials. The system also provides staff with current inventory balances to ensure that supplies, including forms in multiple languages as required by the Voting Rights Act, are reordered as needed. The New York State Board of Elections processed 633 individual supply shipments to participating NVRA sites during 2009.

In addition, the State Board of Elections provides “read only” copies of the NYS voter registration form in both Braille and large print formats. Copies of both versions as well as a poster-sized version of the agency-based voter registration form are provided to agencies and programs participating in the NVRA program that serve people with disabilities upon request.

The State Board also distributed mail registration forms to institutions of the State University of New York for inclusion in admission and orientation packets of students enrolling in the September 2009 semesters.

Voter Registration Cancellations

When New York State residents relocate to another state or when out-of-state residents move into New York State and subsequently register to vote, a notice of registration cancellation is returned either to a county board of elections or the New York State Board of Elections so that voter registration rolls may be updated. In 2009, staff at the State Board of Elections processed 61,315 New York State and 36,413 out-of-state registration cancellations and forwarded them to the appropriate county board of elections or state election

official.

DMV Address Change Requests

The New York State Board of Elections assists the Department of Motor Vehicles with the distribution of customer address change requests resulting from licensing or driver I.D. transactions by counting, sorting and forwarding them monthly to county boards of elections. Also received from the Department of Motor Vehicles and processed by state board staff, are the DMV internet change-of-address request forms which have been downloaded by customers, completed, and forwarded to DMV. The state board distributed 38,557 address change requests received from the Department of Motor Vehicles to county boards during 2009.

County HAVA Funds Program

The Help America Vote Act (HAVA) has provided funds to the State of New York for poll worker training, voter education, and poll site accessibility. Since June 2006, the State Board has been overseeing the grant application process, as well as the disbursement of federal and state funds, to further the HAVA and State program objectives. The Poll Site Access Improvement Program provides funds to county boards of elections to assist them in ensuring that all New York polling places are accessible and provide the same opportunity for all voters to participate in the election process. The Voter Education and Poll Worker Training Program provides funds to county boards of elections to implement programs to educate poll workers and the general public on the proper use of new voting systems.

Poll Site Access Program

The New York State Board of Elections has received funding from State appropriations and from the Department of Health & Human Services to establish, expand, and improve access to and participation by individuals with a full range of disabilities in the elections process. The polling place access improvement funds will assist county boards in undertaking minor temporary improvements or renovation projects, and the purchase of proper signage,

materials, and low-tech devices to help assist persons with disabilities on election days and to assure voter privacy and independence. The funds may be used to make polling places, including parking, path of travel, door hardware, entrances, exits, and voting areas of each polling facility, accessible to individuals with a full range of disabilities (e.g. impairments involving vision, hearing, mobility, dexterity, emotional, or cognitive) through the use of varied accessibility tools (e.g. ramps, handrails, and signage).

Poll Worker Training and Voter Education Program

The New York State Board of Elections has received HAVA funds to be dispersed and used by county boards for the specific and limited purpose of advancing Voter Education and Poll Worker Training. County Boards will implement programs to educate individuals on the proper use of new voting systems, including ballot marking devices. These efforts are intended to help bolster public confidence in the election process by providing information to election administrators on methods for keeping the process secure while ensuring that every eligible voter can cast a vote and have that vote counted. Training and education must extend to all voters, including those with a full range of disabilities, as well as those with language barriers.



The On-line Poll Worker Training Program continued to be available in 2009 to further poll worker training. In 2008, the State Board created the On-line Poll Worker Training Project as a supplemental means to train poll workers. Our solution provider, SOE Software, accumulated existing training materials. The project was an inclusive effort to deliver training to poll workers through both a written manual and an online training platform. SOE Software has collaborated with the State Board and a bi-partisan Advisory Group consisting of seven counties and the New York City Board of Elections. This resulted in the development and distribution of a county-level administrator/user manual of the online poll-worker training platform, as well as an instructor manual and a student poll-worker training manual. Full use of the on-line training platform was completed in October 2008 and its use has been ongoing.



The State Board of Elections, in conjunction with the New York Broadcasters' Association and the SUNY New York Network, produced radio and television public service announcements (PSAs) which aired from August 2009 through November 2009. The PSAs informed voters of the availability of voting systems in the polling sites, directing them to the State Board's www.Vote-NY.com voter information webpage for further instructions on how to use the particular voting equipment available within their county. More than 136 radio and 24 television stations participated in the campaign accounting for approximately 14,600 radio spots and 3,100 television spots during the fall election cycle. This collaboration with the state Broadcaster's Association enabled the State Board to leverage its advertising dollars for a yield of more than 10 to 1. Also, the voter education website serves as a recruiting tool to interest the public in becoming poll workers.

Election Operations Unit



The Election Operations Unit of the New York State Board of Elections has several key areas of responsibility, including the facilitation of ballot access efforts by candidates for a variety of public offices and party positions, oversight and support of the State's 62 County Boards of Elections, and the certification of new voting systems. The Unit engages in day-to-day communication with county boards of elections and the general public on a broad array of election-related topics. Of significant note is the Unit's strong focus on the certification and piloting of new voting systems for use in New York State.

Voting System Certification Activities and Support

The Election Operations Unit continued to carry out a high volume of work relative to compliance with the Help America Vote Act (HAVA) in 2009. Specifically, the Unit was responsible for the following activities, and many others, related to the certification of new voting systems intended for sale in New York State:

- Extensive work with the Independent Testing Authority (ITA) SysTest Labs, and project consultant NYSTEC, regarding the following components of voting system certification:
 - development and execution of 26 test cases based upon over 1,500 requirements provided for in statute, regulations, and the U.S. Election Assistance Commission's voluntary voting system guidelines
 - discussion in response to several cycles of updated software, firmware, and hardware from vendors
 - updating of the Technical Data Package (TDP) provided by vendors
 - updating of source code submitted by vendors
 - performing of trusted builds
 - performing of hash checking
 - conduct of volume, stress, and accuracy testing

- hosting of a component of certification testing in a public and central location in Albany
- development and finalization of vendor-specific test reports, and
- development and finalization of the Unit's recommendation on certification for the Commissioners of the State Board of Elections

Functional testing proved that both systems tabulated ballots accurately. It also offered preliminary throughput data concerning the number of voting devices necessary for processing voters, based upon the number of registered voters in any given district.



Throughout the certification process, Election Operations engaged in numerous informational meetings and discussions with the members of CEMAC (Citizens Election Modernization Advisory Committee), soliciting input on multitudinous issues and questions. The Unit assisted in the arranging and hosting of meetings, provision of detailed technical and related information, and generally availed itself of the Committee's feedback.

The Unit also worked closely with security consulting firm NYSTEC (New York State Technology Enterprise Corporation), and coordinated numerous working and planning sessions requiring the combined expertise of Election Operations, SysTest Labs, and NYSTEC during the entirety of 2009 certification testing

efforts. The Unit also worked closely with NYSTEC as they provided independent security consulting advisory services. This effort encompassed wide-ranging topical discussions and research that culminated with formal reports submitted to SBOE, the crafting of security policy guidance to County Boards, and the development of recommended compensating controls as a result of testing performed by the ITA.

Extensive time was also invested by the Unit in the creation and refinement of new forms, policies, and procedural documents, disseminated via an ftp (file transfer protocol) site specifically set up for the purpose of making such materials readily available to County Boards.

At the conclusion of testing by SysTest Labs, Election Operations, in close consultation with both SysTest and NYSTEC, reviewed and discussed the result of the over 13,000 individual test steps and consequent assessment and recommendations.

