



**New York State Board of Elections
Annual Report
2011**



**40 Steuben Street
Albany, New York 12207
518-474-6220 800-367-8683
Public Information Office: 518-474-1953
TDD/TTY: 711
www.elections.ny.gov**



James A. Walsh
Co-Chair

Gregory P. Peterson
Commissioner

Todd D. Valentine
Co-Executive Director

State of New York
STATE BOARD OF ELECTIONS

40 STEUBEN STREET
ALBANY, N.Y. 12207-2108
Phone: 518/474-6220
www.elections.ny.gov

Douglas A. Kellner
Co-Chair

Evelyn J. Aquila
Commissioner

Robert A. Brehm
Co-Executive Director

To: The Honorable Andrew M. Cuomo, Governor
Members of the New York State Legislature

We are pleased to submit to you the New York State Board of Elections' 2011 Annual Report. This report provides a comprehensive review of Board programs and accomplishments during the calendar year 2011.

The Board's mission consists of the oversight of each county board of elections and the Board of Elections in the City of New York, as well as statewide compliance with the Help America Vote Act and the National Voter Registration Act. The Board, among other things, administers several critical programs, including the statewide voter registration list (NYSVoter), all agency-based registration, and the voting system certification program. In addition to ensuring fair and broad ballot access for hundreds of candidates from throughout the State, the Board is committed to the active investigation of election law violations, and oversight and enforcement of campaign financial disclosure filing requirements.

Despite 2011 being a "local" election year, a number of state and federal special elections were conducted throughout the year. Beginning with the resignation of Congressman Chris Lee in the 26th CD, there was a special election held on May 24th which received national attention and resulted in a 27.4% turnout. Next, on September 13th, following the resignation of Congressman Anthony Weiner, there were seven special elections to fill the congressional vacancy and six Assembly seats (23rd, 27th, 54th, 73rd, 116th, and 144th). Lastly there was a special election held on November 8th to fill a vacancy in the 148th Assembly District.

We continued to work on improvements and upgrades to our new absentee ballot delivery system for military and overseas voters. The Military and Overseas

Voter Empowerment (MOVE) Act was passed by Congress during the 2009 Session which requires states to make absentee ballots available via email to military voters and U.S. Citizens living overseas. We have continued to work with our vendor and the local county boards and voter registration systems to monitor progress and improve operations. In 2010, New York led the nation in the number of voters who utilized the new email system.

The New York State Board of Elections has worked diligently to embrace each of the new programs it has implemented and is recognized on the national level as a leader in enhancing the participation of eligible voters to the elective franchise. We remain steadfast in our commitment to providing open, accessible and accurate elections.

Respectfully submitted,

Douglas A. Kellner
Co-Chair

James A. Walsh
Co-Chair

Evelyn J. Aquila
Commissioner

Gregory P. Peterson
Commissioner



Front Row: L to R, Commissioner Douglas A. Kellner, Commissioner Evelyn J. Aquila, Commissioner James A. Walsh, Commissioner Gregory P. Peterson.
Back Row: L to R, Co-Executive Director Robert A. Brehm, Co-Executive Director Todd D. Valentine.

Mission Statement



The New York State Board of Elections (NYSBOE) was established in the Executive Department, June 1, 1974 as a bipartisan agency vested with the responsibility for administration and enforcement of all laws relating to elections in New York State. The Board is also responsible for regulating disclosure and limitations of a Fair Campaign Code intended to govern campaign practices. In conducting these wide-ranging responsibilities, the Board offers assistance to local election boards and investigates complaints of possible statutory violations. In addition to the regulatory and enforcement responsibilities, the Board is charged with the preservation of citizen confidence in the democratic process and enhancing voter participation in elections.

PERSONNEL DIRECTORY

Commissioners

Douglas A. Kellner
Co-Chair

James A. Walsh
Co-Chair

Evelyn J. Aquila
Commissioner

Gregory P. Peterson
Commissioner

Robert A. Brehm
Co-Executive Director

Donna Mullahey
Secretary

Todd D. Valentine
Co-Executive Director

Maryellen Reda
Secretary

Office of the Special Counsel

Kimberly A. Galvin, *Special Counsel*
Paul Collins, *First Deputy Counsel*

Office of the Enforcement Counsel

Elizabeth C. Hogan, *Enforcement Counsel*
William J. McCann, Jr., *Special Deputy Counsel*

Campaign Financial Disclosure

Patricia M. Lloyd, *Associate Accountant*
Josephine T. Jackson, *Senior Accountant*

Public Information

John W. Conklin, *Dir. of Public Information*
Thomas E. Connolly, *Dep. Dir. of Pub Information*

Agency-Based Voter Registration

Gregory Fiozzo, *Coordinator of NVRA Operations*
Patrick Campion, *Coordinator of Special Projects*

Election Operations & Services

Anna E. Svizzero, *Director of Election Operations*
Joseph T. Burns, *Dep. Dir. of Election Operations*

Information Technology

George Stanton, *Chief of Data Processing Services*
Daniel E. Valvo, *Mgr of Data Processing Services*

Administrative Office

Thomas Jarose, *Assoc. Personnel Administrator*
Colleen Koch, *Administrative Assistant*

TABLE OF CONTENTS

COUNSEL’S OFFICE.....3

VOTER REGISTRATION UNIT.....7

ELECTION OPERATIONS UNIT.....14

INFORMATION TECHNOLOGY UNIT..... 23

ELECTION LAW ENFORCEMENT AND INVESTIGATIONS..... 27

CAMPAIGN FINANCE UNIT..... 28

PUBLIC INFORMATION OFFICE.....30

AGENCY ADMINISTRATION.....32

Appendix

 NYSVoter Enrollment.....36



COUNSEL'S OFFICE

Legal Opinions

The Office of Special Counsel is responsible for preparing responses to requests for formal opinions from the New York State Board of Elections (NYSBOE). These formal opinions serve to further clarify certain sections of the Election Law. The Board issued no formal opinions for 2011, however did provide numerous informal opinions throughout the course of the year.

You may obtain copies of individual opinions or a complete set of opinions by visiting the Board's website (www.elections.ny.gov).



Legislative Activities

Counsel's Office, in consultation with the executive staff, regularly monitors all legislative action which could impact the Board and the election process in New York. Such activities include attending legislative committee meetings, responding to inquiries regarding legislation, and responding to requests for comments on legislation. In addition, Counsel's Office is responsible for drafting any legislative proposals of the Board. In addition to any New York State legislative initiatives, the

office has worked extensively with other members of the staff in reviewing any federal legislative proposals that may have an effect on elections in New York.

The following is a brief summary of significant legislation that was enacted in 2011:

Chapter Number	Brief Summary
125	Makes technical corrections with regards to political subdivisions and election districts
3	Relates to the number and use of voting machines for village elections
4	Provides for time frames within certain actions must be taken relating to elections, special elections and military ballots
282	Relates to the preservation of unused ballots
133	Renumbers subdivision 7 of section 3-400 of the Election Law
169	Relates to the requirement to lock voting machines
170	Relates to the types of voting machines used in certain elections
308	Provides that absentee ballots shall be retained by the Board of elections and canvassed as provided by law
147	Provides for the election of delegates to a national party convention or a national party conference

Election Law Inquiries



The Office of Special Counsel handles general questions on election, excluding most enforcement matters. Local boards of elections, town clerks, elected officials, candidates, political committees, and involved citizens all rely on the expertise of the board’s attorneys in interpreting the law. It is estimated that the Office of Special Counsel received and responded to several hundred inquiries regarding administration and interpretation of the Election Law.

Litigation

The Office of Special Counsel represented the Board in approximately 12 new court actions in 2011.

The following is a brief summary of the remaining significant litigation activity in 2011:

LITIGATION SUMMARY

United States v. New York State

In 2006, the United States Department of Justice (USDOJ) brought suit against the State of New York and NYSBOE to enforce provisions of the Help America Vote Act (HAVA). NYSBOE and USDOJ established a consent decree with the Court setting forth a plan which would bring the State into compliance as soon as possible. At a minimum, the Counsel's Office participated in weekly monitoring calls with USDOJ. This monitoring continues as it relates to the full use of the NYSVoter System by NYC.

Nassau County v. NYSBOE

The case was dismissed on motion due to a lack of capacity on the part of the Petitioners. An appeal was filed by some but not all of the Petitioners and was recently perfected by the sole appellants, the County of Nassau and former Commissioner DeGrace. A Respondent's Brief will be filed within the next 60 days responding to the appeal. There are also intermediate appeals in the case involving discovery and confidentiality issues which have been briefed but may not be heard separately from the main appeal. All appeals will be heard together. The State Court proceeding commenced by Nassau County challenging the certification of new voting systems and the constitutionality of the state statute implementing HAVA and the motion is under consideration by the court. The matter was transferred to Albany County where oral arguments were heard on the matter.

