



New York State Board of Elections
Annual Report
2015



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State of New York

STATE BOARD OF ELECTIONS

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To: The Honorable Andrew M. Cuomo, Governor
Members of the New York State Legislature

We are pleased to submit to you the New York State Board of Elections' 2015 Annual Report. This report provides a comprehensive review of Board programs and accomplishments during the calendar year 2015.

The Board's mission consists of the oversight of each county board of elections and the Board of Elections in the City of New York, as well as statewide compliance with the Help America Vote Act, the National Voter Registration Act, the Uniformed and Overseas Citizens Absentee Voting Act and the Military & Overseas Voter Empowerment Act. The Board, among other things, administers several critical programs, including the statewide voter registration list (NYSVoter), all agency-based registration, the voting system certification program and campaign finance disclosure filings for state-level and local candidates. In addition to ensuring fair and broad ballot access for hundreds of candidates from throughout the State, the Board is committed to the active oversight and compliance with campaign financial disclosure filing requirements.

2015 was a busy local election year for New York State. There was a special election for the 11th Congressional District, two special elections in the State Legislature, five elections to fill vacancies in the State Legislature, and elections for State Supreme Court in 11 of the state's 13 Judicial Districts, but no statewide ballot propositions. There were many local, county, town and municipal elections including the New York City mayor and city council.

The New York State Board of Elections has worked diligently to embrace each of the new programs it has implemented. We remain steadfast in our commitment to providing open, accessible and accurate elections.

Respectfully submitted,

Douglas A. Kellner
Co-Chair, Commissioner

Andrew J. Spano
Commissioner

Peter S. Kosinski
Co-Chair, Commissioner

Gregory P. Peterson
Commissioner



2015 Members of the State Board. L to R, Co-Executive Director Robert A. Brehm, Commissioner Andrew J. Spano, Commissioner and Co-Chair Douglas A. Kellner, Commissioner and Co-Chair Peter S. Kosinski, Commissioner Gregory P. Peterson, Co-Executive Director Todd D. Valentine.

Mission Statement



The New York State Board of Elections (NYSBOE) was established in the Executive Department, June 1, 1974 as a bipartisan agency vested with the responsibility for administration and enforcement of all laws relating to elections in New York State. The Board is also responsible for regulating campaign finance disclosures and limitations and a Fair Campaign Code intended to govern campaign practices. In conducting these wide-ranging responsibilities, the Board offers assistance to local election boards and investigates complaints of possible statutory violations. In addition to the regulatory and enforcement responsibilities, the Board is charged with the preservation of citizen confidence in the democratic process and enhancing voter participation in elections.

PERSONNEL DIRECTORY

Commissioners

Douglas A. Kellner
Co-Chair

Peter S. Kosinski
Co-Chair

Andrew J. Spano
Commissioner

Gregory P. Peterson
Commissioner

Robert A. Brehm
Co-Executive Director

Donna Mullahey
Secretary

Todd D. Valentine
Co-Executive Director

Maryellen Reda
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Brian Quail, *Co-Counsel*

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Brendan Lovullo, *Dep. Dir. of Election Operations*

Public Information

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Information Technology

Mark Goldhaber, *Dir. of Data Processing Services*
William Ryan, *Mgr. of Data Processing Services*

Administrative Office

Thomas Jarose, *Administrative Officer*
Jennifer Blanch, *Administrative Assistant*

Compliance

Cheryl Couser, *Compliance Specialist*
Robert Eckels, *Compliance Specialist*

Agency-Based Voter Registration

Gregory Fiozzo, *Coordinator of NVRA Operations*
Patrick Campion, *Coordinator of Special Projects*

Division of Election Law Enforcement

Risa S. Sugarman, *Chief Enforcement Counsel*

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Deputy Counsel William McCann argues a case in N.Y. Supreme Court

COUNSEL'S OFFICE

It is worth restating that Chapter 55 of the Laws of 2014 was passed on March 31, 2014. That law, created the new Compliance Unit within the State Board effective June 29, 2014, that resulted in a significant reorganization of the State Board.

Specifically, a new joint Counsels' Office resulted from the combination of the former Enforcement Counsel Office and the former Special Counsel Office. This office is managed by two Co-Counsels and two Deputy Counsels.

The attorneys are responsible for handling all legal matters impacting the State Board, including litigation in the state and federal courts by or against the State Board of Elections. The unit is also responsible for drafting regulations, formal and advisory opinions and an annual Election Law Update on developments in election case law and statutes. The attorneys work with all other Board units to prepare the

State Board’s legislative agenda and draft legislation for the commissioners to present to the legislature. The Counsels’ Office provides oversight and guidance on contracts, compiles responses to subpoenas and Freedom of Information Law requests and prepares and presents continuing legal education courses on campaign finance laws throughout the state. The Counsels’ Office also responds to a large volume of legal questions from county boards, candidates, constituents, political and fundraising committees and the State Board’s Public Information Office regarding all aspects of the Election Law.



Kim Galvin and Brian Quail argue a presidential ballot access case in Supreme Court.

Litigation

2015 was a busy year for litigation in both state and federal courts. There were fourteen lawsuits concerning a variety of election law and tangential party issues. The Counsel’s Office directly participated in many of these matters and also acted as the primary liaison between the Board and the Attorney General’s Office when that office defended the Agency. Of all the cases that the State Board participated in

there was one that directly affected the Board and the elections community. That case was ***Brennan Center for Justice v. New York State Board of Elections***. In this matter, Petitioners, comprised of both a not-for-profit, non-partisan public policy and law institute and several former, current and potential candidates who are New York residents representing multiple party lines, commenced a hybrid CPLR Article 78 proceeding/declaratory judgment action to challenge the Commissioners decision at the April 16, 2015 Board meeting, that kept the Boards long standing position on LLC's and campaign finance limits the same. The Petition was ultimately dismissed by the trial Court and all relief requested therein was denied.

Regulations

The board promulgated new and amended regulations as follows:

- To effectuate the reporting of independent expenditures required by Election Law § 14-107 (6200.10)
- Related to the issuance of subpoenas and taking of oaths (6203)
- Providing for a Hearing Officer Process (6218)
- Promulgating campaign contribution limits as provided by law (6214)

Board Opinions

The Office of Counsel is responsible for preparing responses to requests for formal opinions from the New York State Board of Elections (NYSBOE). These formal opinions serve to further clarify certain sections of the Election Law. The Board issued two formal opinions for 2015 and four advisory opinions.

You may obtain copies of individual opinions or a complete set of opinions by visiting the Board's website (www.elections.ny.gov).



Commissioners and staff testify before New York State Assembly Standing Committee on Election Law, November 18, 2015.

Legislative Activities

Counsel's Office, in consultation with the executive staff, regularly monitors all legislative action which could impact the Board and the election process in New York. Such activities include attending legislative committee meetings, responding to inquiries regarding legislation, and responding to requests for comments on legislation. In addition, Counsel's Office is responsible for drafting all legislative proposals of the Board. In addition to any New York State legislative initiatives, the office has worked extensively with other members of the staff in reviewing any federal legislative proposals that may have an effect on elections in New York.

The following is a brief summary of significant legislation that was proposed and/or enacted in 2015:

1. A. 5926/S. 5519 same as SBOE 15-01 Filing of Papers by Express Courier: This bill adds a new subdivision (3) to §1-106 of the Election Law to recognize delivery services other than the United States Postal Service, to include Fed Ex and UPS delivery services for the delivery of papers under the Election Law. The bill also amends §5-210(3) to include the State Board of Elections as an entity to receive timely voter registration forms.

2. A. 7280-A/S. 1848-A similar to SBOE 15-06 Prohibits Pastors, Labels and Stickers: Addresses concern for the use of stickers and labels on optical scan ballots for any purpose, including write-in voting.
3. A. 3601/S. 4329 same as SBOE 15-11 Modifies Language for VA facilities: Would delete the reference for those patients in VA facilities from “inmates” to “admitted patient.”
4. A. 7601/S. 5908 same as SBOE 15-13 Authorize Use of tools to complete post-election results: Amend Section 9-211 to include use of any automated tools authorized by the NYSBOE.

Another three have passed one house:

1. Passed Assembly - SBOE 15-04 Addition of 13th Judicial District: Addresses the creation of a 13th Judicial District representing Richmond County.
2. Passed Assembly - SBOE 15-07 Eliminates Conflicting Provisions Related to Absentee Ballot Counting: Clarifies that absentee ballots should NOT be counted at the poll sites.
3. Passed Assembly - SBOE 15-12 Removes Petitioning for LIPA Trustee: LIPA trustees are now appointed and not elected. This would modify the EL to eliminate the ability to file petitions for such position.



Board of Commissioners meeting

COMPLIANCE UNIT

The Compliance Unit falls under the supervision of the new joint Counsels' Office. This Unit is comprised of three sub-units: Intake and Processing, Education Outreach and Training, and Audit & Review. These units are managed on a day-to-day basis by two Compliance Specialists.