Primary and General Election Pilot Projects

Fusing technical and operational support to County Boards with certification activities, the Unit undertook a Primary Election Pilot Project in 2009. This Pilot was initiated in order to afford County Boards of Elections the opportunity to deploy new voting systems in a phased manner, to aid in a smooth transition from lever voting machines to optical scan voting technology. Additionally, the State Board and County Boards of Elections would have the opportunity to benefit from the collective experience of a secure and controlled election utilizing the scanners in tandem with ballot marking device equipment which had been deployed over the past several years. Boards would also be able to share and learn from live experiences resulting from the implementation of new and unique procedures as well as corresponding forms. Nineteen County Boards participated in the Pilot Project on a county-wide basis, and twenty-seven County Boards conducted the Pilot in a limited number of their poll sites.

Prior to authorizing the Pilot Program, the State Board's Commissioners were determined to ensure that voting systems were safe and accurate to use in a state-wide pilot project, as certification testing was not yet completed. To that end, and with the participation and assistance of CEMAC, functional testing was

conducted by Unit staff, to validate the logic and accuracy of the systems, and consisted of ballot configurations for four unique ballot styles, followed by the creation, validation, casting, canvassing and auditing of corresponding test ballots.

Functional testing proved that both systems tabulated ballots accurately. It also offered preliminary throughput data concerning the number of voting devices necessary for processing voters, based upon the number of registered voters in any given district and voting system thresholds.



During the course of time leading up to Primary Day, Unit staff fielded numerous requests for support and problem resolution from County Board personnel. The Unit collected samples of ballot layouts, notices to candidates, confirmation of pre-election testing, training materials, inspector manuals, voter education materials, and other documentation as an aspect of this effort.

On Primary Day, staff provided help desk support to County Boards, and established an on-site presence in many poll sites and Boards throughout the state. Counties visited included: Erie, Chautauqua, Steuben, Yates, Seneca, Hamilton, Fulton, Saratoga, Schenectady, Albany, Jefferson, Oswego, Madison, Cayuga, Cortland, and Broome.

The Unit led a General Election Pilot Project, to further the learning experiences to be gained by County Boards, and enhance the potential “lessons learned”

opportunities from a statewide perspective.

At the General Election, Election Operations staff monitored the Pilot in eighteen of the forty-six participating counties via on-site visits. Observing the opening and closing of poll sites, the election process utilizing optical scanners and ballot marking devices (BMD's), Unit staff visited poll sites in the counties of Franklin, St. Lawrence, Lewis, Herkimer, Oneida, Jefferson, Oswego, Madison, Schenectady, Albany, Montgomery, Otsego, Greene, Orange, Putnam, Sullivan, Delaware, and Schoharie. Care was taken to speak with voters, poll workers, and County Board staff.

Further, one member of the Unit observed, the post election audit process conducted in the counties of Fulton and Montgomery following the General Election. This Post Election Audit process is intended to confirm system accuracy in any given election, and is conducted pursuant to compliance expectations provided for in statute, regulation, and the corresponding procedures drafted by the State Board. The purpose of these visits was to observe the conduct of the required 3% audit, as well as to obtain feedback concerning the procedures and forms disseminated to County Boards by the State Board for this process.

In addition to procedural guidance for poll site use of scanners, conduct of the 3% audit, and myriad relevant instructional papers, Election Operations developed a 'best practices' report on "Pens, Seals, Locks, and Tips" for use by County Boards as they prepared for the General Election, based on information gathered from the Primary Day Pilot Project. A "Voter Flow Averages" document was also developed and distributed as a tool for inspectors to track voter activity, enabling County Boards to make informed choices concerning the number of privacy booths and scanners needed to meet poll site needs.

Both Pilot Projects were successful, and optical scan technology was effectively implemented for use by voters across New York State. Experience gained by voters, County Board staff, and Election Operations Unit personnel is invaluable as we approach the next challenge on the horizon – full deployment throughout New York State in 2010. Further adjustments to procedural documents and corresponding forms will continue to be made, as all undergo a continuous review in response to suggestions and feedback offered by County

Boards. The Unit is working with a Pilot Project Review Committee designated by the State's Election Commissioners' Association, as a significant body of work remains to be done, as the State approaches the 2010 General Election.

A sampling of the processes and procedural guidance in support of the shift to optical scan voting equipment provided by the Unit to County Boards includes:

- Pre-election testing – testing of ballot configurations using live ballots
- Quarterly maintenance test protocols – ensuring system integrity and battery readiness
- Security protocols for voting system storage sites and office spaces – to prevent unauthorized access to systems or databases
- Chain-of-custody and travel manifests – to track individual unit deployment to and from poll sites
- Ballot accountability and reconciliation – beginning with the receipt of ballot shipments from printers, through delivery to poll sites, and the return of all election day materials
- The conduct of a 3% random audit of voting systems used, to validate system accuracy

Certification of Two New Voting Systems



In December of 2009, the Election Operations Unit formally recommended that the State Board certify Election Systems and Software's AutoMark Voter Assist Terminal (BMD), Dominion Voting Systems' Precinct-Based Optical Scan Voting System, and Election Systems and Software's Precinct-Based Optical Scan Voting System. With comprehensive testing results, security consultant recommendations, in-house functional testing, numerous statewide policy and

procedural documents to support deployment, and the experience of successfully piloting equipment in two live elections, the Board was able to consider and ultimately approve the two vendors for certification.

Acceptance Testing

Prior to deployment, State Board staff performed acceptance testing of 920 scanners at a central location in Albany, to validate the sound working order, logic, and accuracy of each unit intended for use in New York's elections. This required the development and implementation of an enhanced acceptance testing protocol, and the efforts of Unit staff as well as temporary staff engaged for this specific initiative.

Statewide County Boards of Elections Operational Support

In addition to election assistance, support to County Boards of Elections in the area of daily operations represents a key focus of the Unit.

County Boards receive support in a myriad of ways, in phone calls and emails, from personalized workshops tailored to individual counties, to informative conference presentations, participation in and appearances at Election Commissioners' Association regional meetings, topical memorandums, and a workshop designed for new Commissioners presented at the agency's Annual Conference.

Over 3,000 telephone calls were handled in 2009 by the Unit requesting information and guidance on subjects ranging from Election Law, ballot layout, voter registration, HAVA, voter list maintenance, policy and procedure, and other operational matters. The Unit is an essential resource for County Board Commissioners and staff, County Legislators, Supervisors, County Managers, County Attorneys, data processing personnel, and others who are responsible for understanding, evaluating, supporting, and in some cases, approving County Board administrative agendas, spending plans and funding requests for initiatives, upgrades to various computer systems, and other programs that the County Boards may choose to implement.

Voter registration applications are also provided to County Boards by the Unit.

Staff prepared and shipped approximately 400,000 English registration forms and 38,000 Spanish registration forms to County Boards in 2009. Further, the State Board supplied all County Boards of Elections with a master of the national registration form which is accepted by every state in the country. 10,400 Federal forms used by military personnel and United States citizens living abroad were also sent to County Boards of Elections.

County Boards continued to benefit from ongoing guidance and assistance provided by the Election Operations Unit concerning compliance with the “Help American Vote Act” (HAVA) in 2009. The Unit regularly conducts and/or participates in numerous internal work groups on matters relating to HAVA implementation.