UNITED STATES v. NYS and the NYSBOE

The USDOJ brought suit against the State of New York and the New York State Board of Elections for its failure to comply with the ballot transmittal requirements set forth in the federal legislation known as the MOVE Act.

Simply put, many counties throughout New York State failed to transmit ballots to their Uniformed and Overseas Citizens Absentee Voting Act (UOCAVA) voters 45 days before the 2010 general election. The consent decree ultimately entered in to by the parties required extensive and ongoing reporting requirements regarding all UOCAVA voters. In addition, the consent decree requires the defendants in the action to make a report to them on or before April 1, 2011, setting forth all of the actions that have been taken to date to ensure future compliance with the MOVE Act provisions. Continuing discussions are still taking place relating to these 2010 UOCAVA ballots.

While legislative success was made to ensure said compliance in the event of any special election in New York, or a presidential primary election, the process remains ongoing with USDOJ closely monitoring any and all activity relating to the federal congressional primary date and calendar ordered by the court. The state and local primary date remains in September.

Conservative Party, et.al. v. James A. Walsh, et.al. and NAACP et.al. v. NYSBOE

Although separate federal litigations, these cases involve similar issues. In these actions the plaintiffs are alleging that the various procedures and warning messages involving how both over-votes and double-votes are handled by the new HAVA compliant voting systems are legally insufficient and deprive the voters and minor political parties of various rights. In both cases settlement decrees were entered into in 2011. Agreed-to screen changes will be in use for the 2012 general elections in November.

Robert L. Schulz, et.al. v. New York State BOE

The case was dismissed on motion of NYSBOE by the District Court as the plaintiffs lacked standing. Plaintiffs have appealed to the Second Circuit and the parties have filed briefs although the case has not as yet been scheduled.

National Organization for Marriage v. NYSBOE

In 2011 National Organization for Marriage (NOM) appealed to the United States Court of Appeals for the Second Circuit from an Order of the United States District Court for the Western District of New York dismissing NOM's Complaint, finding the action did not present a justiciable controversy in that NOM lacked standing to assert its Constitutional claims and the action was not ripe for adjudication. The Appellate argument was held on August 24, and a decision is pending.

*Six other less significant lawsuits involving NYSBOE were also ongoing in 2011.

VOTER REGISTRATION UNIT

Agency-Based Voter Registration

Since 1995, the New York State Board of Elections has been assisting and guiding participating state agencies in understanding and executing their voter registration responsibilities mandated by the National Voter Registration Act (NVRA) of 1993 and its corresponding state laws. The intent of the program is to offer individuals the opportunity to register to vote, when they apply for or renew a driver's license or when they apply for services at any of the approximately 725 offices that participate in the program.



Sixteen New York State agencies, including the Department of Motor Vehicles, participate in the agency-based voter registration program. Designated as state agencies that provide public assistance are the Department of Social Services and the Department of Health. Designated as state agencies that provide programs primarily engaged in providing services to people with disabilities are the Department of Labor, Office for the Aging, Division of Veterans' Affairs, Office of Mental Health, Office of Vocational and Educational Services for Individuals with Disabilities, Commission on Quality of Care and Advocacy for Persons with Disabilities, Office of Mental Retardation and Developmental Disabilities, Commission for the Blind and Visually Handicapped, Office of Alcoholism and Substance Abuse Services, State University of New York Disability Offices, City University of New York Disability Offices, and certain offices that administer programs established or funded by such agencies. Additional state agencies designated as voter registration sites are the Department of State and the Division of Workers' Compensation.

Registration Statistics

During 2011, 390,723 voter registration applications or transactions were received by county boards of elections resulting from the efforts of state agencies. The Department of Motor Vehicles (DMV) yielded the highest volume of registration applications among the various agencies mandated by NVRA, accounting for 79% (308,119) of the total number of voter registration applications or transactions in the state. The remaining agencies/programs participating in the program accounted for

21% (82,567). Armed services recruiting offices accounted for 0 applications. Voter registration applications and transactions incorrectly sent to NYSBOE by mail and forwarded to the county boards of elections accounted for 37 of the above total.

Sources of Voter Registration

Motor Vehicles	308,119
Public Assistance Agencies	73,371
Disability Agencies	8,602
State Designated Agencies	594
By Mail	37
Armed Services	0
Total	390,723

Agency-Based Voter Registration Statistics

<u>Year</u>	<u>New Registrations</u>	<u>Address Changes</u>	<u>Enrollment Changes</u>	<u>Name Changes</u>
1995	316,627	64,005	23,108	4,644
1996	326,356	132,169	33,855	6,356
1997	273,190	171,275	32,773	8,164
1998	238,961	160,474	36,635	9,205
1999	220,771	156,619	40,089	9,400
2000	194,170	135,255	25,270	9,322
2001	191,403	129,267	26,550	10,496
2002	179,684	123,636	30,290	10,354
2003	187,100	125,627	31,403	10,949
2004	217,289	137,926	38,691	12,359
2005	147,792	106,395	19,569	9,314
2006	144,977	94,685	15,912	8,452
2007	166,341	89,779	18,113	9,665
2008	203,486	98,763	25,722	11,157
2009	160,690	97,747	26,353	10,665
2010	162,270	96,017	29,083	10,667
2011	170,447	84,282	33,898	11,769

Training

NYSBOE is responsible for the development of training materials and presentation of training programs on the requirements and implementation of the agency-based voter registration program. In 2011, regional agency-based voter registration training offerings were presented to the participating NVRA sites in New York State. State Board staff continues to provide updated training and reference materials as well as on-going telephone guidance and support to agency program liaisons, site personnel in all offices offering agency-based voter registration, as well as to county boards of election.

Agency Oversight

The success of the agency-based registration program relies on cooperation among the participating state agencies, county boards of elections, and NYSBOE. Due to the numerous and unique differences in clientele and services provided by each of the agencies, the administrative policies at each agency's participating offices and programs are conducted at the discretion of each individual state agency, under the guidance, input, approval and support of the Board. Also, staff responds to all inquiries, and acts to assist agency program coordinators, site personnel, and county board staff in resolving administrative and procedural issues in an effort to ensure effective and efficient operation of the agency-based registration program in New York State.

In addition, statistical reports containing data on voter registration activity for all agency-based sites are mailed to agency commissioners and program coordinators each month. Review of these reports enables program coordinators to monitor voter registration activity and program compliance, as well as identify inconsistencies at each participating office. This information also assists NYSBOE in evaluating the workload placed on county boards of elections offices by NVRA program requirements.

Distribution of NVRA Program Supplies

Supplies for the agency-based registration program are shipped weekly by NVRA staff as requested by participating sites. Constant tracking of supply order and shipping dates is made possible by a supply order and inventory system specifically developed for monitoring distribution of NVRA program materials. The system also

provides staff with current inventory balances to ensure that supplies, including forms in multiple languages as required by the Voting Rights Act of 1965, are reordered as needed. The State Board also distributed voter registration forms to institutions of the State University of New York. NYSBOE processed 706 individual supply shipments to participating NVRA sites during 2011.

In addition, NYSBOE provides “read only” copies of the NYS voter registration form in both Braille and large print formats. Copies of both versions as well as a poster-sized version of the agency-based voter registration form are provided to agencies and programs participating in the NVRA program that serve people with disabilities upon request.

Voter Registration Cancellations

When New York State residents relocate to another state or when out-of-state residents move into New York State and subsequently register to vote, a notice of registration cancellation is returned either to a county board of elections or NYSBOE so that voter registration rolls may be updated. In 2011, staff at the Board processed 43,934 New York State and 22,054 out-of-state registration cancellations and forwarded them to the appropriate county board of elections or state election official.

DMV Address Change Requests

NYSBOE assists the Department of Motor Vehicles with the distribution of customer address change requests resulting from licensing or driver I.D. transactions by counting, sorting and forwarding them monthly to county boards of elections. Also received from DMV and processed by Board staff, are the DMV internet change-of-address request forms which have been downloaded by customers, completed, and forwarded to DMV. The Board distributed 15,157 address change requests received from the DMV to county boards during 2011.

County HAVA Funds Program

HAVA has provided funds to the State of New York for poll worker training, voter education, and poll site accessibility. Since June 2006, NYSBOE has been overseeing the grant application process, as well as the disbursement of federal and state funds, to further the HAVA and State program objectives. The Poll Site Access Improvement Program provides funds to county boards of elections to assist them in ensuring that all New York polling places are accessible and provide the same

opportunity for all voters to participate in the election process. The Voter Education and Poll Worker Training Program provides funds to county boards of elections to implement programs to educate poll workers and the general public on the proper use of new voting systems.