The Intake and Processing sub unit consists of 5 staff in 2015: an Associate Accountant; a Senior Accountant; a Calculations Clerk II; and 2 Program Agency Aides. This staff is primarily responsible for registrations and terminations of committees, receiving and processing campaign financial disclosure reports, and for operating the call center, where inquiries about the Election Law and filing mandates are handled. At the end of 2015, there were 17,538 active filers with the Board. A total of 39,648 financial disclosure statements were received by the Board in 2015, 10,205 from state filers, and 29,443 from county filers who were mandated to register

and file with the State Board. All filings are available for public viewing on the Board’s website.

The number of active filers with the Board continues to increase, as is indicated below:

	2010	2011	2012	2013	2014	2015
State Filers	2,549	2,212	2,695	2,244	2,365	3,017
County Filers	8,458	10,198	9,990	11,817	13,534	13,270
TOTAL	11,007	12,319	12,595	14,061	15,899	16,287

Filers include both committees, and candidates without a committee who are making their own filings. In 2015, 3,023 new committees registered with the Board. With each new registration, the Compliance Unit sent a confirmation to the treasurer, providing the committee identification number, a personal identification number that acts as an electronic signature when making filings, and other information relating to filing requirements and obligations. There were 1,616 committee/candidate terminations processed in 2015.



Other duties accomplished by this subunit in 2015 include:

- Creation and publication of the campaign financial disclosure filing calendar.
- Calculation of the contribution limits as set forth in Election Law Article 14.
- Providing the public, as well as all filers with the State Board or County Boards of

Elections, with information regarding campaign finance compliance.

- Staff also assisted people who visited our public view area.

The Education Outreach and Training sub-unit is staffed by 3 people. The primary area of responsibility is the preparation and dissemination of information and materials relative to the financial disclosure mandates of Article 14 of the New York State Election Law. In 2015, this sub-unit accomplished the annual revision of the

Campaign Finance Handbook; revised campaign finance forms, and introduced campaign finance forms that can be filled out electronically at the Board's website before they are printed, executed, and submitted to the Board; and created the annual Filer Update for distribution to all filers.

Overall, staff conducted 12 seminars and 7 webinars throughout the State to provide information as to the requirements of campaign financial disclosure and applicable Election Law provisions. Current training topics include; the traditional training campaign finance seminars focused on registration, Compliance specialized trainings, Continuing Legal Education (CLE) credits for attorneys, Continuing Professional Educational (CPE) credits for accountants, and "Winding Down the Campaign" training for post-election filers requesting resignation or termination. 564 people attended seminars and 239 attendees participated in our webinar offerings. Staff continues to offer a "train the trainer" program for county boards of elections so that the staffs at the boards can better assist filers.

The Audit & Review sub unit was expanded by Chapter 55 of the Laws of 2014 to assist candidates and treasurers to comply with New York's campaign finance laws. The Audit & Review subunit is staffed by thirteen people: two Supervising Auditors, seven Auditors and four Reviewers. The Unit is charged with examining campaign financial disclosure statements to ensure filings are proper and complete. Candidates, Candidate Committees, Party and Constituted Committee, PACs, Independent Expenditure Committees and Ballot Proposition Committees are all required to file statements with the Board.

The State Board tracks the most common deficiencies in filed reports and revises and updates its training materials to address the most common errors treasurers make. The compliance review process, in and of itself, is educational for treasurers and their candidates. The "Frequently Asked Questions" section of the Board's Campaign Finance Webpage is updated to include additional instructions for common questions and modifications have been made to our training seminars and webinars to reflect compliance issues. It is hoped that these ongoing efforts will enable a greater number of treasurers to file correctly in the first instance.

In 2015, 28,042 itemized reports were filed. Of the 25,089 reviewed in 2015, 7,270 were deficient, 15,950 were compliant and 1,869 had training issues.

Enforcement Transition

In 2015, the Compliance Unit referred non-filer and deficient filer items to Enforcement for review and action. This consisted of 1923 for failure to file, and 203 for failure to come in to compliance.

The Compliance Unit has referred lists of those candidates and committees that have failed to file the following reports in 2015:

2015 January Periodic	769 candidates/committees
2015 July Periodic	1154 candidates/committees





Voter Registration Unit

Agency-Based Voter Registration

Since 1995, the New York State Board of Elections has been assisting and guiding participating state agencies in understanding and executing their voter registration responsibilities mandated by the National Voter Registration Act of 1993 (NVRA) and its corresponding state laws. The intent of the program is to offer individuals the opportunity to register to vote, when they apply for or renew a driver's license, or when they apply for services at any of the approximately 800 offices that participate in the program.

Agencies designated to provide voter registration include the Department of Motor Vehicles, as well as public assistance, disability, and other state-designated agencies. Designated as state agencies which provide public assistance are the Office of Temporary and Disability Assistance and the Department of Health. Designated as state agencies that provide programs primarily engaged in providing services to people with disabilities are the Department of Labor, Office for the Aging, Division of Veterans' Affairs, Office of Mental Health, Office of Vocational and

Educational Services for Individuals for Disabilities, Commission on Quality of Care and Advocacy for Persons with Disabilities, Office for People With Developmental Disabilities, Commission for the Blind and Visually Handicapped, Office of Alcoholism and Substance Abuse Services, State University of New York Disability Offices, City University of New York Disability Offices, and certain offices which administer programs established or funded by such agencies. Additional state agencies designated as voter registration sites are the Department of State and the Division of Workers' Compensation.



Registration Statistics

During 2015, there were 283,718 voter registration applications or transactions received by county boards of elections which resulted from the efforts of state agencies. The Department of Motor Vehicles yielded the highest volume of registration applications among the various agencies mandated by the NVRA, accounting for 78% (222,352) of the total number of voter registration applications or transactions in the state. The remaining agencies/programs participating in the program accounted for 22% (61,366).

Sources of Voter Registration

Motor Vehicles	222,352
Public Assistance Agencies	54,589
Disability Agencies	6,023
State Designated Agencies	681
By Mail	73
Total	283,718

Agency-Based Voter Registration Statistics

<u>Year</u>	<u>New Registrations</u>	<u>Address Changes</u>	<u>Enrollment Changes</u>	<u>Name Changes</u>
2011	170,447	84,282	33,898	11,769
2012	201,401	87,057	33,479	11,089
2013	135,773	56,912	19,312	8,618
2014	127,726	56,966	17,126	8,126
2015	132,230	63,883	20,596	8,653

Training

The State Board of Elections is responsible for the development of training materials and presentation of training programs on the requirements and implementation of the agency-based voter registration program. Regional agency-based voter registration training offerings were presented to the participating NVRA sites in New York State. State Board staff continues to provide updated training and reference materials as well as on-going telephone guidance and support to agency program liaisons, site personnel in all offices offering agency-based voter registration, as well as to county boards of election.

Agency Oversight

The success of the agency-based registration program relies on cooperation among the participating state agencies, county boards of elections, and the New York State Board of Elections. Due to the numerous and unique differences in clientele and services provided by each of the agencies, the administrative policies at each agency's participating offices and programs are conducted at the discretion of each individual state agency, under the guidance, input, approval and support of the State Board of Elections. Also, staff responds to all inquiries, and acts to assist

agency program coordinators, site personnel, and county board staff in resolving administrative and procedural issues in an effort to ensure effective and efficient operation of the agency-based registration program in New York State.

In addition, statistical reports containing data on voter registration activity for all agency-based sites are mailed to agency commissioners and program coordinators each month. Review of these reports enables program coordinators to monitor voter registration activity and program compliance, as well as identify inconsistencies at each participating office. This information also assists the State Board of Elections in evaluating the workload placed on county boards of elections offices by NVRA program requirements.

Distribution of NVRA Program Supplies

Supplies for the agency-based registration program are shipped weekly by NVRA staff as requested by participating sites. Constant tracking of supply order and shipping dates is made possible by a supply order and inventory system specifically developed for monitoring distribution of NVRA program materials. The system also provides staff with current inventory balances to ensure that supplies, including forms in multiple languages as required by the Voting Rights Act, are reordered as needed. The State Board also distributed voter registration forms to institutions of the State University of New York. The New York State Board of Elections processed 666 individual supply shipments to participating NVRA sites during 2015.

In addition, the State Board of Elections provides “read only” copies of the NYS voter registration form in both Braille and large print formats. Copies of both versions as well as a poster-sized version of the agency-based voter registration form are provided to agencies and programs participating in the NVRA program that serve people with disabilities upon request.

Voter Registration Cancellations

When New York State residents relocate to another state or when out-of-state residents move into New York State and subsequently register to vote, a notice of registration cancellation is returned either to a county board of elections or the New York State Board of Elections so that voter registration rolls may be updated. In 2014, staff at the State Board of Elections processed 33,334 New York State and 7,690 out-of-state registration cancellations and forwarded them to the appropriate county board of elections or state election official.

DMV Address Change Requests

The New York State Board of Elections assists the Department of Motor Vehicles with the distribution of customer address change requests resulting from licensing or driver I.D. transactions by counting, sorting and forwarding them monthly to county boards of elections. Also received from the Department of Motor Vehicles and processed by state board staff, are the DMV internet change of address request forms which have been downloaded by customers, completed, and forwarded to DMV. The state board distributed 24,275 address change requests received from the Department of Motor Vehicles to county boards during 2015.