In order to comply with responsibilities associated with the use of Federal HAVA funds for the purchase of voting system equipment, County Board voting system equipment must be tracked and inventoried for accountability and maintenance history, as well as for its movement between physical locations. Preliminarily, Election Operations developed and has monitored an interim asset tracking process. This enables the State Board to meet requirements of the Federal Common Rule, and will assist County Boards in quarterly maintenance activities as defined in Subtitle V of Title 9 of the Official Compilation of Codes, Rules and Regulations of the State of New York Part 6210. However, staff has worked closely with the Office of General Services and NYSTEC to develop a “Request for Proposal” (RFP), soliciting bidders for the provision of an automated asset management system, accessible by County Boards. The major objectives of this procurement are to:

- Provide each County Board and Election Operations with access to one centralized system
- Fully account for each voting system
- Provide a chain of custody record for the life of the voting system
- Provide reporting capabilities
- Provide the ability to maintain voting system history, such as maintenance activities and software and hardware upgrades

In 2009 the Unit invested a significant amount of effort in the development of

an RFP, including the identification of system requirements, development of an asset management workflow, isolation of bidder qualifications, identification of the scope of work, crafting of technical support requirements, development of a hosting service agreement, identification of consultant responsibilities, and creation of a scoring process. This RFP is expected to be released in early 2010. In the meantime, the manual interim solution is supported and managed by Unit staff.

Also in 2009, Election Operations staff worked to develop a new grant program that County Boards may access for the purpose of purchasing privacy booths and specific related items. The State Board received federal funds to assist County Boards in complying with and implementing HAVA. Although County Boards may purchase voting systems, ballot marking devices and auxiliary assistive devices for voters with disabilities, and services and privacy booths directly from existing state contracts, in instances where costs are incurred for items essential to the deployment of voting systems that are not covered



under current state contracts, such purchases must be made using county funds. County Boards that have used local funds to purchase privacy booths that comply with the requirements for persons with disabilities and that are available from vendors which are not currently on a state contract may apply to obtain reimbursement, in whole or part, from HAVA funds allocated to the county by the State

Board. To obtain reimbursement, County Boards must complete and submit an application packet to the Election Operations Unit.

Under the “Privacy Booth Grant” program, funds may be used for any of the following activities:

- Purchase of privacy booths of a portable, temporary nature, including those which are accessible to voters with disabilities, where the County

Board of Elections has provided additional assurance that the units purchased meet or exceed criteria specified

- Privacy booth accessories including lighting, curtains, and accessible seating
- Hand cart for transporting privacy booths

The State Board will reimburse County Boards in whole or in part for the expenditure of local funds used to purchase privacy booths to assist them in the implementation of HAVA. This initiative will be launched early in 2010, when the packet of directions, forms, and information is formally released to County Boards.

The Unit continues to work with OGS to craft the balance of the State HAVA Operational Expenses reimbursement program, commonly referred to as the SHOEBOX Program. This program would entertain requests from County Boards of Elections for equipment, services, training, and other similar components related to the broader implementation of HAVA. When counties have made their complete transition to optical scan voting technologies, they may trigger requests for reimbursement from the balance of funds available to them, for certain allowable HAVA expenses.

The State Board also provides National Change of Address (NCOA) information to all of the State's counties. NCOA services are a required as part of New York's statutory voter registration list maintenance procedures, and helps to ensure that voter addresses are synchronized with information on file with the U.S. Postal Service. This process is further enhanced as data is processed more uniformly, via the statewide database. In 2009, data concerning over 515,397 such changes were provided to County Boards for use in updating their records, notification purposes, and other list maintenance tasks.

Public Election Services

Additional services provided by Unit staff include responding to general inquiries from the public, and distributing of related information. In 2009 the Unit responded to over 1,633 such phone calls and distributed the following:

- 250 Copies of the New York State Election Law
- 100,000 English Voter Registration Forms
- 2,000 Spanish Voter Registration Forms

An additional 130 pieces of general information were distributed, including election results(current and previous), political calendars, candidate lists, State Board's "Running for Office" booklet, Election Law books, and other information and data related to elections and the election process.

This Unit also has responsibility for managing the State Board's toll-free voter registration application request number (1-800-FOR-VOTE), and fulfilling requests submitted via the agency website. In 2009, a total of 3,677 calls were transcribed, of which 56 calls accessed the Spanish-speaking component of the toll free system, and 2,188 calls were website requests.

This activity resulted in the shipping of the following to individuals:

- 12,777 English forms
- 98 Spanish forms

Candidate Ballot Access Process

In the summer of 2009, ballot access activity was focused on the petition filing for the election of delegates and alternate delegates to Judicial District Conventions. Delegates nominate candidates for the office of Justice of the Supreme Court from thirteen districts in the State at fall conventions.

Ballot access activity for the judicial offices described above, data entry, and the creation and dissemination of corresponding acknowledgments, consisted of the following:

- 76 Petitions for Judicial Delegate and Alternate Delegate positions

Some filings related to the party position candidacies previously described are made at the local level, as some of the districts in which those persons run are wholly contained by county boundaries. In these cases, information concerning

Vacancies existed in 11 of the State's thirteen Judicial Districts, accounting for 28 vacancies on Supreme Court benches. Delegates and Alternate Delegates were notified by their respective parties to attend conventions, which were convened in September.

A total of 34 nominations were received, posted, and acknowledged, from each of the eleven Judicial Districts in which vacancies were to be filled at the November election, representing the designation of 98 candidates for the office of Justice of the Supreme Court.

In addition to the Primary and General Elections for calendar year 2009, the Governor declared a total of 5 Special Elections based on vacancies in two Congressional Districts and 3 Assembly Districts, as follows:

- 20th Congressional District – March 31, 2009
- 77th Assembly District – June 2, 2009
- 85th Assembly District – June 2, 2009
- 38th Assembly District – September 15, 2009
- 23rd Congressional District – November 11, 2009

Special elections are conducted when vacancies occur in certain offices and the Governor issues a proclamation, declaring a specific date for the conduct of the special election. The results of such elections are collected and processed, then certified by the Commissioners of the State Board.

Post-election tasks include the collection, recording, and validating of all election results corresponding to the offices noted above. Certificates are prepared for signature by the State Commissioners in their capacity as the State Board of Canvassers.

Additionally, in 2009 two statewide ballot proposals were certified to be presented to the State's voters

Proposal Number One, An Amendment to Section 1 of Article 14 of the Constitution, in relation to the use of certain forest preserve lands by National Grid to construct a 46kV power line along State Route 56 in St. Lawrence County.

Proposal Number Two, An Amendment to Article 3 of the Constitution, in relation to authorizing the Legislature to allow prisoners to voluntarily perform work for nonprofit organizations.

Additional Unit Activities

In addition to ongoing operational and technical support to County Boards of Elections, public election services, ballot access assistance, and voting system certification, Election Operations personnel assist the other Units in the agency through active participation in workgroups and broad-based projects, supporting in areas of urgent staffing shortages, and contributing to the various priorities undertaken by the agency.



Information Technology Unit

All of the program units in the Agency rely heavily on technology to perform the Board's collective mission. This is especially true with the changes in election management within the State of New York and nationally. The Information Technology Unit (ITU) is tasked with providing the most efficient and cost effective technology solutions to assist program unit staff to perform their responsibilities.

Among the ITU responsibilities are the procurement, operations and maintenance of all computing systems for the State Board of Elections. ITU staff performs or manages development, implementation, maintenance and support of all election information systems for the Agency. Information Technology Unit staff is responsible for data storage, data processing, security of networks and data as well as accessibility to election-related data. ITU keeps the Board current with emerging technology and makes recommendations to the Co-Executive Directors regarding technology procurement.

Additionally, the Agency's Chief Information Officer represents the Board of Elections on the New York State CIO Council and serves on CIO Council's technology committees. The Agency's Information Security Officer oversees all aspects of network and Internet security and represents the Board of Elections on the New York State ISO Council.