Poll Site Access Program

NYSBOE has received funding from State appropriations and from the Department of Health and Human Services to establish, expand, and improve access to and participation by individuals with a full range of disabilities in the elections process. The polling place access improvement funds will assist county boards in undertaking minor temporary improvement or renovation projects, and the purchase of proper signage, materials, and low-tech devices to help assist persons with disabilities on election days and to assure voter privacy and independence. The funds may be used to make polling places, including parking, the path of travel, door hardware, entrances, exits, and voting areas of each polling facility, accessible to individuals with the full range of disabilities (e.g. impairments involving vision, hearing, mobility, dexterity, emotional, or intellectual) through the use of varied accessibility tools (e.g. ramps, handrails, and signage).

Poll Worker Training and Voter Education Program

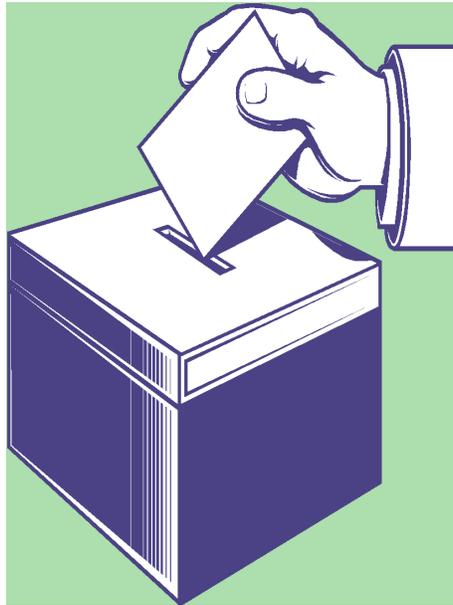
NYSBOE received HAVA funds to be dispersed and used by county boards for the specific and limited purpose of advancing Voter Education and Poll Worker Training. County Boards will implement programs to educate individuals on the proper use of new voting systems, including ballot marking devices. These efforts are intended to help bolster public confidence in the election process by providing information to election administrators on methods for keeping the process secure while ensuring that every eligible voter can cast a vote and have that vote counted. Training and education must extend to all voters, including those with a full range of disabilities, as well as those with language barriers.



The On-line Poll Worker Training Program continued to be available in 2011 to further poll worker training. In 2008, the State Board created the On-line Poll Worker Training Project as a supplemental means to training poll workers. Full use of the on-line training platform was completed in October 2008 and its use has been ongoing. Also, the voter education website serves as a recruiting tool to interest the public in becoming poll workers.

NYSVoter County Reviews

In 2007, NYSBOE implemented NYSVoter, a statewide voter registration database in order to comply with the HAVA and subsequent amendments to the New York State Election Law. NYSVoter was built by integrating a centralized database system with the county Voter Registration / Election Management Systems (VR/EMS). This gave the State Board administrative control over the centralized database and the responsibility for auditing the system to assure that the local election officials are conducting the business of voter registration in a compliant manner. NYSBOE personnel visit the county boards to perform periodic reviews of their NYSVoter procedures, and in 2011, 37 counties were reviewed and found to be in substantial compliance with state regulations.



ELECTION OPERATIONS UNIT

The Election Operations Unit's major responsibilities include the oversight and support of the State's 62 county boards of elections; the facilitation of ballot access efforts by candidates for a variety of public offices and party positions; and oversight and technical assistance of the statewide deployment of voting systems. The Unit actively engages in ongoing daily communications with county boards of elections and the general public on a multitude of election-related topics.

Candidate Ballot Access

In 2010, ballot access efforts were significantly heightened, due to increased activity relating to races for the offices of Governor, Lieutenant Governor, Comptroller, Attorney General, and two United States Senate seats.



In the summer of 2011, ballot access activity was focused on petition filing for the election of delegates and alternate delegates to Judicial District Conventions. Delegates nominate candidates for the office of Justice of the Supreme Court from 13 judicial districts in the State at fall conventions.

Ballot access activity for the judicial delegates and alternates described above, data entry, and the creation and dissemination of corresponding acknowledgments, consisted of the following:

- 75 petitions for Judicial Delegate and Alternate Delegate positions

Some filings related to the party position candidacies previously described are made at the local level, as some of the districts in which those persons run are wholly contained within county boundaries. In these cases, information concerning candidate filings which are made at the county level must be shared with NYSBOE. These location certifications are forwarded to the Board, and become part of the statewide certifications to party committees and others who have need of or interest in this information.

Ballot access filings are not validated by NYSBOE. They are presumptively valid when filed, however the validity of a filing may be challenged by persons choosing to do so. Challenges require the filing of an initial objection within three days of the filing, followed by specific objections within six days. If specific objections are filed – the itemization of objections – staff reviews each specific itemized objection, notes their findings, and submits a reporting form to a hearing officer for review.

Determinations are then made by the Commissioners of the State Board of Elections, and a notice of those determinations is made to the objector as well as the candidate. In 2011, objections to petitions and corresponding specifications received, posted, and researched, were as follows:

- 25 objections filed
- 3 sets of specifications filed

From the initial filings, their administrative review, and the objection filing procedures, the following resulted:

- 3 petitions were administratively declared invalid by the Board

Vacancies existed in 11 of the State’s 13 Judicial Districts. Delegates and Alternate Delegates were notified by their respective parties to attend conventions, which were convened on various dates beginning on September 20 and running through September 26. From each of the 11 Judicial Districts that had vacancies, a total of 32 nominations were received, posted, and acknowledged, representing the designation of candidates for office of Justice of the Supreme Court.

Special elections are conducted upon the issuance of a proclamation by the Governor in which the date of that election is provided. In 2011, eight such special elections were called, for which certifications and corresponding calendars were prepared, and for which candidate information was collected and processed, as described above. The results of those special elections were also collected and processed, then certified by the Board of Canvassers comprised of the Commissioners of the State Board of Elections.

In 2011, special elections were conducted as follows:

May 24th

26th Congressional District, due to the resignation of Christopher Lee

September 13th

9th Congressional District, due to the resignation of Anthony Weiner

23rd Assembly District, due to the resignation of Audrey Pheffer

27th Assembly District, due to the resignation of Nettie Mayersohn

54th Assembly District, due to the resignation of Darryl C. Towns

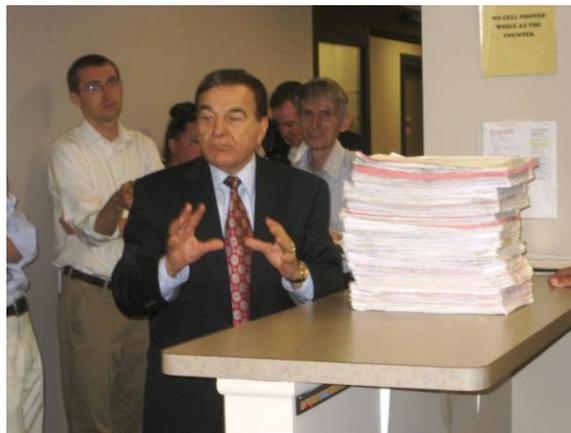
73rd Assembly District, due to the resignation of Jonathan Bing

116th Assembly District, due to the resignation of RoAnn Destito

144th Assembly District, due to the resignation of Sam Hoyt

November 8th

148th Assembly District, due to the resignation of James Hayes



Voting System Certification and Support Activities

In 2011, wide-ranging activities continued with county boards of elections in their evolution from lever voting machines to optical scanning devices and the procedures necessary to support their use. As such, the Election Operations Unit sustained the ongoing high volume of activity of the past several years relative to compliance with the Help America Vote Act (HAVA). This multi-faceted and complex responsibility necessitates significant oversight and technical support by Unit staff throughout the year. In this regard, the Unit undertook – and in some cases, continued – the following activities, as well as many others relating to the use of electronic voting systems in New York State:

- Provided ballot definition support to those county boards affected by flooding that occurred just prior to the September primary. Most notably, the Unit assisted Schoharie County by building ballot definitions due to their physical displacement from the County Board’s office space. After the election, staff processed election results and provided the Schoharie County Board of Elections with both a hardcopy and an electronic back-up of the election and its results.
- Applied for and was awarded by the Federal Election Assistance Commission a \$230,000 “*Voting Systems Logic and Accuracy, and Post-Election Audit*” research grant to develop and document processes and best practices for coordinating quality and cost-effective voting systems pre-election logic and accuracy testing, and post-election results. The goal is to refine the testing and audit procedures utilized in New York State’s 62 counties.
- Training materials will be designed and changes to current practices will be identified in order to achieve cost savings. Consequently, NYSBOE entered into a contract with New York Network (NYN) to develop a DVD-based training video on test deck creation. Additionally, discussions began with the Clear Ballot Group to determine the viability of their system for constructing an independent audit process in New York State. Also planned, is the design of a post-election audit procedure to verify the results obtained from voting systems.
- Anticipated outcomes of the project include the use of ballot images; the

identification (through the use of statistical modeling) of an appropriate sample size for an audit; refining of existing chain of custody procedures; and the establishment of a threshold to adjust the audit percentage sample based upon the closeness of any given election. It is expected that existing logic and accuracy and post-election audit procedures will be revised and disseminated for statewide implementation as a key result of having participated in this grant initiative.