County HAVA Funds Program

The Help America Vote Act (HAVA) has provided funds to the State of New York for poll worker training, voter education, and poll site accessibility. Since June 2006, the State Board has been overseeing the grant application process, as well as the disbursement of federal and state funds, to further the HAVA and State program objectives. The Poll Site Access Improvement Program provides funds to county boards of elections to assist them in ensuring that all New York polling places are accessible and provide the same opportunity for all voters to participate in the election process. The Voter Education and Poll Worker Training Program provides funds to county boards of elections to implement programs to educate poll workers and the general public on the proper use of new voting systems.

Poll Site Access Program

The New York State Board of Elections has received funding from State appropriations and from the Department of Health and Human Services to establish, expand, and improve access to and participation by individuals with a full range of disabilities in the elections process. The polling place access improvement funds will assist county boards in undertaking minor temporary improvement or renovation projects, and the purchase of proper signage, materials, and low-tech devices to help assist persons with disabilities on election days and to assure voter privacy and independence. The funds may be used to make polling places, including parking, the path of travel, door hardware, entrances, exits, and voting areas of each polling facility, accessible to individuals with the full range of disabilities (e.g. impairments involving vision, hearing, mobility, dexterity, emotional, or intellectual) through the use of varied accessibility tools (e.g. ramps, handrails, and signage).

Poll Worker Training and Voter Education Program

The New York State Board of Elections has received HAVA funds to be dispersed and used by county boards for the specific and limited purpose of advancing Voter Education and Poll Worker Training. County Boards have implemented programs to educate individuals on the proper use of new voting systems, including ballot marking devices. These efforts are intended to help bolster public confidence in the election process by providing information to election administrators on methods for keeping the process secure while ensuring that every eligible voter can cast a vote and have that vote counted. Training and education must extend to all voters, including those with a full range of disabilities, as well as those with language barriers.



NYSVoter County Reviews

In 2007, the State Board of Elections implemented “NYSVoter” (pronounced nice voter), the statewide voter registration database in order to comply with the Help America Vote Act (HAVA) and subsequent amendments to the New York State Election Law. NYSVoter was built by integrating a centralized database system with the county voter registration/election management systems (VR/EMS), giving the

State Board administrative control over the centralized database and the responsibility for auditing the system to assure that the local election officials are conducting the business of voter registration in a compliant manner. State Board personnel visit the county boards to perform periodic reviews of their NYSVoter procedures, and in 2015, 23 counties were reviewed and found to be in substantial or better compliance with state regulations.





ELECTION OPERATIONS UNIT

The major responsibilities of the Election Operations Unit of the New York State Board of Elections include the oversight and support of New York State’s 62 county boards of elections, the facilitation of ballot access efforts by candidates for a variety of public offices and party positions, and oversight and technical assistance of the statewide deployment of voting systems. The Election Operations Unit actively engages in ongoing daily communications with county Boards of Elections and the general public on a multitude of topics.

Candidate Ballot Access

In the summer of 2015, ballot access activity was focused on petition filing for the election of delegates and alternate delegates to Judicial District Conventions. Delegates nominate candidates for the office of Justice of the Supreme Court from 13 judicial districts in the State at fall conventions.



Ballot access activity for the judicial delegates and alternates described above, included data entry, and the creation and dissemination of corresponding acknowledgements, consisted of the following:

- 106 petitions for Judicial Delegate and Alternate Delegate positions

Some filings related to the party position candidacies previously described are made at the local level, as some of the districts in which those persons run are wholly contained within county boundaries. In these cases, information concerning candidate filings which are made at the county level must be shared with NYSBOE. These local certifications are forwarded to the Board, and become part of the statewide certifications to party committees and others who have need of or interest in this information.

Ballot access filings are not validated by NYSBOE. They are presumptively valid when filed, however the validity of a filing may be challenged by persons choosing to do so. Challenges require the filing of an initial objection within three days of the filing, followed by specific objections within six days. If specific objections are filed – the itemization of objections – staff reviews each specific itemized objection, notes their findings, and submits a summary of findings report to a hearing officer for review.

Determinations are then made by the Commissioners of the State Board of Elections, and a notice of those determinations is made to the objector as well as the candidate. In 2015, objections to petitions and corresponding specifications received, posted, and researched, were as follows:

- 5 objections filed
- 1 set of specifications filed

Vacancies existed in 11 of the State's 13 Judicial Districts. Delegates and Alternate Delegates were notified by their respective political parties to attend conventions, which were convened on various dates beginning on September 22 and running through September 28. From each of the 11 Judicial Districts that had vacancies, a total of 36 nominations were received, posted, and acknowledged, representing the nomination of 85 candidates for office of Justice of the Supreme Court.

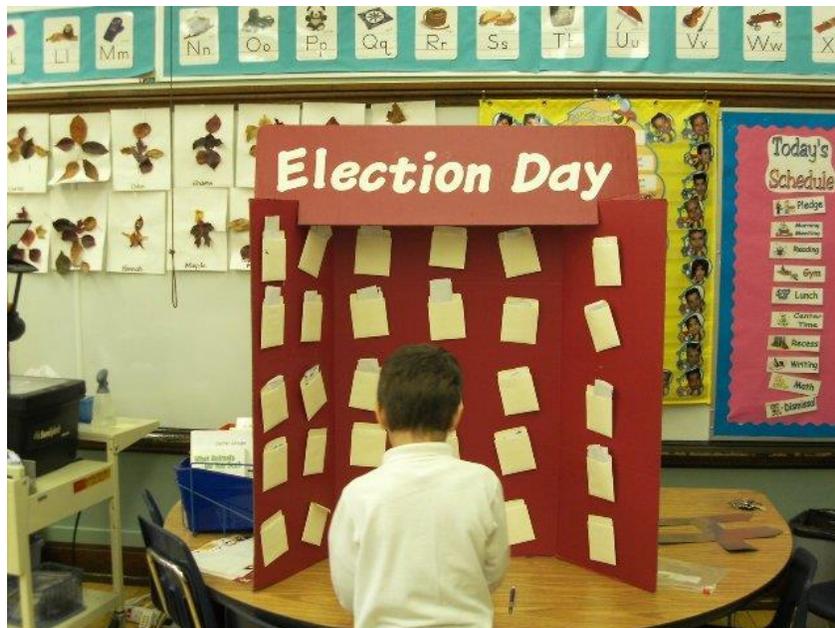
The Governor declared Special Elections in 2015, to fill the following vacancies:

11th Congressional District

43rd Assembly District

The Governor did not declare Special Elections for vacancies that occurred in two senate districts and three assembly districts. Elections for these vacancies were held at the November 3, 2015 General Election:

19th Senatorial District	- John L. Sampson	- resigned 7/24/2015
52nd Senatorial District	- Thomas W. Libous	- resigned 7/22/2015
29th Assembly District	- William Scarborough	- resigned 5/6/2015
46th Assembly District	- Alec Brook-Krasney	- resigned 7/7/2015
128th Assembly District	- Samuel D. Roberts	- resigned 6/25/2015



Public Election Services

Additional services provided by Unit staff include responding to inquiries for data and/or information from the public, and the distribution of related documentation. A multitude of general information is also disseminated, including election results (current and previous years), political calendars, candidate lists, the State Board's "Running for Office" booklet, voter registration forms, Election Law books, and other information and data relating to elections and the election process. Further, the Unit also has responsibility for managing the NYSBOE'S toll-free voter registration application request number (1-800-FOR-VOTE), as well as fulfilling requests submitted via the agency website. In 2015, in response to these requests for voter registration applications, Unit staff transcribed 1,660 requests and 6,692 voter registration forms (English & Spanish combined) were sent out.

The SHOEBOX Program

The New York State Board of Elections has received federal dollars to fund compliance with and implement the 2002 Help America Vote Act (HAVA).

As New York's HAVA distribution program does not provide for the direct release of federal funds to counties, in the overall scope of compliance with HAVA, a separate program needed to be created to enable the reimbursement of county funds that were expended in the name of either implementing HAVA, or furthering the goals and objectives of HAVA. For the purchase of other products and services

related to the overall HAVA project which were not part of the vendor contracts themselves, needed to be accommodated, thus this additional program was created and came to be known as the SHOEBOS Program: Submission of HAVA Operations Expenses by Boards of Elections

County boards of elections may make application, after the purchase of such products and services with county funds, for reimbursement of either some or all of those costs, provided that the purchases were reasonable, allowable, and allocable. Substantial evidence must be included with each application, and prior to the award of any reimbursement, all applications are reviewed for the products' and/or services' compliance with the EAC's guidelines and formal opinions for allowable expenses. Reimbursement will be made for 100% of the allowable costs submitted not to exceed the unspent balance of funds allocated to each county.

To receive reimbursement, county boards of elections must have contracts in place, and complete and submit an application packet to the Election Operations Unit. In 2015, thirty-one counties submitted 125 vouchers for SHOEBOS fund reimbursement, amounting in total to \$1,684,097.00.

Statewide County Boards of Elections Operational Support

In addition to election assistance, support to county Boards of Elections in the area of daily operations remains a key focus of the Unit.