Computing Environment and Infrastructure

The New York State Board of Elections operates two network environments. The Internal Agency network is a Novell NetWare networking environment using Microsoft Windows XP client operating systems on the desktop. The NYSVoter Wide Area Network (WAN) is a Microsoft Network. The Information Technology Unit is responsible for the design, installation, configuration and maintenance of the networks. This responsibility includes servers, switches, wiring plant, workstations and all of the ancillary devices required for operating a stable, secure network. Information Technology staff is also responsible for the design and maintenance of the Agency database, Intranet and Internet infrastructures and applications. ITU staff assures that backup, disaster recovery and network security are performed with attention

to best industry standards and practices.

Information Technology management is responsible for developing an IT budget and submitting the Annual Technology Plan (ATP) to the Division of Budget and Office for Technology for review. IT Management is also responsible for obtaining purchase approval for all technology purchases by submitting justified “Intent to Purchase” documentation and submitting requisitions for purchase to the finance/procurement officer.

ITU staff develops, maintains and supports the major applications and systems, described below, which are used at the State Board of Elections.

Financial Disclosure Administration System (FIDAS).

The Financial Disclosure System is an Oracle network-based system used by auditing and enforcement staff for the management of the financial disclosure reports for committees and candidates for statewide office. The Information Technology Unit develops and maintains the Oracle databases and



applications associated with the administration of campaign finances. The Agency’s Electronic Filing Software, which is used by candidates and political committees for filing their reports, was developed by and is maintained by the Agency’s IT staff. The Information Technology Unit is currently re-engineering the filing software to operate on any computer operating system that can support Java

applications. The software is also being improved to better support the filing community

ITU is responsible for receiving and processing electronic filings from approximately 15,000 filers and loading them into the Campaign Financial Disclosure Database. A small, but efficient help desk staff performs this work in addition to delivering telephone support to the financial report filers, County Boards of Elections and agency staff.

National Change of Address Processing (NCOA)

NCOA processing was coordinated by the State Board as required by the National Voter Registration Act. A file with all the names and addresses is produced and forwarded electronically to an NCOA vendor for matching against the U.S. Post Office's Change-of-Address database. The file resulting from the processing is retrieved electronically by the State Board where it is parsed and redistributed to the individual counties of origin. The NCOA processing for 2009 included more than 11,600,000 voter records from sixty-two counties. Centralizing this NCOA processing through the State Board, as opposed to the processing by individual counties, provides the counties with a substantial savings in revenue due to the economy of scale that the State Board is able to leverage.

Election Operation Support

The Information Technology Unit provides support to the Election Operations Unit in the form of the Candidate Petition Administration System (CAPAS), which is used to administer the candidate petition process, as well as, create correspondence, ballots and reports pertaining to elections. The year 2009 was not a major election year, so support requirements for the CAPAS system were limited to an off-year fall election cycle and several special elections.

Agency-Based Voter Registration/Public Information

The Information Technology Unit supports the database applications used by the Voter Registration Unit to manage the registration sites and transactions. There is also a supplies inventory system created and maintained by the Agency's IT staff.

The Public Information Officer has oversight of the content on the Agency's web site. The Agency has adopted a policy of making as much information as possible available electronically thus cutting the cost of printing and reproduction. The Information Technology staff works closely with the Public Information Office to oversee the technology, design and application development associated with the Agency's Internet web site.

NYSVoter Statewide Voter Registration Database

As part of the Federal Help America Vote Act (HAVA), legislation that was passed in 2002, as well as New York State election law changes, the State Board of Elections was required to create a statewide voter registration database. The Statewide Voter Registration Database, NYSVoter, was developed and implemented in 2007. Since then the system has become mature and stable.

NYSVoter is a complex architecture of over 60 servers and multiple networks including a Wide Area Network consisting of Virtual Private Networks that requires a great deal of monitoring and maintenance activity on a daily basis. The NYSVoter staff not only maintains the production system that resides at the NYSBOE data center, but also a disaster recovery site that resides at the State Emergency Management Office data center in Hawthorne, New York.



ENFORCEMENT AND INVESTIGATIONS

The Board's Enforcement Counsel supervises the Enforcement, Investigation and Campaign Finance Units.

The Enforcement Unit consists of 6 staff: Enforcement Counsel, Deputy Enforcement Counsel, Confidential Secretary, a Confidential Aide, and 2 Enforcement Specialists.

The Unit enforces violations of the Election Law. Whenever a complaint alleging a violation is made, it is reviewed to ascertain that the conduct complained of would, if true, be a violation of that law. Counsel examines the nature and scope of the alleged conduct, and recommends an appropriate disposition of the complaint to the Board's Commissioners. In addition to general complaints of Election Law violations, Enforcement also has responsibility for complaints of violations of Title III of the Help America Vote Act of 2002, in accordance with the specific processes statutorily imposed.

In 2009, the Board opened 204 complaints alleging violations of the Election Law. The Enforcement Unit processed 364 complaints during 2009. Six were referred by the Board for investigation, and 6 Final Determinations were made.

Additionally, Enforcement initiates civil proceedings relative to campaign financial reporting mandates. If a required campaign financial disclosure report is not filed, the committee treasurer and/or the candidate are notified by Certified and First Class mail of the failure to file. If the filing is not received by the Board within five days of receipt of that letter, the Board initiates a civil action requesting the Court to order the filing be made, as well as to assess a monetary penalty and court costs. In 2009, the Enforcement Unit initiated 3 lawsuits and obtained 787 Judgments against candidates and treasurers for failure to file campaign financial disclosure reports. By year's end, 275 Judgments were satisfied.

Enforcement's Investigation arm consists of 1 Investigator, who conducts any investigation of alleged violations of the Election Law ordered by the Board, including in the areas of campaign financial disclosure, petitions, illegal registration and voting, and the conduct of elections.

CAMPAIGN FINANCE

The Campaign Finance Unit (CFU) is headed by the Enforcement Counsel who, with the Deputy Enforcement Counsel, supervises a campaign finance staff of 11 who are assigned to the three subunits within Campaign Finance: Intake & Processing; Education, Outreach & Training; and Audit & Review.

CFU/Intake & Processing consists of 5 staff: an Associate Accountant; a Senior Accountant; a Calculations Clerk II; and 2 Campaign Finance Analysts. This staff is primarily responsible for registrations and terminations of committees, receiving and processing campaign financial disclosure reports, and for operating the call center, where inquiries about the Election Law and filing mandates are handled. In 2009, financial disclosure filings were required of the January 15 and July 15 Periodics. As well, there were required filings relative to four Special Elections/Runoff Elections within the State. In 2009, there were 10,950 active filers with the Board. A total of 29,075 financial disclosure statements were received by the Board in 2009, consisting of 8,169 from state filers, and 20,906 from county filers who were mandated to register and file with the State Board. There were 27,495 electronic submissions, 366 by diskette, and 1,214 paper filings. All filings are available for public viewing on the Board's website. If a mandated filing is not made, the candidate and/or committee treasurer is notified by Certified and First Class mail of the failure to file. In 2009, the CFU sent in excess of 4,800 such letters. Subsequently, 3 lawsuits were initiated by the Enforcement Unit and a resulting 787 judgments for failure to file were entered.

With the change in law effective January 1, 2006, the number of active filers with the Board has increased significantly, as is indicated below:

	2005	2006	2007	2008	2009
State Filers	2,295	2,564	2,159	2,152	2,014
County Filers	976	4,094	6,701	6,989	8,936
TOTAL	3,271	6,658	8,860	9,141	10,950

Filers include both committees, and candidates without a committee who are making their own filings. In 2009, 3,690 new committees registered with the Board.