- Directed its contracted independent testing authority SLI Global Solutions, Inc. (SLI) to initiate source code review and functional testing on the Election Systems and Software, Inc. (ES&S) upgrade for the EMS, DS200 Scanner and Automark Ballot Marking Device.
- Worked closely with voting system vendors currently having certified systems in use in the state on the development of new message displays to inform voters of instances of over-voting and double-voting.
- Provided help desk technical support for county boards of elections before, during, and after the primary, general, and special elections, with dedicated staff assigned to assist county board personnel in the building and layout of ballots; running of test decks; conducting 3% audits; and, a multitude of related complex technical tasks.
- Continued to offer training to county board technicians and other key personnel at the local level in election management system, test deck, and other technical tasks regarding system operations. Such training is essential for Boards to knowledgeably and properly run and manage elections.
- Provided oversight, and in some cases assisted in, the conduct of on-site acceptance testing of new optical scan systems purchased by multiple county boards of elections. Staff travelled to county boards to provide on-site support for any new voting equipment purchases which have been made.
- Continued performing federally-mandated voting equipment audits throughout 2011. A total of 57 county boards had undergone an equipment audit by the end of the year. It is anticipated that the initial statewide audit encompassing all county boards of elections in New York will be completed early in 2012.

- Began a concerted effort to increase the usability of Election Day ballots. Usability refers to making ballots easier for voters to understand and use. Staff collected and reviewed ballots generated by all county boards of elections, and identified particular areas for improved presentation to voters. Areas of analysis include font size, ballot layout, placement of instructions, and ballot design. Corresponding training was delivered to county Elections Commissioners and their staff members at the 2011 State Board of Elections Conference.
- Attended and/or presented at conferences and regional commissioners' meetings throughout the year.

Statewide County Boards of Elections Operational Support

In addition to election assistance, support to county boards of elections in the area of daily operations remains a principle role of the Unit.

County boards are provided with oversight and support in innumerable ways, including phone calls; conference calls; emails; customized workshops tailored to individual counties; informative conference presentations; participation in and appearances at Election Commissioners' Association regional meetings; topical memorandums; workshops presented at the agency's Annual Conference; and, the provision of extensive procedural documents and forms for implementation at the local level. As new regulations emerge, or previous topics become heightened areas of interest, the Unit strives to communicate timely and appropriate guidance to county board personnel.

The State Board also provides National Change of Address (NCOA) information to all of the State's county boards. NCOA services are a required component of New York's statutory voter registration list maintenance procedures, and help to ensure that voter addresses are synchronized with information on file with the U.S. Postal Service. This process is further enhanced as data is processed via the statewide database. In 2011, data concerning over 664,628 such changes were provided to county boards of elections for their use in updating registration records, voter notifications, and other routine maintenance tasks to reflect voter's change of address information.

Privacy Booth Grant Program

In 2011, the Unit continued to manage the Privacy Booth Grant Program, permitting the reimbursement for the purchase of privacy booths and related necessities for Election Day by county boards of elections. A total of 25 boards participated in 2011, with a total annual reimbursement of \$864,736. To assist county boards in gaining compliance with and implementing HAVA, NYSBOE received federal funds which could be used to support the deployment of voting systems in instances where essential items are not covered under current state contracts. Participating boards use local funds to purchase peripheral items, such as temporary or portable privacy booths; accessories for privacy booths, including lighting, curtains, and accessible seating; and hand carts for transporting privacy booths, and then apply for reimbursement under the grant program that is administered by the Election Operations Unit.



Public Election Services

Additional services provided by Unit staff include responding to inquiries for data and/or information from the public, and the distribution of related documentation. A multitude of general information is also disseminated, including

election results (current and previous years), political calendars, candidate lists, the State Board’s “Running for Office” booklet, voter registration forms, Election Law books, and other information and data relating to elections and the election process. Further, the Unit also has responsibility for managing the NYSBOE’s toll-free voter registration application request number (1-800-FOR-VOTE), as well as fulfilling requests submitted via the agency website. In 2011, in response to these requests for voter registration applications, Unit staff transcribed 4,458 requests and 12,869 voter registration forms were sent out.



Additional Unit Activities

In addition to ongoing operational and technical support to county boards of elections, public election services, ballot access assistance, and voting system certification and technical support, Election Operations personnel assist other units in the agency through active participation in workgroups and projects, support of other Board missions affected by staffing shortages, and contributing to the various priorities identified by the Board.

INFORMATION TECHNOLOGY UNIT

All of the program units in NYSBOE rely heavily on technology to perform the Board's collective mission. This is especially true with the changes in election management within the State of New York and nationally. The Information Technology Unit (ITU) is tasked with providing the most efficient and cost effective technology solutions to assist program unit staff to perform their responsibilities.

Among the ITU responsibilities are the procurement, operations and maintenance of all computing systems for NYSBOE. ITU staff performs or manages development, implementation, maintenance and support of all election information systems for the Agency. Information Technology Unit staff is responsible for data storage, data processing, security of networks and data as well as accessibility to election-related data. ITU keeps the Board current with emerging technology and makes recommendations to the Co-Executive Directors regarding technology procurement.

Additionally, NYSBOE's Chief Information Officer represents the Board on the New York State CIO Council and serves on CIO Council's technology committees. The Board's Information Security Officer oversees all aspects of network and Internet security and represents the Board of Elections on the New York State ISO Council.

Computing Environment and Infrastructure

NYSBOE operates two network environments. The internal agency network is a Novell NetWare networking environment using Microsoft Windows XP client operating systems on the desktop. The NYSVoter Wide Area Network (WAN) is a Microsoft Network. The Information Technology Unit is responsible for the design, installation, configuration and maintenance of the networks. This responsibility includes servers, switches, wiring plant, workstations and all of the ancillary devices required for operating a stable, secure network. Staff is also responsible for the design and maintenance of the Board's database, Intranet and Internet infrastructures and applications. ITU staff assures that backup, disaster recovery and network security are performed with attention to best industry standards and practices.

Information Technology management is responsible for developing an IT budget and submitting the Annual Technology Plan (ATP) to the Division of Budget and Office for Technology for review. IT Management is also responsible for obtaining purchase approval for all technology purchases by submitting justified “Intent to Purchase” documentation and submitting requisitions for purchase to the finance/procurement officer.

ITU staff develops, maintains and supports the major applications and systems, described below, which are used at the Board.

Financial Disclosure Administration System (FIDAS).

The Financial Disclosure Administration System (FIDAS) is an Oracle network-based system used by auditing and enforcement staff for the management of the financial disclosure reports for committees and candidates for statewide office. The Information Technology Unit develops and maintains the Oracle databases and applications associated with the administration of campaign finances. The Board’s Electronic Filing System Software, which is used by candidates and political committees for filing their reports, was developed by and is maintained by the IT staff. The Unit is currently re-engineering the filing software to operate on any computer operating system that can support Java applications. The software is also being improved to better support the filing community

ITU is responsible for receiving and processing electronic filings from approximately 15,000 filers and loading them into the Campaign Financial Disclosure Database. A small, but efficient help desk staff performs this work in addition to delivering telephone support to the financial report filers, County Boards of Elections and Board staff.

National Change of Address (NCOA) Processing

NCOA processing was coordinated by NYSBOE as required by the National Voter Registration Act. A file with all the names and addresses is produced and forwarded electronically to an NCOA vendor for matching against the U.S. Post Office’s Change-of-Address database. The file resulting from the processing is retrieved electronically by the Board where it is parsed and redistributed to the

individual counties of origin. The NCOA processing for 2011 included nearly 12 million voter records from 62 counties. Centralizing this NCOA processing through NYSBOE, as opposed to the processing by individual counties, provides the counties with a substantial savings in revenue due to the economy of scale that the Board is able to leverage.

Election Operation Support

The Unit provides support to the Election Operations Unit in the form of the Candidate Petition Administration System (CAPAS), which is used to administer the candidate petition process, as well as, create correspondence, ballots and reports pertaining to elections.

Agency-Based Voter Registration/Public Information

The Information Technology Unit supports the database applications used by the Voter Registration Unit to manage the registration sites and transactions. A supplies inventory system was created and is maintained by the Board's IT staff.