County Boards of Elections are provided with oversight and support in innumerable ways, including phone calls, conference calls, e-mails, customized workshops and site visits tailored to individual counties, informative conference presentations, participation in and appearances at Election Commissioners Association regional meetings, topical memorandums, and the provision of extensive procedural documents and forms for implementation at the local level. As new regulations emerge or previous topics become heightened areas of interest, the Unit strives to communicate timely and appropriate guidance to county Board of Elections personnel.

Voting System Certification and Support Activities

The rapid pace and high volume of activity relative to supporting and guiding county boards of elections in the use of optical scanning devices continued into 2014. Due to the complexity and technical nature of these systems, the provision of

ongoing support is essential throughout the year. Key initiatives and services included, but were not limited to, the following:

- Help Desk technical support was provided before, during, and after the Primary and General Elections, with dedicated staff assigned to assist county board of elections personnel in building ballots, running test decks, conducting 3% audits, defining ballot layout, and related tasks.
- Staff scanned all petitions that were received by the State Board of Elections and processed all copy order requests.
- Election Operations staff continued refining all policies and procedures. Feedback from county boards of elections, as well as input received from security vendor NYSTEC, support the goal of sculpting all the policies and procedures.
- Staff continues to collect and review ballots generated by county boards of elections in order to assess the potential for improved usability for voters. Areas of focus were font size, layout, placement of instructions, and overall ballot design.
- Election Operations staff continue to visit county boards of elections to conduct voting machine audits and election monitoring activities. Election monitoring includes gathering and reviewing procedures and processes at the local level to ensure that county boards are creating and executing test desks as prescribed by NYSBOE; look for aberrations in the functioning of voting equipment; and, review operational procedures used by county boards, to ensure consistent delivery of all board of elections services.
- Staff continues to monitor the usage of the asset management system and have been busy working with the vendor (AssetWorks) to update the system with input from the county boards of election.
- The Unit continues to conducted on-site and WebEx training sessions related to the use of certified central count voting systems now available from ES&S and Dominion Voting Systems.

- Staff completed the certification testing of the ClearBallot ClearCount central count voting system submitted for certification.
- Staff completed the certification testing of the Dominion ICP precinct-based scanner upgrade as well as the Dominion ICC central count scanner upgrade.
- Staff began the process of testing the ES&S submitted upgrade for their DS850 central count scanner, DS200 precinct-based scanner and Election Management System.
- Staff continued working with the Information Technology Unit and the Public Information Office to build and operate a statewide election night reporting data base, to provide results on the web. This effort included continued training of county boards of elections, along with assisting boards before and during election night in the new reporting method.

Additional Unit Activities

In addition to ongoing operational and technical support to county boards of elections, public election services, ballot access assistance, and voting system certification and technical support, Election Operations Unit personnel assist other Units in the agency through active participation in workgroups and projects, support of other Agency missions affected by staffing shortages, and contributing to the various priorities identified by the Board.



Commissioners Kellner and Kosinski discuss a board resolution.



INFORMATION TECHNOLOGY UNIT

All of the program units in the Agency rely heavily on technology to perform the Board's collective mission. This is especially true with the changes in election management within the State of New York and nationally. The Information Technology Unit (ITU) is tasked with providing the most efficient and cost effective technology solutions to assist program unit staff to perform their responsibilities.

Among the ITU responsibilities are the procurement, operations and maintenance of all computing systems for the State Board of Elections. ITU staff performs or manages development, implementation, maintenance and support of all election information systems for the Agency. Information Technology Unit staff is responsible for data storage, data processing, security of networks and data as well as accessibility to election-related data. ITU keeps the Board current with emerging technology and makes recommendations to the Co-Executive Directors regarding technology procurement.

Additionally, the Agency's Chief Information Officer represents the Board of Elections at the Office of Information Technology Services' Citizen Services Cluster.

Computing Environment and Infrastructure

The New York State Board of Elections operates a complex network environment that connects the BOE offices with primary and backup datacenters, as well as providing both Internet connectivity for public access to the Board's websites and encrypted connectivity through the "NYSVoter" network that allows for secure communication between the Board and local county systems. The Information Technology Unit is responsible for the design, installation, configuration and maintenance of the networks. This responsibility includes servers, switches, and routers, security devices, wiring plant, workstations and all of the ancillary devices required for operating a stable, secure network. Information Technology staff is also responsible for the design and maintenance of the Agency databases, Intranet and Internet infrastructures and applications. IT staff assures that backup, disaster recovery and network security are performed with attention to the best industry standards and practices. The main BOE datacenter was moved in 2015 to a new location hosted by the NYS Office of Information Technology Services. The entire server infrastructure at both the primary and backup data centers was upgraded during 2015, and will go live during 2016.

Information Technology management is responsible for developing an Agency technology budget. IT Management is also responsible for obtaining purchase approval for all technology purchases by submitting justified "Plan-to-Purchase" documentation and submitting requisitions for purchase to the finance/procurement officer.

ITU staff develops, maintains and supports the major applications and systems described below, which are used at the State Board of Elections, and ensures that all design and coding are performed with attention to the highest industry standards and practices.

Financial Disclosure Administration System (FIDAS).

The Financial Disclosure System is a relational database, network-based system used by auditing and enforcement staff for the management of the financial disclosure reports for committees and candidates for statewide office. The Information Technology Unit develops and maintains the databases and applications associated with the administration of campaign finances. The Agency's Electronic Filing Software, which is used by candidates and political committees for filing their

reports, was developed by and is maintained by the Agency's IT staff. In 2015, the IT Unit commenced the re-engineering of FIDAS as part of the CAPAS/FIDAS Redesign Project.

IT is responsible for receiving and processing electronic filings from nearly 16,000 filers and loading them into FIDAS. There were eight major filing periods in 2015. A small, but efficient help desk staff performs this work in addition to delivering telephone support to the financial report filers, county boards of elections and agency staff.

National Change of Address (NCOA) Processing

NCOA processing was coordinated by the State Board as required by the National Voter Registration Act. A file with all the names and addresses is produced and forwarded electronically to an NCOA vendor for matching against the U.S. Post Office's Change-of-Address database. The file resulting from the processing is retrieved electronically by the State Board where it is parsed and redistributed to the individual counties of origin. The NCOA processing for 2015 included nearly 12 million voter records from sixty-two counties. Centralizing this NCOA processing through the State Board, as opposed to the processing by individual counties, provides the counties with a substantial savings in revenue due to the economy of scale that the State Board is able to leverage.

Election Operation Support

The Information Technology Unit provides support to the Election Operations Unit in the form of the Candidate Petition Administration System (CAPAS), which is used to administer the candidate petition process, as well as, create correspondence, ballots and reports pertaining to elections. In 2015, ITU continued the re-engineering of CAPAS as part of the CAPAS/FIDAS Redesign Project.

Agency-Based Voter Registration/Public Information

The Information Technology Unit supports the database applications used by the Voter Registration Unit to manage the registration sites and transactions. There is also a supplies inventory system created and maintained by the Agency's IT staff.

The Public Information Officer has oversight of the content on the Agency's website. The Agency has adopted a policy of making as much information as possible available electronically thus cutting the cost of printing and reproduction through the

FOIL process. The Information Technology staff works closely with the Public Information Office to oversee the technology, design and application development associated with the Agency's website and is responsible for ensuring that the website meets all State branding and accessibility guidelines.

NYSVoter Statewide Voter Registration Database

As part of the Federal Help America Vote Act (HAVA) legislation that was passed in 2002, as well as New York State Election Law changes, the State Board of Elections created a statewide voter registration database. The database, known as NYSVoter (nice voter), was developed and implemented in 2007. Since then the system has become mature and stable.

NYSVoter is a complex architecture of over 60 servers and multiple networks. In 2015, ITU staff performed upgrades to the NYSVoter environment as part of the NYSVoter Refresh Project.

The Information Technology Unit worked with the Federal Voting Assistance Program to implement the Military & Overseas Voter Empowerment Act (MOVE) to assist military and civilian voters who live overseas to vote absentee ballots. The MOVE system was integrated with NYSVoter and the county voter registration systems for the 2012 election and continues to operate for the benefit of MOVE voters.



THE DIVISION OF ELECTION LAW ENFORCEMENT

(Submitted by Enforcement Counsel pursuant to N.Y. Election Law § 3-104(7))

On March 31, 2014, Governor Andrew Cuomo signed into law the Public Trust Act, which amended the New York State Election Law to create within the State Board of Elections (“SBOE”) a new independent enforcement division known as the Division of Election Law Enforcement (the “Division”). Pursuant to Election Law, the Governor appointed, and both the Assembly and Senate confirmed, Risa S. Sugarman as chief enforcement counsel to head the Division effective September 1, 2014, when Ms. Sugarman took office.

The Election Law confers upon the Chief Enforcement Counsel the power and duty to conduct all investigations necessary to enforce provisions of the Election Law. The Chief Enforcement Counsel has sole authority within the State Board of Elections to investigate on her or his own initiative or upon complaint alleged violations of such statutes, and all complaints alleging violations must be forwarded to the Division. The Chief Enforcement Counsel oversees the entire Division with an operating budget of \$1,450,000 and oversees all staff activities.