With each new registration, the CFU sent a confirmation to the treasurer, providing the committee identification number, a personal identification number that acts as an electronic signature when making filings, and other information relating to filing requirements and obligations. There were 1,676 committee/ candidate terminations processed in 2009.

Other duties of this subunit include:

- Creation and publication of the campaign financial disclosure filing calendar.
- Calculation of the contribution limits as set forth in Election Law Article 14.
- Providing the public, as well as all filers with the State Board or County Boards of Elections, with information regarding campaign finance. In 2009, the staff responded to 14,409 telephone inquiries through the call center, and filled 438 requests for campaign finance forms and materials. Staff also assisted people who visited our public view area.

CFU/ Education Outreach & Training has 3 staff. Primarily, their area of responsibility is the preparation and dissemination of information and materials relative to the financial disclosure mandates of the Election Law. Included in their duties are:

- Revising annually the Campaign Finance Handbook.
- Revising forms promulgated by the Board relative to Article 14 of the Election Law.
- Creating annual Filer Updates for distribution to all filers.
- Conducting training seminars throughout the State to provide information as to the requirements of financial filing and applicable Election Law provisions.

CFU/Audit & Review is responsible for regularly reviewing filings for compliance with statutory mandates, and analysis of campaign finance administration processes and procedures. Three people staff this subunit.

For Enforcement and Investigations, please see separate heading of the Annual Report.

Public Information

Media Relations

The Public Information Officer serves as the board's spokesperson and is responsible for handling all press inquiries. In 2009, the Public Information Office received over 10,000 requests from reporters, interested parties and the general public seeking information on election results, voter registration, campaign finance, enforcement matters, election law, implementation of the Help America Vote Act, new voting machines and board policies. The Public Information Officer also produced press releases and advisories throughout the year which provided information on these topics to the state and national press corps and the general public. This information was also made available via the Internet through the Board's website along with a wide range of election-related data of interest to New York State voters all over the world.

Freedom of Information Law

The public information officer also serves as the Board's Records Access Officer. He is responsible for processing all FOIL requests received by the agency. In 2009, 607 requests were received by the Records Access Officer. This number represents a continuing climb from year to year due to the ability of the public to make FOIL requests by e-mail. Most requests were for data and records from the New York State Board of Elections' statewide database of registered voters (NYSVoter). Of the requests received, 537 requests were granted, 39 were denied in accordance with the provisions of Section 87 of the Public Officers Law, and in 31 instances no records were found.

Registration Hotlines

The board's automated hotline (1-800-FOR-VOTE) and the board's webpage on-line registration system (www.elections.state.ny.us) provide a dependable, efficient and convenient way in which citizens may request voter registration application forms. The hotline remains a positive component of the board's outreach program and the web page continues to capture a larger share of the program as more of the population comes online.

Annual Conference

The Board again held its annual conference for county election commissioners this spring in Albany. The annual conference provides a forum for election officials throughout the state to learn and exchange ideas on election laws and administration. More than 300 people attended the three-day event and took advantage of several meetings to discuss implementation in New York of new voting machines and the Help America Vote Act of 2002. The seminar attracted local board commissioners and staff from every local board in New York.

Legal Notices

Pursuant to Section 4-116 of the Election Law the State Board is required to publish once in the week preceding any election at which proposed Constitutional Amendments or other propositions or questions are to be submitted to the voters of the state, an abstract prepared by the Attorney General explaining the amendment or question. The abstract must be published in at least one general circulation newspaper in every county of the state and comply with the language requirements of the Voting Rights Act. The propositions were translated into Spanish, Chinese and Korean and published throughout the state.

Maintain Website

Lastly, the Public Information Office works in close concert with the Information Technology Unit to operate and maintain the agency's website. With the rise of the Internet, our website has become an integral part of the Board's effort to provide information with the general public. The PIO e-mailbox received more than 3900 e-mails during 2009.



AGENCY ADMINISTRATION

The Board's Administrative Office consists of three staff members. The duties of this unit include all personnel administration, purchasing, mail and warehouse operations and general Agency Administrative tasks relating to day to day Operations. The agency has a Host Agency agreement with the Office of General Services for activities related to Budgeting, Contracts, Purchasing and Payment of Vouchers.

Fiscal Operations

The Board received a fiscal year 2009-10 appropriation of \$7,395,000 in the General Fund, \$15,500,000 in Federal Funds and \$6,000,000 in Special Revenue Funds.

In 2009-10, the agency was appropriated \$1,000,000 for services and expenses related to the alteration of poll sites to provide accessibility for disabled voters, and \$14,500,000 for Aid-to-Localities to implement the Help America Vote Act. There was also an appropriation of \$6,000,000 in Special Revenue Funds for the Voting Machine Examination and HAVA Matching Funds Accounts.

The new program for 2008-09, Investigations of Campaign Finance, was consolidated into the State Operations Regulation of Elections program for 2009-10.

The Board was granted the following re-appropriations for 2009-10:

- \$4,714,000 in General Fund/Aid-to-Localities for expenses related to the alteration of poll sites to provide accessibility for disabled voters
- \$3,500,000 in Federal Funds for the payment of the Health and Human Services Funds for Poll Site Accessibility improvements
- \$4,000,000 in Federal Funds/State Operations for expenses related to the Help America Vote Act
- \$5,000,000 in Federal Funds/State Operations for the State Certification Fund \$15,000,000 in Federal Funds/Aid-to-Localities

for expenses related to the implementation of the Help America Vote Act

- \$10,000,000 in Federal Funds / Aid-to-Localities for expenses related to the implementation of the Help America Vote Act
- \$12,000,000 in Federal Funds/Aid-to-Localities for expenses related to the implementation of the Help America Vote Act
- \$10,000,000 in Federal Funds/Aid-to-Localities for expenses incurred for poll worker training and voter education efforts
- \$130,000,000 in Federal Funds for services and expenses related to the purchase of new voting machines and voting systems
- \$5,500,000 in Special Revenue/State Operations for the Help America Vote Act Matching Funds Account
- \$4,000,000 in Special Revenue/State Operations for the Voting Machine Examination Account

Personnel Administration

The agency was authorized a staffing level of 63 full time positions for the 2009/10 Fiscal Year.

As a result of the 2007-2010 negotiated agreements with NYS Bargaining Units, staff members represented by the Civil Service Employees Association, Inc. (CSEA) and the Public Employees Federation, AFL-CIO (PEF) were paid a statewide salary increase of 3% in annual salaries effective April 2, 2009. Management and Confidential (M/C) staff members statewide salary increase was withheld.