The Public Information Officer has oversight of the content on the Board's website. The Board has adopted a policy of making as much information as possible available electronically thus cutting the cost of printing and reproduction. The ITU staff works closely with the Public Information Office to oversee the technology, design and application development associated with the Board's Internet website.

NYSVoter Statewide Voter Registration Database

As part of the Federal Help America Vote Act (HAVA), legislation that was passed in 2002, as well as New York State election law changes, the State Board of Elections was required to create a statewide voter registration database. The Statewide Voter Registration Database, NYSVoter, was developed and implemented in 2007. Since then the system has become mature and stable.

NYSVoter is a complex architecture of over 60 servers and multiple networks including a Wide Area Network consisting of Virtual Private Networks that requires a great deal of monitoring and maintenance activity on a daily basis. The NYSVoter staff not only maintains the production system that resides at the NYSBOE data center, but also a disaster recovery site that resides at the State Emergency Management Office

data center in Hawthorne, NY.

The ITU worked with the Federal Voting Assistance Program (FVAP) to implement the Military and Overseas Voter Empowerment (MOVE) Act to assist military voters and voters who live overseas to vote absentee ballots. An interim solution was implemented for the 2010 elections and a permanent system will be developed during 2011.



ELECTION LAW ENFORCEMENT & INVESTIGATIONS

NYSBOE's Enforcement Counsel supervises the Enforcement, Investigation and Campaign Finance Units.

The Enforcement Unit consists of seven staff: Enforcement Counsel, Deputy Enforcement Counsel, Confidential Secretary, Confidential Aide, two Enforcement Specialists, and an Investigator.

The Unit enforces violations of the Election Law. Whenever a complaint alleging a violation is made, it is reviewed to ascertain that the conduct complained of would, if true, be a violation of that law, and that there is evidence to support it. Counsel examines the nature and scope of the alleged conduct, and recommends an appropriate disposition of the complaint to the Board's Commissioners. In addition to general complaints of Election Law violations, Enforcement Counsel also has responsibility for any complaint of a violation of Title III of the Help America Vote Act of 2002, in accordance with the specific processes statutorily imposed.

In 2011, NYSBOE opened 54 complaints alleging violations of the Election Law, and processed 56 complaints to a Determination.

Additionally, Enforcement Counsel initiates civil proceedings relative to campaign financial reporting mandates. If a required campaign financial disclosure report is not filed, the committee treasurer and/or the candidate is notified by certified and first class mail of the failure to file. If the filing is not received by the Board within five days of receipt of that letter, the Board initiates a civil action requesting the Court to order the filing be made, as well as to assess a monetary penalty and court costs. In 2011, the Enforcement Unit initiated three lawsuits and obtained 792 Judgments against candidates and treasurers for failure to file campaign financial disclosure reports. By year's end, 252 Judgments were satisfied.

Enforcement's Investigation arm conducts any investigation of alleged violation of the Election Law ordered by the Board, including in the areas of campaign financial disclosure, petitions, illegal registration and voting, and the conduct of elections.

CAMPAIGN FINANCE UNIT

The Campaign Finance Unit (CFU) is headed by the Enforcement Counsel who, with the Deputy Enforcement Counsel, supervises a campaign finance staff of 11 who are assigned to the three sub-units within Campaign Finance: Intake and Processing; Education Outreach and Training; and Audit and Review.

CFU/Intake and Processing sub-unit consists of five staff: an Associate Accountant; a Senior Accountant; a Calculations Clerk II; and two Campaign Finance Analysts. This staff is primarily responsible for registrations and terminations of



committees, receiving and processing campaign financial disclosure reports, and for operating the call center, where inquiries about the Election Law and filing mandates are handled. In 2011, January and July Periodic financial disclosure reports were required of all registered committees. As well, primary and general election reports were required as applicable. Nine special elections were held in 2011. At the end of

2011, there were 12,319 active filers with the Board. A total of 29,559 financial disclosure statements were received by the Board in 2011, consisting of 8,595 from state filers, and 20,964 from county filers who were mandated to register and file with the State Board. There were 28,694 electronic submissions, 235 by diskette, and 600 paper filings. All filings are available for public viewing on the Board's website. If a mandated filing is not made, the candidate and/or committee treasurer is notified by certified and first class mail of the failure to file. In 2011, the CFU sent 9,848 such letters. Subsequently, three lawsuits were initiated by the Enforcement Unit and a resulting 792 Judgments for failure to file were entered.

The number of active filers with the Board continues to increase, as is indicated below:

	2007	2008	2009	2010	2011
State Filers	2,159	2,152	2,014	2,549	2,121
County Filers	6,701	6,989	8,936	8,458	10,198
TOTAL	8,860	9,141	10,950	11,007	12,319

Filers include both committees, and candidates without a committee who are making their own filings. In 2011, 3,149 new committees registered with the Board. With each new registration, the CFU sent a confirmation to the treasurer, providing the committee identification number, a personal identification number that acts as an electronic signature when making filings, and other information relating to filing requirements and obligations. There were 2,434 committee/candidate terminations processed in 2011.

Other duties of this sub-unit which they accomplished in 2011 include:

- Creation and publication of the campaign financial disclosure filing calendar.
- Calculation of the contribution limits as set forth in Election Law Article 14.
- Providing the public, as well as all filers with NYSBOE or county boards of elections, with information regarding campaign finance. In 2011, the staff responded to 13,824 telephone inquiries through the call center, filled 360 requests for campaign finance forms and materials, sent 1,928 letters to filers informing them of some error in their attempt to submit a financial disclosure report, and sent another 962 follow up letters. Staff also assisted people who visited our public view area.

The CFU/ Education Outreach and Training sub-unit is staffed by three people. Their primary area of responsibility is the preparation and dissemination of information and materials relative to the financial disclosure mandates of the Election Law. In 2011, this sub-unit accomplished the annual revision of the Campaign Finance Handbook; revised forms promulgated by the Board relative to Article 14 of the Election Law and created the annual Filer Update for distribution to all filers. As well, they conducted 25 training seminars for filers throughout the State to provide information as to the requirements of financial filing and applicable Election Law provisions. They also created a ‘train the trainer’ program for county boards of elections so that the staffs at the boards could better assist filers. In 2011 this Unit worked in conjunction with the Board’s Information Technology Unit to produce a new version of the Board’s Electronic Filing System Software, offering a more intuitive and easier to use filing medium.

The CFU/Audit and Review sub-unit in 2011 reviewed filings for compliance with statutory mandates. As well, they reviewed multiple data fields in reports and intra agency software. This enabled implementation of improvements for efficient administration of processes and procedures relative to campaign finance and

enforcement. Three people staff this sub-unit.

Additionally, Chapter 399 of 2011 mandated the Board to issue a Regulation setting forth the mandates currently in State Law relative to making and reporting Independent Expenditures. The Regulation was in final draft form at the end of 2011 and was submitted to the Division of the Budget on January 13, 2012.

PUBLIC INFORMATION OFFICE

Media Relations

The Public Information Officer serves as the board's spokesperson and is responsible for handling all press inquiries. In 2011, the Public Information Office received over 10,000 requests from reporters, interested parties and the general public seeking information on election results, voter registration data, campaign finance filings, enforcement matters, Election Law, implementation of the Help America Vote Act, new voting machines and board policies. The Public Information Officer also produced press releases and advisories throughout the year which provided information on these topics to the state and national press corps and the general public. This information was also made available via the Internet through the Board's website (www.elections.ny.gov) along with a wide range of election-related data of interest to New York State voters all over the world.

Freedom of Information Law

The Public Information Officer also serves as the Board's Records Access Officer. He is responsible for processing all FOIL requests received by the agency. In 2011, 442 requests were received by the Records Access Officer. This number represents a 28% decline from 2010. This is attributed to volume of information available on the website and 2011 not being a federal or state election year. Most requests were for data and records from NYSBOE's statewide database of registered voters (NYSVoter). Of the requests received, 362 requests were granted, 38 were denied in accordance with the provisions of Section 87 of the Public Officers Law, and in 42 instances no records were found.

Registration Hotlines

The Board's automated hotline (1-800-FOR-VOTE) and the Board's webpage on-line voter registration form (www.elections.ny.gov) provide a dependable, efficient and convenient way in which citizens may request voter registration application forms. The hotline remains a positive component of the board's outreach program and the webpage continues to capture a larger share of the program as more of the population comes online.

Annual Conference

The Board again held its annual conference for county election commissioners this spring in Albany. The annual conference provides a forum for election officials throughout the state to learn and exchange ideas on election laws and administration. More than 300 people attended the three-day event and took advantage of several meetings to discuss implementation in New York of new voting machines and the Help America Vote Act of 2002. The seminar attracted local board commissioners and staff from every local board in New York.