DIVISION STRUCTURE AND STAFFING

The Division created a structure for independent enforcement activities. The Division hired an investigative team of experienced attorneys, support staff, investigators and auditors. In addition, an investigative analyst-strategic planner, a person with internal auditing experience, was necessary to assure quality control, integrity of investigations and proper record maintenance. In total the staff of the Division, headed by the chief enforcement counsel, includes the investigative analyst-strategic planner, three additional attorneys, three investigators, two investigative auditors and one support staff. Division attorneys are experienced in investigation and litigation, both in the prosecution and defense, of criminal and civil matters. Investigators and auditors have extensive investigatory backgrounds in law enforcement and have been members of federal investigatory agencies, state and local police departments, and state investigative agencies. The strategic planner is an experienced director of internal audit, providing the Division with financial, records, and performance auditing.

Further, the Division continues staff training activities, developing policies and procedures, implementing technology advances for the Division with the use of case management and data analytic systems.

DIVISION ACTIVITIES

The Division receives complaints via mail, email, and telephone about a variety of issues affecting elections and campaign finance in New York State. Generally speaking, when the Division receives a complaint, the Chief Enforcement Counsel reviews the complaint to determine whether it will be assigned to an attorney, an investigator, an auditor, or an investigative team. A letter is sent to the complainant (if identified) acknowledging receipt of the complaint, and an initial review of the complaint is undertaken. The nature of the complaint determines the nature and extent of the investigation. If necessary, the Division may request additional information from the complainant or other sources.

If the Chief Enforcement Counsel determines that the allegations, if true, would not constitute a violation of the Election Law or that the allegations are not supported by credible evidence, a letter is issued to the complainant dismissing the complaint and notice is given to the Board.

The Chief Enforcement Counsel must determine whether to proceed civilly or criminally on complaints that are supported by credible evidence. Division staff, working as a team, investigate the allegations and gather evidence necessary to make a determination as to the proper disposition of the case. In some instances, the Chief Enforcement Counsel may request authorization from the SBOE to administer oaths and affirmations, subpoena witnesses, compel their attendance, examine them under oath or affirmation, and require the production of any documents or other evidence she may deem relevant or material to the investigation. Based on the evidence obtained, the Chief Enforcement Counsel makes a determination whether the Division should close the matter, proceed with civil enforcement action, or seek criminal prosecution.

COMPLAINTS FROM THE PUBLIC

The Division maintains an email address – enforcement@elections.ny.gov – to enable citizens to file complaints easily. Members of the public have utilized the Division’s email address for the purpose of contacting not only the Division but also the SBOE. Emails that ask questions dealing with SBOE functions, such as operations, registration, and elections calendars, are referred to SBOE Executive Directors for disposition. Remaining complaints are addressed by the Division. Complaints are also received by regular mail, and other investigative initiatives are generated by Division staff.

Complaints received by the Division are sometimes unique but more often fall into familiar and repeating categories. All complaints received by the Division are confidential. The identities of complainants and the existence of particular investigations is held in the strictest confidence. A few of the categories include:

- *Failure-to-File:* Complaints received by the Division often are received within days of the filing deadlines and point to the failures of particular candidates or committees to make timely required filings. Although some of these complaints lead to serial non-filers and further investigations, most complaints resolve themselves when the candidate or committee files the required report, often within days of the deadline, and has no prior history of violations of the filing requirements.
- *Campaigning or Election Day conduct:* Complaints received by the Division about elections include allegations that candidates have used false or misleading information on their campaign materials, electioneered at polling places on

Election Day, or improperly expended committee or candidate campaign monies. These complaints are assigned by the Chief Counsel for investigation.

DIVISION-INITIATED INVESTIGATIONS

The Division is conducting reviews and investigations into several matters on its own initiative. These investigations have state-wide impact on aspects of the Election Law that have been ignored in years past.

- *Registration of Political Clubs* - In May 2013 the Citizens Union of New York City published a report entitled “*Hidden From View: The Undisclosed Campaign Activity of Political Clubs in the State of New York.*” The report reviewed hundreds of committee financial disclosure reports and discovered the rampant failure of political clubs across the state to register with the SBOE and file the required disclosure reports. The Division initiated and is conducting inquiries into Clubs across the state to determine whether they are registered and filing the required campaign disclosure reports.

In furtherance of this initiative, the Division’s initiative included outreach to political clubs to discuss Election Law requirements with treasurers and officers and also offer ongoing assistance as needed to county BOE commissioners. Inquiries fall into three categories. Some clubs are registered with the SBOE as political committees but are not in compliance with requirements of the Election Law or the SBOE. Some clubs are not registered and not filing disclosure reports with the SBOE but are filing such reports with the county BOE. Other clubs are not registered and not filing reports anywhere. The majority of political clubs contacted by the Division have agreed to and been actively working towards bringing their clubs into compliance with the Election Law by registering and filing the required reports with the SBOE for the past three years and going forward.

These inquiries, and others yet to be undertaken, will continue to seek a high level of compliance by all political committees, including political clubs, with Election Law registration and financial disclosure requirements.

- *LLC Contributions - Campaign Finance Transparency* - The public is entitled to know who is making campaign contributions to campaign committees. The Division is seeking to help ensure that contributions are transparent and made by legitimate business entities and not straw-donors, shell entities, or

anonymous sources.

In furtherance of this effort, the Division commenced a civil enforcement action in Supreme Court, Albany County in July of 2015 against a political committee for actions arising out a special election for the New York State Assembly. The action sought penalties against the committee for accepting excess contributions from alleged shell LLC's and other business entities. It was specifically alleged that the committee knowingly accepted donations from a source other than the true donor who was funneling contributions through shell LLC's in order to evade campaign contribution limits. The action ultimately was resolved by the committee admitting violations of the Election Law and agreeing to forfeit the sum of \$10,000.00 dollars to the state comptroller general fund.

As part of its ongoing review, the Division is continuing to explore both civil and criminal probes of various corporations, LLC's and other donor entities to confirm whether the sources of donations have been accurately identified in campaign finance filings as required under New York Election Law. Additionally, the Division will be reviewing whether the true donors have attempted to use additional entities or straw donors to make conduit contributions in order to circumvent campaign finance regulations. The Division is reviewing these state and local donor entities to ensure that voters are able to determine the true sources of the contributions.

DIVISION CASE LOAD

Complaints are received and reviewed by the Division continuously and the numbers stated here do not reflect the current number of matters under review.

Between January 1 and December 31, 2015, the Division received 294 email complaints. The Division conducted the initial review process described above which allowed referral of 113 of the complaints to the SBOE for matters under their jurisdiction. Some of the complaints were resolved as filers voluntarily completed missing filings, as noted above. The Division formally opened 113 cases for investigation. After board action, the chief enforcement counsel received authorization in nineteen (19) cases to exercise the authority to issue subpoenas. Further, after board action, the chief enforcement counsel referred nine (9) investigations for continued investigation and possible prosecution. The Division collected \$77,155.05 judgements obtained by the Division and the former Election

Law Enforcement Unit.

The Division encourages the public to continue to report violations of the Election Law. All allegations are treated as serious matters.



Commissioner Spano makes a point during a board meeting



PUBLIC INFORMATION OFFICE

Media Relations

The Public Information Officer serves as the board's spokesperson and is responsible for handling all press inquiries. In 2015, the Public Information Office received over 3,500 requests from reporters, interested parties and the general public seeking information on election results, voter registration data, campaign finance filings, enforcement matters, Election Law, implementation of the Help America Vote Act, the National Voter Registration Act, absentee voting, the Military & Overseas Voter Empowerment Act, voting machines and board policies. The Public Information Officer also produced press releases and advisories throughout the year which provided information on these topics to the state and national press corps and the general public. This information was also made available via the Internet through the Board's website (www.elections.ny.gov) along with a wide range of election-related data of interest to New York State voters all over the world.

Election Night Results Reporting

As a result of legislation passed in 2013, the Board now provides unofficial Election results as part of an Election Night Reporting System. In 2015, most results were for local offices, however we did report results for one special election in the 11th Congressional District, two special elections in the State Senate and four special elections in the State Assembly. In addition, we reported results for State Supreme Court.

Freedom of Information Law

The Public Information Officer also serves as the Board's Records Access Officer. He is responsible for processing all FOIL requests (excluding petition copies) received by the agency. In 2015, 584 requests were received by the Records Access Officer. This number represents a 7% decrease from 2014. This decrease is attributed to 2015 being a largely local election year. Most requests were for data and records from NYSBOE's statewide database of registered voters (NYSVoter). Of the requests received, 514 requests were granted, 33 were denied in accordance with the provisions of Section 87 of the Public Officers Law, and in 37 instances no records were found.

Registration Hotlines

The Board's automated hotline (1-800-FOR-VOTE) and the Board's webpage on-line voter registration form (www.elections.ny.gov) provide a dependable, efficient and convenient way in which citizens may request voter registration application forms. The hotline remains a positive component of the board's outreach program and the webpage continues to capture a larger share of the program.

Legal Notices

Pursuant to Section 4-116 of the Election Law the State Board is required to publish, once in the week preceding any election at which proposed Constitutional Amendments or other propositions or questions are to be submitted to the voters of the state, an abstract prepared by the Attorney General explaining the amendment or question. The amendment, abstract and question are published in at least one general circulation newspaper in every county of the state and comply with the language requirements of the Voting Rights Act. There were no ballot propositions on 2015 General Election ballot.