REVENUE FISCAL YEAR 2009

VOTING MACHINE CERTIFICATION	\$4,790,000.00
REQUIREMENTS PAYMENTS	\$14,018,952.00
JUDGMENTS	\$78,620.41
CAMPAIGN FINANCE PHOTOCOPIES	\$151.00
ELECTION OPERATIONS PHOTOCOPIES	\$378.50



Appendix

NYSVoter Enrollment by County, Party Affiliation and Status Voters Registered as of November 1, 2009

REGION	COUNTY	STATUS	DEM	REP	IND	CON	WOR	GRE	LBT	RTH	SW	BLANK	TOTAL
Outside NYC	Albany	Active	89,001	39,555	7,925	2,663	543	495	38	0	2	37,622	177,844
Outside NYC	Albany	Inactive	9,881	3,113	1,089	209	88	113	9	0	1	4,582	19,085
Outside NYC	Albany	Total	98,882	42,668	9,014	2,872	631	608	47	0	3	42,204	196,929
Outside NYC	Allegany	Active	6,128	12,672	911	329	114	53	11	0	0	3,544	23,762
Outside NYC	Allegany	Inactive	1,018	1,558	225	71	30	19	2	0	0	1,301	4,224
Outside NYC	Allegany	Total	7,146	14,230	1,136	400	144	72	13	0	0	4,845	27,986
Outside NYC	Broome	Active	43,312	44,359	5,081	1,373	505	367	51	0	0	20,010	115,058
Outside NYC	Broome	Inactive	3,783	2,795	563	93	93	62	3	0	1	2,283	9,676
Outside NYC	Broome	Total	47,095	47,154	5,644	1,466	598	429	54	0	1	22,293	124,734
Outside NYC	Cattaraugus	Active	16,324	18,377	1,826	939	240	96	6	0	0	8,711	46,519
Outside NYC	Cattaraugus	Inactive	1,179	1,235	217	65	31	14	2	0	0	1,031	3,774
Outside NYC	Cattaraugus	Total	17,503	19,612	2,043	1,004	271	110	8	0	0	9,742	50,293
Outside NYC	Cayuga	Active	15,893	17,543	2,127	1,190	175	122	6	0	0	8,721	45,777
Outside NYC	Cayuga	Inactive	1,088	1,140	231	77	32	14	1	0	0	902	3,485
Outside NYC	Cayuga	Total	16,981	18,683	2,358	1,267	207	136	7	0	0	9,623	49,262
Outside NYC	Chautauqua	Active	29,061	27,152	4,342	1,888	366	140	28	0	1	17,626	80,604
Outside NYC	Chautauqua	Inactive	2,156	1,870	482	113	68	25	2	0	0	2,094	6,810
Outside NYC	Chautauqua	Total	31,217	29,022	4,824	2,001	434	165	30	0	1	19,720	87,414
Outside NYC	Chemung	Active	16,767	21,533	2,536	605	198	105	10	0	0	9,129	50,883
Outside NYC	Chemung	Inactive	1,563	1,524	255	38	49	15	1	0	0	1,206	4,651
Outside NYC	Chemung	Total	18,330	23,057	2,791	643	247	120	11	0	0	10,335	55,534
Outside NYC	Chenango	Active	7,827	12,913	1,434	432	163	128	11	0	0	5,741	28,649
Outside NYC	Chenango	Inactive	680	873	153	35	15	22	0	0	0	654	2,432
Outside NYC	Chenango	Total	8,507	13,786	1,587	467	178	150	11	0	0	6,395	31,081
Outside NYC	Clinton	Active	17,104	15,430	2,653	406	184	106	11	0	2	9,904	45,800
Outside NYC	Clinton	Inactive	1,399	1,077	312	33	26	32	4	0	0	1,226	4,109
Outside NYC	Clinton	Total	18,503	16,507	2,965	439	210	138	15	0	2	11,130	49,909
Outside NYC	Columbia	Active	12,803	12,698	2,526	1,155	181	185	19	0	2	10,916	40,485
Outside NYC	Columbia	Inactive	870	586	190	69	15	25	2	0	0	767	2,524
Outside NYC	Columbia	Total	13,673	13,284	2,716	1,224	196	210	21	0	2	11,683	43,009
Outside NYC	Cortland	Active	9,147	10,660	1,385	410	123	76	1	0	0	6,231	28,033
Outside NYC	Cortland	Inactive	693	514	148	18	17	14	0	0	0	668	2,072
Outside NYC	Cortland	Total	9,840	11,174	1,533	428	140	90	1	0	0	6,899	30,105
Outside NYC	Delaware	Active	7,702	12,635	1,257	431	79	106	8	0	0	4,799	27,017
Outside NYC	Delaware	Inactive	623	711	146	34	21	16	2	0	0	545	2,098
Outside NYC	Delaware	Total	8,325	13,346	1,403	465	100	122	10	0	0	5,344	29,115

Outside NYC	Dutchess	Active	57,286	53,784	8,341	3,203	590	436	60	0	0	45,660	169,360
Outside NYC	Dutchess	Inactive	3,708	2,821	588	143	55	57	5	0	0	3,190	10,567
Outside NYC	Dutchess	Total	60,994	56,605	8,929	3,346	645	493	65	0	0	48,850	179,927
Outside NYC	Erie	Active	292,961	155,324	22,713	11,463	2,522	1,371	147	0	8	93,960	580,469
Outside NYC	Erie	Inactive	36,028	18,345	2,386	1,289	304	279	10	0	0	16,525	75,166
Outside NYC	Erie	Total	328,989	173,669	25,099	12,752	2,826	1,650	157	0	8	110,485	655,635
Outside NYC	Essex	Active	6,531	12,540	1,585	171	47	68	7	0	0	4,285	25,234
Outside NYC	Essex	Inactive	468	713	138	16	14	13	0	0	0	477	1,839
Outside NYC	Essex	Total	6,999	13,253	1,723	187	61	81	7	0	0	4,762	27,073
Outside NYC	Franklin	Active	10,079	9,842	1,429	324	94	55	3	0	0	4,694	26,520
Outside NYC	Franklin	Inactive	501	450	108	7	10	8	0	0	0	466	1,550
Outside NYC	Franklin	Total	10,580	10,292	1,537	331	104	63	3	0	0	5,160	28,070
Outside NYC	Fulton	Active	7,902	16,519	1,318	403	120	57	5	0	0	4,852	31,176
Outside NYC	Fulton	Inactive	463	722	121	22	16	6	1	0	0	413	1,764
Outside NYC	Fulton	Total	8,365	17,241	1,439	425	136	63	6	0	0	5,265	32,940
Outside NYC	Genesee	Active	9,573	15,653	1,462	763	157	69	11	0	0	7,064	34,752
Outside NYC	Genesee	Inactive	511	708	140	38	20	8	2	0	0	593	2,020
Outside NYC	Genesee	Total	10,084	16,361	1,602	801	177	77	13	0	0	7,657	36,772
Outside NYC	Greene	Active	7,044	12,420	1,461	766	100	77	2	0	0	7,230	29,100
Outside NYC	Greene	Inactive	648	805	157	59	16	9	1	0	0	715	2,410
Outside NYC	Greene	Total	7,692	13,225	1,618	825	116	86	3	0	0	7,945	31,510
Outside NYC	Hamilton	Active	996	2,849	166	40	7	5	0	0	0	491	4,554
Outside NYC	Hamilton	Inactive	53	164	10	0	1	1	0	0	0	45	274
Outside NYC	Hamilton	Total	1,049	3,013	176	40	8	6	0	0	0	536	4,828
Outside NYC	Herkimer	Active	10,813	19,239	1,981	494	99	69	1	0	0	6,146	38,842
Outside NYC	Herkimer	Inactive	658	909	167	29	26	9	1	0	0	638	2,437
Outside NYC	Herkimer	Total	11,471	20,148	2,148	523	125	78	2	0	0	6,784	41,279
Outside NYC	Jefferson	Active	16,542	24,449	2,665	691	168	89	4	0	0	10,655	55,263
Outside NYC	Jefferson	Inactive	1,162	1,448	263	46	26	13	1	0	0	1,241	4,200
Outside NYC	Jefferson	Total	17,704	25,897	2,928	737	194	102	5	0	0	11,896	59,463
Outside NYC	Lewis	Active	4,581	9,127	649	236	51	26	4	0	1	2,404	17,079
Outside NYC	Lewis	Inactive	123	199	27	5	1	2	1	0	0	117	475
Outside NYC	Lewis	Total	4,704	9,326	676	241	52	28	5	0	1	2,521	17,554
Outside NYC	Livingston	Active	10,849	17,042	1,578	719	130	120	16	0	0	7,738	38,192
Outside NYC	Livingston	Inactive	719	799	158	44	17	14	2	0	0	765	2,518
Outside NYC	Livingston	Total	11,568	17,841	1,736	763	147	134	18	0	0	8,503	40,710
Outside NYC	Madison	Active	11,163	16,566	2,313	750	172	128	5	0	0	8,476	39,573
Outside NYC	Madison	Inactive	966	1,148	282	48	29	19	1	0	0	952	3,445
Outside NYC	Madison	Total	12,129	17,714	2,595	798	201	147	6	0	0	9,428	43,018
Outside NYC	Monroe	Active	172,274	137,291	16,791	7,394	1,204	1,011	217	0	5	100,034	436,221
Outside NYC	Monroe	Inactive	16,196	7,166	1,323	431	208	204	9	0	0	9,427	34,964
Outside NYC	Monroe	Total	188,470	144,457	18,114	7,825	1,412	1,215	226	0	5	109,461	471,185
Outside NYC	Montgomery	Active	9,989	10,390	1,303	531	101	50	7	0	0	5,359	27,730