Legal Notices

Pursuant to Section 4-116 of the Election Law the State Board is required to publish once in the week preceding any election at which proposed Constitutional Amendments or other propositions or questions are to be submitted to the voters of the state, an abstract prepared by the Attorney General explaining the amendment or question. There were no ballot propositions on the 2011 General Election ballot.

Website (www.elections.ny.gov)

Lastly, the Public Information Office works in close concert with the Information Technology Unit to operate and maintain the agency's website. With the rise of the Internet, our website has become an integral part of the Board's effort to provide information for the general public. The PIO e-mailbox received more than 5400 e-mails during 2011. The homepage received 2,368,508 total hits during 2011. The voter search page received 566,982 hits and the webcasting page received 2,832 hits for the year.



ADMINISTRATION OFFICE

The Board's Administrative Office consists of three staff members. The duties of this unit include all personnel administration, purchasing, mail and warehouse operations and general Board administrative tasks relating to day-to-day operations. The Board has a Host Agency agreement with the Office of General Services for activities related to budgeting, contracts, purchasing and payment of vouchers.

Fiscal Operations

NYSBOE received fiscal year 2011-12 appropriations of \$5,521,000 in the General Fund, \$6,500,000 in Federal Funds and \$500,000 in Special Revenue Funds.

In 2011-12, the Board was appropriated \$6,500,000 to authorize the expenditure of the federal Help America Vote Act (HAVA) State Operations grant for services and expenses related to the implementation of federal election requirements including HAVA and the Military and Overseas Voter Empowerment (MOVE) Act of 2009 and \$1 million to authorize the expenditure of the federal Health and Human Services grants for services and expenses related to the alteration of poll sites to provide accessibility for disabled voters. There was also an appropriation of \$500,000 in Special Revenue Funds for prior year liabilities related to the HAVA Matching Funds Account.

NYSBOE was granted the following re-appropriations for 2011-12:

- \$6,500,000 by the Laws of 2010, in federal HAVA funds related to the implementation of the MOVE Act of 2009.

- \$6,000,000 by the Laws of 2009, for HAVA related expenditures.
- \$700,000 by the Laws of 2008, for the development of a curriculum for use by local boards of elections for poll worker training and voter education with respect to using each approved voting machine and voting system used by local boards of elections.
- \$600,000 by the Laws of 2005, for services and expenses related to testing and certification contracts for voting machines. This appropriation may be credited with any amount recovered by the state in such relation to any such contract.
- \$1,200,000 by the Laws of 2005, for services and expenses (prior to April 1, 2005) related to HAVA.
- \$2,100,000 by the Laws of 2005, for services and expenses (on or after April 1, 2005) related to the HAVA.
- \$1,000,000 by the Laws of 2009, for expenses related to satisfying the matching funds requirements of Section 253 (b) (5) of HAVA.
- \$5,000,000 by the Laws of 2007, for expenditure pursuant to Subdivision 4 of Section 3-100 of the Elections Law.
- \$1,700,000 by the Laws of 2009, for contractual services in the Voting Machine Examinations account.
- \$200,000 by the Laws of 2006, Maintenance Undistributed for services and expenses related to Voting Machine Examinations.
- \$3,700,000 by the Laws of 2006, as amended by the Laws of 2008, for general fund local assistance services and expenses related to the alteration of poll sites to provide accessibility for disabled voters.
- \$1,000,000 by the Laws of 2010, for services and expenses in the federal Health and Human Services account, including prior year liabilities, related to Poll Site Accessibility improvements
- \$1,000,000 by the Laws of 2009, for services and expenses in the federal Health and Human Services account, including prior year liabilities, related to Poll Site Accessibility improvements

- \$1,000,000 by the Laws of 2008, for services and expenses in the federal Health and Human Services account, including prior year liabilities, related to Poll Site Accessibility improvements
- \$7,000,000 by the Laws of 2009, for services and expenses related to the implementation of HAVA, including the purchase of new voting machines and disability accessible ballot marking devices for use by the local boards of elections.
- \$1,500,000 by the Laws of 2009 amended, for services and expenses related to the implementation of HAVA, including the purchase of new voting machines and disability accessible ballot marking devices for use by the local boards of elections pursuant to HAVA.
- \$17,000,000 by the Laws of 2005 amended in 2006, for services and expenses related to the purchase of new voting machines and voting systems.

Personnel Administration

NYSBOE was initially authorized at a staffing level of 60 full-time positions for the 2011/12 Fiscal Year. The authorized staffing level was reduced by the Division of the Budget to 58 full-time positions mid-year.

As a result of the 2011-2015 negotiated agreements with NYS bargaining units, staff members represented by the Civil Service Employees Association, Inc. (CSEA) and the Public Employees Federation, AFL-CIO (PEF) were not paid an annual statewide salary increase. Management and Confidential (M/C) staff members statewide salary increase was also withheld.

Revenue Fiscal Year 2011-12

Voting Machine Certification	\$435,000.00
Judgments	\$64,596.27
Election Operations Photocopies	\$319.50



Appendix

NYSVoter Enrollment by County, Party Affiliation and Status Voters Registered as of November 1, 2011

REGION	COUNTY	STATUS	DEM	REP	CON	WOR	IND	GRE	OTH	BLANK	TOTAL
Outside NYC	Albany	Active	87,449	37,558	2,788	607	8,494	436	64	37,291	174,687
Outside NYC	Albany	Inactive	10,501	3,286	192	97	1,278	83	11	4,892	20,340
Outside NYC	Albany	Total	97,950	40,844	2,980	704	9,772	519	75	42,183	195,027
Outside NYC	Allegany	Active	6,055	12,460	356	128	1,048	48	13	3,681	23,789
Outside NYC	Allegany	Inactive	628	757	21	15	138	12	1	485	2,057
Outside NYC	Allegany	Total	6,683	13,217	377	143	1,186	60	14	4,166	25,846
Outside NYC	Broome	Active	41,503	42,630	1,426	541	5,490	320	45	19,737	111,692
Outside NYC	Broome	Inactive	5,147	3,412	145	112	773	83	10	2,896	12,578
Outside NYC	Broome	Total	46,650	46,042	1,571	653	6,263	403	55	22,633	124,270
Outside NYC	Cattaraugus	Active	15,577	17,673	1,000	282	2,017	85	19	8,432	45,085
Outside NYC	Cattaraugus	Inactive	1,405	1,252	64	38	257	12	3	1,156	4,187
Outside NYC	Cattaraugus	Total	16,982	18,925	1,064	320	2,274	97	22	9,588	49,272
Outside NYC	Cayuga	Active	15,305	16,934	1,181	189	2,205	123	10	8,593	44,540
Outside NYC	Cayuga	Inactive	1,746	1,656	90	47	384	21	1	1,441	5,386
Outside NYC	Cayuga	Total	17,051	18,590	1,271	236	2,589	144	11	10,034	49,926
Outside NYC	Chautauqua	Active	27,596	26,235	1,950	420	4,549	132	43	17,326	78,251
Outside NYC	Chautauqua	Inactive	2,498	1,708	137	55	561	18	7	2,047	7,031
Outside NYC	Chautauqua	Total	30,094	27,943	2,087	475	5,110	150	50	19,373	85,282
Outside NYC	Chemung	Active	16,126	20,708	629	221	2,732	89	13	9,180	49,698
Outside NYC	Chemung	Inactive	1,781	1,634	46	60	329	9	1	1,258	5,118
Outside NYC	Chemung	Total	17,907	22,342	675	281	3,061	98	14	10,438	54,816
Outside NYC	Chenango	Active	7,635	12,529	425	186	1,574	119	18	5,808	28,294
Outside NYC	Chenango	Inactive	724	737	28	31	171	17	1	635	2,344
Outside NYC	Chenango	Total	8,359	13,266	453	217	1,745	136	19	6,443	30,638
Outside NYC	Clinton	Active	16,458	14,861	447	207	2,871	92	5	9,828	44,769
Outside NYC	Clinton	Inactive	1,770	1,180	33	27	410	29	3	1,309	4,761
Outside NYC	Clinton	Total	18,228	16,041	480	234	3,281	121	8	11,137	49,530
Outside NYC	Columbia	Active	12,744	12,269	1,133	191	2,624	169	27	10,620	39,777
Outside NYC	Columbia	Inactive	1,144	718	95	30	268	19	7	994	3,275
Outside NYC	Columbia	Total	13,888	12,987	1,228	221	2,892	188	34	11,614	43,052
Outside NYC	Cortland	Active	8,692	10,201	401	130	1,489	93	4	6,166	27,176
Outside NYC	Cortland	Inactive	1,196	717	40	19	249	10	0	1,117	3,348
Outside NYC	Cortland	Total	9,888	10,918	441	149	1,738	103	4	7,283	30,524
Outside NYC	Delaware	Active	7,318	12,111	429	90	1,430	99	12	4,781	26,270
Outside NYC	Delaware	Inactive	854	826	31	14	162	16	2	598	2,503
Outside NYC	Delaware	Total	8,172	12,937	460	104	1,592	115	14	5,379	28,773
Outside NYC	Dutchess	Active	56,578	52,786	3,364	649	9,235	387	73	45,442	168,514
Outside NYC	Dutchess	Inactive	4,783	2,567	126	62	676	55	7	3,154	11,430
Outside NYC	Dutchess	Total	61,361	55,353	3,490	711	9,911	442	80	48,596	179,944
Outside NYC	Erie	Active	287,641	153,084	11,957	2,759	25,417	1,274	257	92,711	575,100