Website (www.elections.ny.gov)

Lastly, the Public Information Office works in close concert with the Information Technology Unit to operate and maintain the agency's website. Our website is an integral part of the Board's effort to provide information for the general public. The homepage received 623,031 total visits during 2014. The voter search page received 489,251 visits for the year.





AGENCY ADMINISTRATION

The Board's Administrative Office consists of two staff members. The duties of this unit include all personnel administration, purchasing, banking, mail and warehouse operations and all general agency administrative tasks relating to day to day operations. The Board has a Host Agency agreement with the Office of General Services for activities related to budgeting, contracts, purchasing, voucher payments and transactional Human Resource functions.

Fiscal Operations

The State Board of Elections received fiscal year 2015-16 appropriations of \$8,482,000 in the General Fund, \$0 in Federal Funds and \$3 million in Special Revenue Funds.

The State Board of Elections was granted the following re-appropriations for 2015-16:

- \$6,500,000 by the laws of 2011, for the implementation of federal election requirements including HAVA of 2002 and the MOVE Act of 2009.
- \$4,500,000 by the laws of 2010, in federal HAVA funds related to the implementation of the Military and Overseas Voter Empowerment (MOVE) Act of 2009.
- \$4,000,000 by the laws of 2009, for HAVA related expenditures.
- \$1,000,000 by the laws of 2005, for services and expenses (prior to April 1, 2005) related to the Help America Vote Act of 2002.
- \$1,000,000 by the laws of 2005, for services and expenses (on or after April 1, 2005) related to the Help America Vote Act of 2002.
- \$1,000,000 by the laws of 2009, for expenses related to satisfying the matching funds requirements of Section 253 (b) (5) of the Help America Vote Act of 2002.

- \$3,000,000 by the laws of 2014, for Voting Machine Examinations related expenditures.
- \$2,200,000 by the laws of 2006 amended in 2008, for the general fund local assistance services and expenses related to the alteration of poll sites to provide accessibility for disabled voters.
- \$1,000,000 by the laws of 2012, for services and expenses in the federal Health and Human Services account, including prior year liabilities, related to Poll Site Accessibility improvements.
- \$1,000,000 by the laws of 2011, for services and expenses in the federal Health and Human Services account, including prior year liabilities, related to Poll Site Accessibility improvements.
- \$500,000 by the laws of 2010, for services and expenses in the federal Health and Human Services account, including prior year liabilities, related to Poll Site Accessibility improvements.
- \$500,000 by the laws of 2009, for services and expenses related to the implementation of the Help America Vote Act of 2002, including the purchase of new voting machines and disability accessible ballot marking devices for use by the local boards of elections.
- \$1,500,000 by the laws of 2009 amended in 2011, for services and expenses related to the implementation of the Help America Vote Act of 2002, including the purchase of new voting machines and disability accessible ballot marking devices for use by the local boards of elections.
- \$9,300,000 by the laws of 2008 amended in 2011, for services and expenses related to the implementation of the Help America Vote Act of 2002, including the purchase of new voting machines and disability accessible ballot marking devices for use by the local boards of elections.
- \$3,300,000 by the laws of 2005, for services and expenses incurred for the poll worker training and voter education efforts.
- \$10,000,000 by the laws of 2005 amended in 2006, for services and expenses related to the purchase of new voting machines and voting systems.

Personnel Administration

The agency was authorized a staffing level of 80 full time positions for the 2015/16 Fiscal Year.

As a result of the 2011-2015/16 negotiated agreements with NYS Bargaining Units, staff members represented by the Civil Service Employees Association, Inc. (CSEA) received a two percent (2.00%) annual statewide salary increase effective 03/26/2015. Public Employees Federation, AFL-CIO (PEF) staff did not receive an annual statewide salary increase in 2015. Management and Confidential (M/C) staff members also received a two percent (2.00%) statewide salary increase effective 03/26/2015 and a two percent (2.00%) parity increase effective 07/01/2015.

Revenue Calendar Year 2015

Judgments	\$75,560.67
Election Operations Photocopies	\$14.25





Appendix

NYSVoter Enrollment by County, Party Affiliation and Status Voters Registered as of November 01, 2015

REGION	COUNTY	STATUS	DEM	REP	CON	GRE	WOR	IND	WEP	REF	OTH	BLANK	TOTAL
Outside NYC	Albany	Active	84,571	34,251	2,880	499	596	9,113	12	0	206	38,292	170,420
Outside NYC	Albany	Inactive	11,632	3,170	328	103	124	1,225	0	0	113	5,464	22,159
Outside NYC	Albany	Total	96,203	37,421	3,208	602	720	10,338	12	0	319	43,756	192,579
Outside NYC	Allegany	Active	5,745	11,995	428	76	123	1,232	2	0	18	4,423	24,042
Outside NYC	Allegany	Inactive	457	592	22	6	20	114	0	0	3	432	1,646
Outside NYC	Allegany	Total	6,202	12,587	450	82	143	1,346	2	0	21	4,855	25,688
Outside NYC	Broome	Active	39,329	40,094	1,527	349	572	5,928	10	2	96	21,036	108,943
Outside NYC	Broome	Inactive	5,132	3,115	128	79	126	852	0	0	21	3,646	13,099
Outside NYC	Broome	Total	44,461	43,209	1,655	428	698	6,780	10	2	117	24,682	122,042
Outside NYC	Cattaraugus	Active	14,405	16,719	1,087	107	276	2,245	2	0	16	8,713	43,570
Outside NYC	Cattaraugus	Inactive	1,947	1,876	131	22	68	412	0	0	11	1,714	6,181
Outside NYC	Cattaraugus	Total	16,352	18,595	1,218	129	344	2,657	2	0	27	10,427	49,751
Outside NYC	Cayuga	Active	14,519	16,090	1,204	149	232	2,408	1	0	13	9,326	43,942
Outside NYC	Cayuga	Inactive	2,569	2,344	160	32	73	576	0	0	4	2,178	7,936
Outside NYC	Cayuga	Total	17,088	18,434	1,364	181	305	2,984	1	0	17	11,504	51,878
Outside NYC	Chautauqua	Active	25,380	24,686	1,934	165	452	4,675	2	0	59	17,902	75,255
Outside NYC	Chautauqua	Inactive	2,262	1,731	144	25	56	518	0	0	13	2,135	6,884
Outside NYC	Chautauqua	Total	27,642	26,417	2,078	190	508	5,193	2	0	72	20,037	82,139
Outside NYC	Chemung	Active	15,133	19,640	752	101	264	3,043	1	0	21	9,862	48,817
Outside NYC	Chemung	Inactive	1,504	1,460	69	11	38	326	0	0	4	1,196	4,608
Outside NYC	Chemung	Total	16,637	21,100	821	112	302	3,369	1	0	25	11,058	53,425
Outside NYC	Chenango	Active	7,010	12,014	480	129	173	1,649	3	0	16	6,149	27,623
Outside NYC	Chenango	Inactive	708	827	36	17	36	166	0	0	2	750	2,542
Outside NYC	Chenango	Total	7,718	12,841	516	146	209	1,815	3	0	18	6,899	30,165
Outside NYC	Clinton	Active	16,106	14,010	499	89	253	3,196	1	0	0	10,481	44,635
Outside NYC	Clinton	Inactive	1,483	902	28	21	26	370	0	0	0	1,273	4,103
Outside NYC	Clinton	Total	17,589	14,912	527	110	279	3,566	1	0	0	11,754	48,738
Outside NYC	Columbia	Active	12,635	11,679	1,079	201	217	2,760	4	1	19	10,804	39,399
Outside NYC	Columbia	Inactive	1,293	758	84	27	33	346	0	0	5	1,049	3,595
Outside NYC	Columbia	Total	13,928	12,437	1,163	228	250	3,106	4	1	24	11,853	42,994
Outside NYC	Cortland	Active	8,157	9,553	439	99	124	1,507	1	0	4	6,533	26,417
Outside NYC	Cortland	Inactive	1,183	850	39	21	36	242	0	0	1	1,325	3,697
Outside NYC	Cortland	Total	9,340	10,403	478	120	160	1,749	1	0	5	7,858	30,114
Outside NYC	Delaware	Active	6,975	11,320	464	110	112	1,576	1	0	15	5,154	25,727
Outside NYC	Delaware	Inactive	773	769	36	10	20	179	0	0	4	663	2,454
Outside NYC	Delaware	Total	7,748	12,089	500	120	132	1,755	1	0	19	5,817	28,181
Outside NYC	Dutchess	Active	55,941	49,938	3,546	474	772	10,033	11	3	111	46,463	167,292
Outside NYC	Dutchess	Inactive	6,870	4,008	246	65	112	1,112	0	0	23	4,973	17,409
Outside NYC	Dutchess	Total	62,811	53,946	3,792	539	884	11,145	11	3	134	51,436	184,701