Outside NYC	Montgomery	Inactive	800	690	118	35	19	6	2	0	0	639	2,309
Outside NYC	Montgomery	Total	10,789	11,080	1,421	566	120	56	9	0	0	5,998	30,039
Outside NYC	Nassau	Active	341,153	327,973	26,016	9,140	1,836	1,132	0	0	0	192,722	899,972
Outside NYC	Nassau	Inactive	12,061	9,948	964	280	88	80	0	0	0	6,747	30,168
Outside NYC	Nassau	Total	353,214	337,921	26,980	9,420	1,924	1,212	0	0	0	199,469	930,140
Outside NYC	Niagara	Active	56,034	43,897	5,017	2,502	819	235	7	0	0	20,902	129,413
Outside NYC	Niagara	Inactive	4,086	2,920	543	166	126	44	2	0	0	2,353	10,240
Outside NYC	Niagara	Total	60,120	46,817	5,560	2,668	945	279	9	0	0	23,255	139,653
Outside NYC	Oneida	Active	45,811	50,748	6,211	1,545	415	150	3	1	0	23,100	127,984
Outside NYC	Oneida	Inactive	1,743	1,636	338	50	35	20	0	0	0	1,186	5,008
Outside NYC	Oneida	Total	47,554	52,384	6,549	1,595	450	170	3	1	0	24,286	132,992
Outside NYC	Onondaga	Active	104,051	90,792	12,365	4,424	1,189	720	109	0	0	69,817	283,467
Outside NYC	Onondaga	Inactive	6,602	4,632	868	212	166	124	14	0	0	5,574	18,192
Outside NYC	Onondaga	Total	110,653	95,424	13,233	4,636	1,355	844	123	0	0	75,391	301,659
Outside NYC	Ontario	Active	19,367	26,172	3,084	1,098	152	168	6	0	0	13,866	63,913
Outside NYC	Ontario	Inactive	1,410	1,565	295	78	23	25	0	0	0	1,354	4,750
Outside NYC	Ontario	Total	20,777	27,737	3,379	1,176	175	193	6	0	0	15,220	68,663
Outside NYC	Orange	Active	72,378	70,887	9,168	3,268	657	492	28	0	0	43,532	200,410
Outside NYC	Orange	Inactive	5,483	4,694	702	191	60	64	3	0	0	3,768	14,965
Outside NYC	Orange	Total	77,861	75,581	9,870	3,459	717	556	31	0	0	47,300	215,375
Outside NYC	Orleans	Active	5,912	10,943	972	463	135	49	7	0	0	4,868	23,349
Outside NYC	Orleans	Inactive	455	673	79	21	15	5	0	0	0	548	1,796
Outside NYC	Orleans	Total	6,367	11,616	1,051	484	150	54	7	0	0	5,416	25,145
Outside NYC	Oswego	Active	19,217	34,823	3,390	1,599	341	122	18	0	1	14,501	74,012
Outside NYC	Oswego	Inactive	1,257	2,073	255	103	36	26	0	0	0	1,370	5,120
Outside NYC	Oswego	Total	20,474	36,896	3,645	1,702	377	148	18	0	1	15,871	79,132
Outside NYC	Otsego	Active	10,684	13,727	1,766	438	114	123	0	0	0	6,668	33,520
Outside NYC	Otsego	Inactive	881	780	204	26	22	23	0	0	0	795	2,731
Outside NYC	Otsego	Total	11,565	14,507	1,970	464	136	146	0	0	0	7,463	36,251
Outside NYC	Putnam	Active	17,288	21,362	2,944	1,981	156	133	16	0	0	14,716	58,596
Outside NYC	Putnam	Inactive	1,080	1,172	179	93	14	22	1	0	0	1,016	3,577
Outside NYC	Putnam	Total	18,368	22,534	3,123	2,074	170	155	17	0	0	15,732	62,173
Outside NYC	Rensselaer	Active	27,499	25,644	6,024	4,350	1,150	249	39	0	2	28,804	93,761
Outside NYC	Rensselaer	Inactive	3,041	2,014	642	268	217	54	10	0	0	2,794	9,040
Outside NYC	Rensselaer	Total	30,540	27,658	6,666	4,618	1,367	303	49	0	2	31,598	102,801
Outside NYC	Rockland	Active	79,903	41,728	5,641	3,333	473	212	2	0	0	38,579	169,871
Outside NYC	Rockland	Inactive	5,139	2,539	445	154	38	26	0	0	0	2,858	11,199
Outside NYC	Rockland	Total	85,042	44,267	6,086	3,487	511	238	2	0	0	41,437	181,070
Outside NYC	Saratoga	Active	37,977	64,551	7,492	1,904	389	337	38	0	0	34,152	146,840
Outside NYC	Saratoga	Inactive	1,879	2,630	442	84	25	28	1	0	0	1,918	7,007
Outside NYC	Saratoga	Total	39,856	67,181	7,934	1,988	414	365	39	0	0	36,070	153,847
Outside NYC	Schenectady	Active	34,989	26,604	4,512	2,893	606	175	4	0	0	20,781	90,564
Outside NYC	Schenectady	Inactive	1,973	1,368	321	109	49	26	0	0	0	1,452	5,298