Outside NYC	Erie	Inactive	23,489	10,071	626	262	1,876	131	18	9,625	46,098
Outside NYC	Erie	Total	311,130	163,155	12,583	3,021	27,293	1,405	275	102,336	621,198
Outside NYC	Essex	Active	6,461	12,116	190	56	1,686	69	11	4,299	24,888
Outside NYC	Essex	Inactive	773	1,080	18	21	229	18	2	734	2,875
Outside NYC	Essex	Total	7,234	13,196	208	77	1,915	87	13	5,033	27,763
Outside NYC	Franklin	Active	9,595	9,172	340	93	1,473	59	4	4,437	25,173
Outside NYC	Franklin	Inactive	703	617	16	14	132	7	0	494	1,983
Outside NYC	Franklin	Total	10,298	9,789	356	107	1,605	66	4	4,931	27,156
Outside NYC	Fulton	Active	7,734	15,829	458	131	1,443	50	4	4,868	30,517
Outside NYC	Fulton	Inactive	677	948	36	24	173	7	0	576	2,441
Outside NYC	Fulton	Total	8,411	16,777	494	155	1,616	57	4	5,444	32,958
Outside NYC	Genesee	Active	9,414	15,645	816	188	1,654	69	29	7,308	35,123
Outside NYC	Genesee	Inactive	450	502	18	14	92	5	0	393	1,474
Outside NYC	Genesee	Total	9,864	16,147	834	202	1,746	74	29	7,701	36,597
Outside NYC	Greene	Active	6,864	11,986	780	114	1,656	60	8	7,102	28,570
Outside NYC	Greene	Inactive	801	873	55	12	185	12	1	763	2,702
Outside NYC	Greene	Total	7,665	12,859	835	126	1,841	72	9	7,865	31,272
Outside NYC	Hamilton	Active	971	2,779	43	8	209	5	0	532	4,547
Outside NYC	Hamilton	Inactive	84	157	5	1	12	0	0	50	309
Outside NYC	Hamilton	Total	1,055	2,936	48	9	221	5	0	582	4,856
Outside NYC	Herkimer	Active	10,541	18,700	557	125	2,198	63	4	6,073	38,261
Outside NYC	Herkimer	Inactive	722	912	31	19	181	7	0	598	2,470
Outside NYC	Herkimer	Total	11,263	19,612	588	144	2,379	70	4	6,671	40,731
Outside NYC	Jefferson	Active	16,415	24,370	754	206	2,943	95	27	11,174	55,984
Outside NYC	Jefferson	Inactive	1,484	1,504	47	22	289	11	4	1,338	4,699
Outside NYC	Jefferson	Total	17,899	25,874	801	228	3,232	106	31	12,512	60,683
Outside NYC	Lewis	Active	4,348	8,727	228	48	684	26	7	2,382	16,450
Outside NYC	Lewis	Inactive	203	266	11	2	50	2	1	171	706
Outside NYC	Lewis	Total	4,551	8,993	239	50	734	28	8	2,553	17,156
Outside NYC	Livingston	Active	10,186	16,445	774	145	1,708	106	17	7,654	37,035
Outside NYC	Livingston	Inactive	1,055	905	39	18	151	18	1	866	3,053
Outside NYC	Livingston	Total	11,241	17,350	813	163	1,859	124	18	8,520	40,088
Outside NYC	Madison	Active	11,078	16,131	802	208	2,463	121	8	8,524	39,335
Outside NYC	Madison	Inactive	995	1,077	40	24	311	18	0	888	3,353
Outside NYC	Madison	Total	12,073	17,208	842	232	2,774	139	8	9,412	42,688
Outside NYC	Monroe	Active	166,161	131,786	7,612	1,276	18,134	905	257	97,778	423,909
Outside NYC	Monroe	Inactive	21,762	8,595	514	282	1,756	231	18	11,895	45,053
Outside NYC	Monroe	Total	187,923	140,381	8,126	1,558	19,890	1,136	275	109,673	468,962
Outside NYC	Montgomery	Active	9,616	9,910	553	109	1,395	42	10	5,373	27,008
Outside NYC	Montgomery	Inactive	994	739	45	27	137	9	1	703	2,655
Outside NYC	Montgomery	Total	10,610	10,649	598	136	1,532	51	11	6,076	29,663
Outside NYC	Nassau	Active	343,190	320,701	9,537	1,992	29,474	1,059	134	195,883	901,970
Outside NYC	Nassau	Inactive	8,364	5,923	193	44	765	37	3	4,359	19,688
Outside NYC	Nassau	Total	351,554	326,624	9,730	2,036	30,239	1,096	137	200,242	921,658
Outside NYC	Niagara	Active	54,250	43,209	2,640	908	5,580	239	6	20,659	127,491
Outside NYC	Niagara	Inactive	4,331	2,653	152	121	552	26	2	2,292	10,129
Outside NYC	Niagara	Total	58,581	45,862	2,792	1,029	6,132	265	8	22,951	137,620

Outside NYC	Oneida	Active	45,693	50,434	1,825	469	6,966	204	1	23,573	129,165
Outside NYC	Oneida	Inactive	1,456	1,236	36	23	252	10	0	906	3,919
Outside NYC	Oneida	Total	47,149	51,670	1,861	492	7,218	214	1	24,479	133,084
Outside NYC	Onondaga	Active	100,648	86,836	4,556	1,267	13,002	659	147	67,975	275,090
Outside NYC	Onondaga	Inactive	8,777	4,505	253	148	1,113	93	13	5,768	20,670
Outside NYC	Onondaga	Total	109,425	91,341	4,809	1,415	14,115	752	160	73,743	295,760
Outside NYC	Ontario	Active	18,828	25,600	1,174	169	3,356	156	6	13,965	63,254
Outside NYC	Ontario	Inactive	1,792	1,770	81	23	381	17	0	1,472	5,536
Outside NYC	Ontario	Total	20,620	27,370	1,255	192	3,737	173	6	15,437	68,790
Outside NYC	Orange	Active	71,989	69,095	3,622	714	9,859	435	39	43,146	198,899
Outside NYC	Orange	Inactive	5,640	3,960	169	80	772	46	4	3,484	14,155
Outside NYC	Orange	Total	77,629	73,055	3,791	794	10,631	481	43	46,630	213,054
Outside NYC	Orleans	Active	5,578	10,478	486	137	985	40	12	4,529	22,245
Outside NYC	Orleans	Inactive	727	967	47	23	145	7	3	816	2,735
Outside NYC	Orleans	Total	6,305	11,445	533	160	1,130	47	15	5,345	24,980
Outside NYC	Oswego	Active	17,497	32,528	1,548	356	3,414	89	26	13,082	68,540
Outside NYC	Oswego	Inactive	2,556	2,960	155	75	581	34	2	2,588	8,951
Outside NYC	Oswego	Total	20,053	35,488	1,703	431	3,995	123	28	15,670	77,491
Outside NYC	Otsego	Active	10,329	13,134	470	128	1,877	106	13	6,422	32,479
Outside NYC	Otsego	Inactive	1,171	887	40	18	278	18	2	996	3,410
Outside NYC	Otsego	Total	11,500	14,021	510	146	2,155	124	15	7,418	35,889
Outside NYC	Putnam	Active	17,205	21,349	2,013	179	3,221	115	21	14,514	58,617
Outside NYC	Putnam	Inactive	1,233	1,189	82	12	248	14	1	1,016	3,795
Outside NYC	Putnam	Total	18,438	22,538	2,095	191	3,469	129	22	15,530	62,412
Outside NYC	Rensselaer	Active	26,662	24,520	4,265	1,133	6,517	224	52	27,872	91,245
Outside NYC	Rensselaer	Inactive	3,511	1,989	255	195	763	46	13	2,869	9,641
Outside NYC	Rensselaer	Total	30,173	26,509	4,520	1,328	7,280	270	65	30,741	100,886
Outside NYC	Rockland	Active	80,593	41,701	3,336	577	6,810	219	3	38,379	171,618
Outside NYC	Rockland	Inactive	4,976	2,304	124	35	484	25	0	2,686	10,634
Outside NYC	Rockland	Total	85,569	44,005	3,460	612	7,294	244	3	41,065	182,252
Outside NYC	Saratoga	Active	38,621	64,061	2,095	424	8,398	340	80	34,879	148,898
Outside NYC	Saratoga	Inactive	2,649	2,740	107	31	575	27	3	2,303	8,435
Outside NYC	Saratoga	Total	41,270	66,801	2,202	455	8,973	367	83	37,182	157,333
Outside NYC	Schenectady	Active	34,500	25,359	3,034	609	4,826	178	41	20,656	89,203
Outside NYC	Schenectady	Inactive	3,237	1,729	174	83	490	34	2	2,085	7,834
Outside NYC	Schenectady	Total	37,737	27,088	3,208	692	5,316	212	43	22,741	97,037
Outside NYC	Schoharie	Active	4,850	7,271	378	71	1,095	57	17	4,006	17,745
Outside NYC	Schoharie	Inactive	652	801	36	24	221	21	1	651	2,407
Outside NYC	Schoharie	Total	5,502	8,072	414	95	1,316	78	18	4,657	20,152
Outside NYC	Schuyler	Active	3,287	4,723	203	74	624	61	2	2,356	11,330
Outside NYC	Schuyler	Inactive	340	346	12	8	93	6	1	284	1,090
Outside NYC	Schuyler	Total	3,627	5,069	215	82	717	67	3	2,640	12,420
Outside NYC	Seneca	Active	6,265	7,793	421	114	896	49	3	3,690	19,231
Outside NYC	Seneca	Inactive	429	443	16	13	76	4	1	317	1,299
Outside NYC	Seneca	Total	6,694	8,236	437	127	972	53	4	4,007	20,530
Outside NYC	St.Lawrence	Active	21,903	21,293	781	257	3,009	149	20	11,194	58,606