Outside NYC	Erie	Active	279,062	147,086	13,276	1,601	3,005	28,183	0	0	482	100,826	573,521
Outside NYC	Erie	Inactive	17,026	6,840	480	146	259	1,764	0	0	49	7,350	33,914
Outside NYC	Erie	Total	296,088	153,926	13,756	1,747	3,264	29,947	0	0	531	108,176	607,435
Outside NYC	Essex	Active	5,945	10,659	209	86	48	1,715	1	0	11	4,274	22,948
Outside NYC	Essex	Inactive	813	1,058	24	21	20	294	0	0	6	733	2,969
Outside NYC	Essex	Total	6,758	11,717	233	107	68	2,009	1	0	17	5,007	25,917
Outside NYC	Franklin	Active	9,263	8,390	334	59	94	1,567	0	0	3	4,583	24,293
Outside NYC	Franklin	Inactive	879	666	36	18	23	245	0	0	1	756	2,624
Outside NYC	Franklin	Total	10,142	9,056	370	77	117	1,812	0	0	4	5,339	26,917
Outside NYC	Fulton	Active	7,499	15,268	524	62	163	1,639	3	0	4	5,654	30,816
Outside NYC	Fulton	Inactive	454	587	25	10	15	116	0	0	0	444	1,651
Outside NYC	Fulton	Total	7,953	15,855	549	72	178	1,755	3	0	4	6,098	32,467
Outside NYC	Genesee	Active	8,875	15,225	922	88	213	1,857	0	0	59	7,965	35,204
Outside NYC	Genesee	Inactive	529	663	44	9	15	128	0	0	7	541	1,936
Outside NYC	Genesee	Total	9,404	15,888	966	97	228	1,985	0	0	66	8,506	37,140
Outside NYC	Greene	Active	6,613	11,426	817	85	142	1,822	0	1	1	7,320	28,227
Outside NYC	Greene	Inactive	751	872	65	25	20	234	0	0	0	847	2,814
Outside NYC	Greene	Total	7,364	12,298	882	110	162	2,056	0	1	1	8,167	31,041
Outside NYC	Hamilton	Active	873	2,461	67	9	7	232	0	0	3	546	4,198
Outside NYC	Hamilton	Inactive	114	293	8	1	1	46	0	0	2	86	551
Outside NYC	Hamilton	Total	987	2,754	75	10	8	278	0	0	5	632	4,749
Outside NYC	Herkimer	Active	9,885	17,398	631	92	130	2,335	2	0	8	6,235	36,716
Outside NYC	Herkimer	Inactive	891	1,133	49	11	18	241	0	0	1	690	3,034
Outside NYC	Herkimer	Total	10,776	18,531	680	103	148	2,576	2	0	9	6,925	39,750
Outside NYC	Jefferson	Active	14,918	22,378	817	123	206	3,006	2	0	25	11,295	52,770
Outside NYC	Jefferson	Inactive	2,588	2,721	107	24	48	574	0	0	8	2,727	8,797
Outside NYC	Jefferson	Total	17,506	25,099	924	147	254	3,580	2	0	33	14,022	61,567
Outside NYC	Lewis	Active	4,170	8,402	261	31	63	822	0	0	3	2,692	16,444
Outside NYC	Lewis	Inactive	286	463	18	4	2	72	0	0	0	243	1,088
Outside NYC	Lewis	Total	4,456	8,865	279	35	65	894	0	0	3	2,935	17,532
Outside NYC	Livingston	Active	9,351	16,100	850	133	147	1,760	1	0	37	7,796	36,175
Outside NYC	Livingston	Inactive	850	885	56	16	18	189	0	0	8	917	2,939
Outside NYC	Livingston	Total	10,201	16,985	906	149	165	1,949	1	0	45	8,713	39,114
Outside NYC	Madison	Active	10,830	15,412	868	136	238	2,608	3	0	43	9,358	39,496
Outside NYC	Madison	Inactive	947	949	60	18	17	245	0	0	3	756	2,995
Outside NYC	Madison	Total	11,777	16,361	928	154	255	2,853	3	0	46	10,114	42,491
Outside NYC	Monroe	Active	167,230	123,755	7,945	1,209	1,447	19,876	19	0	467	101,980	423,928
Outside NYC	Monroe	Inactive	17,639	7,752	483	150	218	1,857	1	0	61	9,364	37,525
Outside NYC	Monroe	Total	184,869	131,507	8,428	1,359	1,665	21,733	20	0	528	111,344	461,453
Outside NYC	Montgomery	Active	8,995	9,271	651	63	126	1,510	1	0	22	5,932	26,571
Outside NYC	Montgomery	Inactive	817	673	61	10	26	158	0	0	2	748	2,495
Outside NYC	Montgomery	Total	9,812	9,944	712	73	152	1,668	1	0	24	6,680	29,066
Outside NYC	Nassau	Active	363,567	317,990	10,515	1,466	2,348	35,174	50	0	298	219,301	950,709
Outside NYC	Nassau	Inactive	14,069	10,595	343	77	78	1,386	0	0	8	7,720	34,276
Outside NYC	Nassau	Total	377,636	328,585	10,858	1,543	2,426	36,560	50	0	306	227,021	984,985

Outside NYC	Niagara	Active	50,905	41,433	3,025	373	1,151	6,328	5	6	92	22,217	125,535
Outside NYC	Niagara	Inactive	5,015	3,245	198	50	131	690	0	0	4	2,777	12,110
Outside NYC	Niagara	Total	55,920	44,678	3,223	423	1,282	7,018	5	6	96	24,994	137,645
Outside NYC	Oneida	Active	41,944	46,441	1,991	247	500	7,206	5	1	0	23,131	121,466
Outside NYC	Oneida	Inactive	4,724	3,984	197	42	86	1,062	0	0	0	3,324	13,419
Outside NYC	Oneida	Total	46,668	50,425	2,188	289	586	8,268	5	1	0	26,455	134,885
Outside NYC	Onondaga	Active	100,205	81,364	4,762	969	1,301	14,346	8	3	264	70,631	273,853
Outside NYC	Onondaga	Inactive	9,596	4,827	332	119	175	1,362	0	0	32	6,523	22,966
Outside NYC	Onondaga	Total	109,801	86,191	5,094	1,088	1,476	15,708	8	3	296	77,154	296,819
Outside NYC	Ontario	Active	18,858	25,650	1,339	207	209	3,768	8	1	16	15,784	65,840
Outside NYC	Ontario	Inactive	849	959	60	16	17	226	0	0	2	832	2,961
Outside NYC	Ontario	Total	19,707	26,609	1,399	223	226	3,994	8	1	18	16,616	68,801
Outside NYC	Orange	Active	73,963	66,882	4,063	562	1,064	11,098	13	3	114	47,025	204,787
Outside NYC	Orange	Inactive	6,200	4,282	264	72	113	1,011	0	0	15	4,159	16,116
Outside NYC	Orange	Total	80,163	71,164	4,327	634	1,177	12,109	13	3	129	51,184	220,903
Outside NYC	Orleans	Active	5,280	10,161	532	54	148	1,065	0	1	35	4,793	22,069
Outside NYC	Orleans	Inactive	496	731	56	8	23	123	0	0	3	557	1,997
Outside NYC	Orleans	Total	5,776	10,892	588	62	171	1,188	0	1	38	5,350	24,066
Outside NYC	Oswego	Active	16,513	30,625	1,587	146	344	3,656	3	1	42	13,880	66,797
Outside NYC	Oswego	Inactive	1,856	2,125	139	18	60	452	0	0	8	1,813	6,471
Outside NYC	Oswego	Total	18,369	32,750	1,726	164	404	4,108	3	1	50	15,693	73,268
Outside NYC	Otsego	Active	9,922	12,593	501	143	138	2,051	1	2	37	6,932	32,320
Outside NYC	Otsego	Inactive	1,104	866	50	13	16	260	0	0	3	974	3,286
Outside NYC	Otsego	Total	11,026	13,459	551	156	154	2,311	1	2	40	7,906	35,606
Outside NYC	Putnam	Active	17,326	20,823	1,944	140	209	3,575	4	1	42	15,304	59,368
Outside NYC	Putnam	Inactive	1,402	1,444	124	13	11	292	0	0	6	1,258	4,550
Outside NYC	Putnam	Total	18,728	22,267	2,068	153	220	3,867	4	1	48	16,562	63,918
Outside NYC	Rensselaer	Active	26,573	23,052	4,270	349	1,112	7,424	4	2	32	28,024	90,842
Outside NYC	Rensselaer	Inactive	2,637	1,386	264	28	131	672	0	0	10	2,368	7,496
Outside NYC	Rensselaer	Total	29,210	24,438	4,534	377	1,243	8,096	4	2	42	30,392	98,338
Outside NYC	Rockland	Active	83,547	42,073	3,823	311	941	7,792	15	12	5	41,462	179,981
Outside NYC	Rockland	Inactive	5,754	2,597	181	34	52	629	0	0	0	3,067	12,314
Outside NYC	Rockland	Total	89,301	44,670	4,004	345	993	8,421	15	12	5	44,529	192,295
Outside NYC	Saratoga	Active	36,610	58,481	2,270	371	427	8,843	2	1	53	35,020	142,078
Outside NYC	Saratoga	Inactive	3,862	4,214	207	42	46	963	1	0	12	3,756	13,103
Outside NYC	Saratoga	Total	40,472	62,695	2,477	413	473	9,806	3	1	65	38,776	155,181
Outside NYC	Schenectady	Active	34,508	23,327	3,245	259	606	5,430	8	0	71	22,282	89,736
Outside NYC	Schenectady	Inactive	2,599	1,379	159	23	59	427	0	0	6	1,715	6,367
Outside NYC	Schenectady	Total	37,107	24,706	3,404	282	665	5,857	8	0	77	23,997	96,103
Outside NYC	Schoharie	Active	4,546	6,873	512	68	76	1,180	1	0	19	4,136	17,411
Outside NYC	Schoharie	Inactive	769	907	57	15	27	246	0	0	2	811	2,834
Outside NYC	Schoharie	Total	5,315	7,780	569	83	103	1,426	1	0	21	4,947	20,245
Outside NYC	Schuyler	Active	3,127	4,561	242	68	70	699	1	0	1	2,505	11,274
Outside NYC	Schuyler	Inactive	286	322	15	7	13	92	0	0	0	293	1,028
Outside NYC	Schuyler	Total	3,413	4,883	257	75	83	791	1	0	1	2,798	12,302