Outside NYC	Schenectady	Total	36,962	27,972	4,833	3,002	655	201	4	0	0	22,233	95,862
Outside NYC	Schoharie	Active	5,032	7,557	1,035	341	64	58	9	0	1	4,065	18,162
Outside NYC	Schoharie	Inactive	336	436	108	21	14	14	1	0	0	365	1,295
Outside NYC	Schoharie	Total	5,368	7,993	1,143	362	78	72	10	0	1	4,430	19,457
Outside NYC	Schuyler	Active	3,445	4,863	576	182	66	71	3	0	0	2,320	11,526
Outside NYC	Schuyler	Inactive	224	306	62	11	11	5	0	0	0	266	885
Outside NYC	Schuyler	Total	3,669	5,169	638	193	77	76	3	0	0	2,586	12,411
Outside NYC	Seneca	Active	6,540	8,126	824	416	103	51	4	0	0	3,752	19,816
Outside NYC	Seneca	Inactive	295	322	35	10	5	9	0	0	0	233	909
Outside NYC	Seneca	Total	6,835	8,448	859	426	108	60	4	0	0	3,985	20,725
Outside NYC	St.Lawrence	Active	22,676	22,295	2,852	713	227	161	24	0	0	11,447	60,395
Outside NYC	St.Lawrence	Inactive	1,178	1,069	230	35	23	23	0	0	0	1,049	3,607
Outside NYC	St.Lawrence	Total	23,854	23,364	3,082	748	250	184	24	0	0	12,496	64,002
Outside NYC	Steuben	Active	15,443	30,566	2,636	780	223	146	11	0	0	8,871	58,676
Outside NYC	Steuben	Inactive	602	894	98	28	32	14	2	0	0	598	2,268
Outside NYC	Steuben	Total	16,045	31,460	2,734	808	255	160	13	0	0	9,469	60,944
Outside NYC	Suffolk	Active	287,644	304,406	33,259	19,717	3,500	1,621	215	0	3	229,493	879,858
Outside NYC	Suffolk	Inactive	29,555	25,583	3,216	1,685	391	252	25	0	0	23,727	84,434
Outside NYC	Suffolk	Total	317,199	329,989	36,475	21,402	3,891	1,873	240	0	3	253,220	964,292
Outside NYC	Sullivan	Active	18,588	13,568	2,035	962	187	151	5	0	0	10,967	46,463
Outside NYC	Sullivan	Inactive	1,536	888	160	48	28	17	2	0	0	1,060	3,739
Outside NYC	Sullivan	Total	20,124	14,456	2,195	1,010	215	168	7	0	0	12,027	50,202
Outside NYC	Tioga	Active	8,642	14,395	1,411	338	119	90	14	0	0	5,559	30,568
Outside NYC	Tioga	Inactive	498	804	117	11	11	14	2	0	0	514	1,971
Outside NYC	Tioga	Total	9,140	15,199	1,528	349	130	104	16	0	0	6,073	32,539
Outside NYC	Tompkins	Active	24,518	13,684	2,051	302	199	516	15	0	0	11,380	52,665
Outside NYC	Tompkins	Inactive	2,851	1,120	304	39	36	130	6	0	0	1,935	6,421
Outside NYC	Tompkins	Total	27,369	14,804	2,355	341	235	646	21	0	0	13,315	59,086
Outside NYC	Ulster	Active	37,827	29,957	4,619	2,476	464	718	58	0	0	33,872	109,991
Outside NYC	Ulster	Inactive	3,863	2,144	570	142	65	127	8	0	0	3,401	10,320
Outside NYC	Ulster	Total	41,690	32,101	5,189	2,618	529	845	66	0	0	37,273	120,311
Outside NYC	Warren	Active	10,300	20,794	2,070	526	125	130	6	0	0	7,784	41,735
Outside NYC	Warren	Inactive	827	1,211	226	44	23	25	0	0	0	795	3,151
Outside NYC	Warren	Total	11,127	22,005	2,296	570	148	155	6	0	0	8,579	44,886
Outside NYC	Washington	Active	8,885	15,982	1,923	540	176	107	3	0	0	7,129	34,745
Outside NYC	Washington	Inactive	817	1,118	238	44	30	24	0	0	0	912	3,183
Outside NYC	Washington	Total	9,702	17,100	2,161	584	206	131	3	0	0	8,041	37,928
Outside NYC	Wayne	Active	14,130	23,474	2,487	1,302	207	119	19	0	0	13,116	54,854
Outside NYC	Wayne	Inactive	680	832	145	57	25	12	1	0	0	822	2,574
Outside NYC	Wayne	Total	14,810	24,306	2,632	1,359	232	131	20	0	0	13,938	57,428
Outside NYC	Westchester	Active	250,452	136,368	19,558	8,505	1,252	702	54	0	2	119,982	536,875
Outside NYC	Westchester	Inactive	22,168	11,837	1,965	657	222	149	0	0	1	12,322	49,321
Outside NYC	Westchester	Total	272,620	148,205	21,523	9,162	1,474	851	54	0	3	132,304	586,196

Outside NYC	Wyoming	Active	6,208	11,196	1,014	434	90	14	0	0	0	4,691	23,647
Outside NYC	Wyoming	Inactive	311	424	59	15	4	2	0	0	0	314	1,129
Outside NYC	Wyoming	Total	6,519	11,620	1,073	449	94	16	0	0	0	5,005	24,776
Outside NYC	Yates	Active	3,450	6,745	541	213	53	34	1	0	0	2,181	13,218
Outside NYC	Yates	Inactive	371	656	90	20	13	4	0	0	0	443	1,597
Outside NYC	Yates	Total	3,821	7,401	631	233	66	38	1	0	0	2,624	14,815
Outside NYC Grand Tot		Active	2,495,695	2,272,389	273,251	116,454	23,920	14,596	1,407	1	30	1,455,619	6,653,362
Outside NYC Grand Tot		Inactive	201,139	142,371	23,897	7,769	3,094	2,407	142	0	3	135,951	516,773
Outside NYC Grand Tot		Total	2,696,834	2,414,760	297,148	124,223	27,014	17,003	1,549	1	33	1,591,570	7,170,135
Within NYC	Bronx	Active	479,456	42,763	11,751	2,926	2,283	284	13	1	0	83,882	623,359
Within NYC	Bronx	Inactive	46,197	4,574	1,384	296	292	53	1	0	0	9,692	62,489
Within NYC	Bronx	Total	525,653	47,337	13,135	3,222	2,575	337	14	1	0	93,574	685,848
Within NYC	Kings	Active	889,073	115,083	24,947	4,146	4,014	1,857	49	0	0	201,036	1,240,205
Within NYC	Kings	Inactive	82,359	10,233	2,767	388	439	511	10	0	0	20,728	117,435
Within NYC	Kings	Total	971,432	125,316	27,714	4,534	4,453	2,368	59	0	0	221,764	1,357,640
Within NYC	New York	Active	664,194	97,375	26,265	1,689	1,552	1,620	84	0	0	166,002	958,781
Within NYC	New York	Inactive	69,696	13,727	3,500	262	225	458	12	0	0	20,941	108,821
Within NYC	New York	Total	733,890	111,102	29,765	1,951	1,777	2,078	96	0	0	186,943	1,067,602
Within NYC	Queens	Active	664,415	132,303	23,073	5,681	2,623	1,023	21	0	0	190,529	1,019,668
Within NYC	Queens	Inactive	37,723	8,604	1,599	347	211	142	0	0	0	13,128	61,754
Within NYC	Queens	Total	702,138	140,907	24,672	6,028	2,834	1,165	21	0	0	203,657	1,081,422
Within NYC	Richmond	Active	116,671	75,119	7,370	3,981	897	219	11	0	0	49,280	253,548
Within NYC	Richmond	Inactive	7,237	4,655	543	256	99	27	1	0	0	3,779	16,597
Within NYC	Richmond	Total	123,908	79,774	7,913	4,237	996	246	12	0	0	53,059	270,145
Within NYC Total		Active	2,813,809	462,643	93,406	18,423	11,369	5,003	178	1	0	690,729	4,095,561
Within NYC Total		Inactive	243,212	41,793	9,793	1,549	1,266	1,191	24	0	0	68,268	367,096
Within NYC Total		Total	3,057,021	504,436	103,199	19,972	12,635	6,194	202	1	0	758,997	4,462,657
Statewide Total		Active	5,309,504	2,735,032	366,657	134,877	35,289	19,599	1,585	2	30	2,146,348	10,748,923
Statewide Total		Inactive	444,351	184,164	33,690	9,318	4,360	3,598	166	0	3	204,219	883,869
Statewide Total		Total	5,753,855	2,919,196	400,347	144,195	39,649	23,197	1,751	2	33	2,350,567	11,632,792