Outside NYC	St.Lawrence	Inactive	2,342	1,859	64	52	422	30	2	1,894	6,665
Outside NYC	St.Lawrence	Total	24,245	23,152	845	309	3,431	179	22	13,088	65,271
Outside NYC	Steuben	Active	15,137	29,809	833	241	2,857	134	11	8,977	57,999
Outside NYC	Steuben	Inactive	1,157	1,605	48	51	211	23	1	1,056	4,152
Outside NYC	Steuben	Total	16,294	31,414	881	292	3,068	157	12	10,033	62,151
Outside NYC	Suffolk	Active	288,972	300,182	20,775	3,888	37,529	1,474	301	230,288	883,409
Outside NYC	Suffolk	Inactive	20,587	14,544	939	297	2,368	123	24	14,124	53,006
Outside NYC	Suffolk	Total	309,559	314,726	21,714	4,185	39,897	1,597	325	244,412	936,415
Outside NYC	Sullivan	Active	17,922	13,019	990	194	2,160	126	4	10,519	44,934
Outside NYC	Sullivan	Inactive	2,758	1,438	90	53	291	29	3	1,935	6,597
Outside NYC	Sullivan	Total	20,680	14,457	1,080	247	2,451	155	7	12,454	51,531
Outside NYC	Tioga	Active	8,452	14,128	372	129	1,596	76	28	5,741	30,522
Outside NYC	Tioga	Inactive	530	786	14	6	129	8	1	486	1,960
Outside NYC	Tioga	Total	8,982	14,914	386	135	1,725	84	29	6,227	32,482
Outside NYC	Tompkins	Active	22,791	12,673	337	214	2,095	468	38	10,473	49,089
Outside NYC	Tompkins	Inactive	4,400	1,426	38	44	407	90	10	2,551	8,966
Outside NYC	Tompkins	Total	27,191	14,099	375	258	2,502	558	48	13,024	58,055
Outside NYC	Ulster	Active	36,992	28,964	2,529	531	5,094	630	69	33,194	108,003
Outside NYC	Ulster	Inactive	6,189	3,144	238	93	923	189	16	5,390	16,182
Outside NYC	Ulster	Total	43,181	32,108	2,767	624	6,017	819	85	38,584	124,185
Outside NYC	Warren	Active	10,292	20,157	535	142	2,287	115	6	7,866	41,400
Outside NYC	Warren	Inactive	926	1,110	50	19	246	20	0	796	3,167
Outside NYC	Warren	Total	11,218	21,267	585	161	2,533	135	6	8,662	44,567
Outside NYC	Washington	Active	8,678	15,550	562	190	2,126	93	3	7,161	34,363
Outside NYC	Washington	Inactive	1,062	1,171	62	40	328	24	0	940	3,627
Outside NYC	Washington	Total	9,740	16,721	624	230	2,454	117	3	8,101	37,990
Outside NYC	Wayne	Active	13,631	22,714	1,374	224	2,665	111	27	12,780	53,526
Outside NYC	Wayne	Inactive	819	883	47	26	185	12	1	833	2,806
Outside NYC	Wayne	Total	14,450	23,597	1,421	250	2,850	123	28	13,613	56,332
Outside NYC	Westchester	Active	252,842	134,427	8,603	1,305	21,634	619	94	120,362	539,886
Outside NYC	Westchester	Inactive	15,517	6,600	380	159	1,384	63	0	7,982	32,085
Outside NYC	Westchester	Total	268,359	141,027	8,983	1,464	23,018	682	94	128,344	571,971
Outside NYC	Wyoming	Active	5,960	11,080	473	89	1,113	14	0	4,711	23,440
Outside NYC	Wyoming	Inactive	382	470	30	15	80	2	0	392	1,371
Outside NYC	Wyoming	Total	6,342	11,550	503	104	1,193	16	0	5,103	24,811
Outside NYC	Yates	Active	3,425	6,635	226	65	626	36	6	2,320	13,339
Outside NYC	Yates	Inactive	251	288	7	6	42	3	0	192	789
Outside NYC	Yates	Total	3,676	6,923	233	71	668	39	6	2,512	14,128
Outside NYC Grand Tot		Active	2,463,043	2,215,058	121,386	26,097	300,512	13,411	2,199	1,448,272	6,589,978
Outside NYC Grand Tot		Inactive	197,130	120,422	6,488	3,166	26,035	1,941	209	124,539	479,930
Outside NYC Grand Tot		Total	2,660,173	2,335,480	127,874	29,263	326,547	15,352	2,408	1,572,811	7,069,908
Within NYC	Bronx	Active	466,237	40,903	2,920	2,367	12,122	296	77	81,487	606,409
Within NYC	Bronx	Inactive	42,738	3,995	247	318	1,257	47	8	9,350	57,960
Within NYC	Bronx	Total	508,975	44,898	3,167	2,685	13,379	343	85	90,837	664,369
Within NYC	Kings	Active	880,034	113,855	4,243	4,378	26,154	1,875	242	203,049	1,233,830
Within NYC	Kings	Inactive	74,972	8,885	361	477	2,726	360	21	19,933	107,735
Within NYC	Kings	Total	955,006	122,740	4,604	4,855	28,880	2,235	263	222,982	1,341,565

Within NYC	New York	Active	643,278	94,449	1,690	1,723	27,468	1,517	339	161,067	931,531
Within NYC	New York	Inactive	64,712	12,600	234	196	3,615	307	40	20,928	102,632
Within NYC	New York	Total	707,990	107,049	1,924	1,919	31,083	1,824	379	181,995	1,034,163
Within NYC	Queens	Active	663,395	128,011	5,736	2,782	24,512	1,014	146	192,361	1,017,957
Within NYC	Queens	Inactive	41,726	8,129	322	236	1,811	92	11	13,209	65,536
Within NYC	Queens	Total	705,121	136,140	6,058	3,018	26,323	1,106	157	205,570	1,083,493
Within NYC	Richmond	Active	116,405	74,952	4,162	943	8,062	218	56	49,627	254,425
Within NYC	Richmond	Inactive	6,576	3,421	204	93	478	17	3	2,964	13,756
Within NYC	Richmond	Total	122,981	78,373	4,366	1,036	8,540	235	59	52,591	268,181
Within NYC Total		Active	2,769,349	452,170	18,751	12,193	98,318	4,920	860	687,591	4,044,152
Within NYC Total		Inactive	230,724	37,030	1,368	1,320	9,887	823	83	66,384	347,619
Within NYC Total		Total	3,000,073	489,200	20,119	13,513	108,205	5,743	943	753,975	4,391,771
Statewide Total		Active	5,232,392	2,667,228	140,137	38,290	398,830	18,331	3,059	2,135,863	10,634,130
Statewide Total		Inactive	427,854	157,452	7,856	4,486	35,922	2,764	292	190,923	827,549
Statewide Total		Total	5,660,246	2,824,680	147,993	42,776	434,752	21,095	3,351	2,326,786	11,461,679