Outside NYC	Seneca	Active	5,848	7,317	423	58	110	944	0	0	1	3,914	18,615
Outside NYC	Seneca	Inactive	520	532	24	7	16	117	0	0	0	475	1,691
Outside NYC	Seneca	Total	6,368	7,849	447	65	126	1,061	0	0	1	4,389	20,306
Outside NYC	St.Lawrence	Active	21,012	19,693	883	155	280	3,170	3	0	44	11,686	56,926
Outside NYC	St.Lawrence	Inactive	1,554	1,129	43	25	35	303	0	0	2	1,268	4,359
Outside NYC	St.Lawrence	Total	22,566	20,822	926	180	315	3,473	3	0	46	12,954	61,285
Outside NYC	Steuben	Active	13,695	27,548	924	158	258	2,914	1	0	38	9,540	55,076
Outside NYC	Steuben	Inactive	1,289	1,764	64	27	41	363	0	0	4	1,296	4,848
Outside NYC	Steuben	Total	14,984	29,312	988	185	299	3,277	1	0	42	10,836	59,924
Outside NYC	Suffolk	Active	295,932	290,844	21,654	1,770	4,299	42,298	22	6	569	244,280	901,674
Outside NYC	Suffolk	Inactive	21,796	16,169	1,053	157	334	2,956	2	2	49	15,885	58,403
Outside NYC	Suffolk	Total	317,728	307,013	22,707	1,927	4,633	45,254	24	8	618	260,165	960,077
Outside NYC	Sullivan	Active	17,208	12,263	1,062	147	230	2,330	3	0	5	11,168	44,416
Outside NYC	Sullivan	Inactive	2,767	1,250	94	21	40	349	0	0	0	1,675	6,196
Outside NYC	Sullivan	Total	19,975	13,513	1,156	168	270	2,679	3	0	5	12,843	50,612
Outside NYC	Tioga	Active	7,744	13,238	433	91	120	1,656	1	0	35	6,099	29,417
Outside NYC	Tioga	Inactive	694	941	29	12	22	178	0	0	4	688	2,568
Outside NYC	Tioga	Total	8,438	14,179	462	103	142	1,834	1	0	39	6,787	31,985
Outside NYC	Tompkins	Active	24,084	11,696	385	533	248	2,277	3	0	67	11,275	50,568
Outside NYC	Tompkins	Inactive	3,166	1,060	41	63	37	355	0	0	10	1,987	6,719
Outside NYC	Tompkins	Total	27,250	12,756	426	596	285	2,632	3	0	77	13,262	57,287
Outside NYC	Ulster	Active	37,445	27,165	2,563	690	642	5,732	6	0	89	33,589	107,921
Outside NYC	Ulster	Inactive	3,987	2,014	174	82	77	559	0	0	20	3,504	10,417
Outside NYC	Ulster	Total	41,432	29,179	2,737	772	719	6,291	6	0	109	37,093	118,338
Outside NYC	Warren	Active	9,674	18,437	614	163	137	2,464	2	1	2	8,108	39,602
Outside NYC	Warren	Inactive	1,128	1,412	51	27	24	312	0	0	2	1,137	4,093
Outside NYC	Warren	Total	10,802	19,849	665	190	161	2,776	2	1	4	9,245	43,695
Outside NYC	Washington	Active	8,204	14,352	637	121	187	2,153	0	0	0	7,149	32,803
Outside NYC	Washington	Inactive	748	877	45	10	32	268	0	0	0	842	2,822
Outside NYC	Washington	Total	8,952	15,229	682	131	219	2,421	0	0	0	7,991	35,625
Outside NYC	Wayne	Active	12,943	21,566	1,490	127	265	2,754	1	0	57	13,495	52,698
Outside NYC	Wayne	Inactive	868	878	64	13	22	226	0	0	9	938	3,018
Outside NYC	Wayne	Total	13,811	22,444	1,554	140	287	2,980	1	0	66	14,433	55,716
Outside NYC	Westchester	Active	259,543	127,571	8,261	837	1,430	20,688	25	1	122	129,303	547,781
Outside NYC	Westchester	Inactive	23,712	10,827	605	82	173	2,070	0	0	11	12,640	50,120
Outside NYC	Westchester	Total	283,255	138,398	8,866	919	1,603	22,758	25	1	133	141,943	597,901
Outside NYC	Wyoming	Active	5,357	10,697	526	36	109	1,175	0	0	10	5,021	22,931
Outside NYC	Wyoming	Inactive	498	730	47	6	18	140	0	0	0	606	2,045
Outside NYC	Wyoming	Total	5,855	11,427	573	42	127	1,315	0	0	10	5,627	24,976
Outside NYC	Yates	Active	3,107	6,241	231	46	57	657	1	0	6	2,603	12,949
Outside NYC	Yates	Inactive	253	343	16	3	11	65	0	0	2	305	998
Outside NYC	Yates	Total	3,360	6,584	247	49	68	722	1	0	8	2,908	13,947
Outside NYC Grand Tot		Active	2,458,605	2,116,177	129,198	16,990	29,211	329,144	279	49	3,928	1,541,251	6,624,832
Outside NYC Grand Tot		Inactive	206,595	131,746	7,963	2,014	3,388	30,755	4	2	576	138,193	521,236
Outside NYC Grand Tot		Total	2,665,200	2,247,923	137,161	19,004	32,599	359,899	283	51	4,504	1,679,444	7,146,068

Within NYC	Bronx	Active	496,399	37,650	2,899	519	2,654	12,707	74	3	87	86,623	639,615
Within NYC	Bronx	Inactive	63,741	5,312	391	67	452	1,939	3	0	19	12,944	84,868
Within NYC	Bronx	Total	560,140	42,962	3,290	586	3,106	14,646	77	3	106	99,567	724,483
Within NYC	Kings	Active	917,240	111,613	4,490	2,583	5,253	28,041	75	4	374	219,162	1,288,835
Within NYC	Kings	Inactive	82,825	9,864	432	359	644	3,359	0	1	46	23,714	121,244
Within NYC	Kings	Total	1,000,065	121,477	4,922	2,942	5,897	31,400	75	5	420	242,876	1,410,079
Within NYC	New York	Active	613,145	83,860	1,657	1,788	1,942	26,575	65	9	390	154,247	883,678
Within NYC	New York	Inactive	91,738	18,203	292	319	327	6,214	0	0	120	32,787	150,000
Within NYC	New York	Total	704,883	102,063	1,949	2,107	2,269	32,789	65	9	510	187,034	1,033,678
Within NYC	Queens	Active	673,836	115,720	5,309	1,401	3,327	25,974	82	8	229	204,761	1,030,647
Within NYC	Queens	Inactive	41,907	7,304	357	114	242	1,988	0	0	32	13,736	65,680
Within NYC	Queens	Total	715,743	123,024	5,666	1,515	3,569	27,962	82	8	261	218,497	1,096,327
Within NYC	Richmond	Active	119,394	75,354	4,226	354	1,090	9,140	10	4	93	53,456	263,121
Within NYC	Richmond	Inactive	10,664	5,673	321	25	131	836	0	0	8	4,873	22,531
Within NYC	Richmond	Total	130,058	81,027	4,547	379	1,221	9,976	10	4	101	58,329	285,652
Within NYC Total		Active	2,820,014	424,197	18,581	6,645	14,266	102,437	306	28	1,173	718,249	4,105,896
Within NYC Total		Inactive	290,875	46,356	1,793	884	1,796	14,336	3	1	225	88,054	444,323
Within NYC Total		Total	3,110,889	470,553	20,374	7,529	16,062	116,773	309	29	1,398	806,303	4,550,219
Statewide Total		Active	5,278,619	2,540,374	147,779	23,635	43,477	431,581	585	77	5,101	2,259,500	10,730,728
Statewide Total		Inactive	497,470	178,102	9,756	2,898	5,184	45,091	7	3	801	226,247	965,559
Statewide Total		Total	5,776,089	2,718,476	157,535	26,533	48,661	476,672	592	80	5,902	2,485,747	11,696,287