

**New York State Board of Elections
Annual Report
2008**



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Commissioner

Stanley L. Zalen
Co-Executive Director

To: The Honorable David Paterson, Governor
Members of the New York State Legislature

We are pleased to submit to you the New York State Board of Elections' 2008 Annual Report. This report provides a comprehensive review of board programs and accomplishments during the calendar year 2008.

The Board's mission consists of the oversight of each County Board of Elections and the Board of Elections in the City of New York, as well as statewide compliance with the Help America Vote Act and the National Voter Registration Act. The Board, among other things, administers several critical programs, including the statewide voter registration list (NYSVoter), all agency-based registration, and the voting system certification program. In addition to ensuring fair and broad ballot access for hundreds of candidates from throughout the State, the Board is committed to the active investigation of election law violations, and vigorous oversight of enforcement of campaign financial disclosure filing requirements.

Despite a significant setback beyond our control in 2008 – the decertification of the federal laboratory conducting our voting machine testing – we have taken great strides toward the completion of implementing a new voting system. When completed in 2009, this will be the first major overhaul of the voting process in New York in more than 100 years.

The New York State Board of Elections has worked diligently to embrace each of the new programs it has faced, and is recognized on the national level as a leader in enhancing the participation of eligible voters to the elective franchise. Its commitment to providing open, accessible and accurate elections is without equal.

Respectfully submitted,

Douglas A. Kellner
Co-Chair

James A. Walsh
Co-Chair

Evelyn J. Aquila
Commissioner

Gregory P. Peterson
Commissioner



Front Row: L to R, Commissioner James A. Walsh, Commissioner Gregory P. Peterson, Commissioner Evelyn J. Aquila, Commissioner Douglas A. Kellner.
Back Row: L to R, Co-Executive Director Todd D. Valentine, Co-Executive Director Stanley L. Zalen.

Mission Statement

The State Board of Elections was established in the Executive Department June 1, 1974 as a bipartisan agency vested with the responsibility for administration and enforcement of all laws relating to elections in New York State. The Board is also responsible for regulating disclosure and limitations of a Fair Campaign Code intended to govern campaign practices. In conducting these wide-ranging responsibilities, the Board offers assistance to local election boards and investigates complaints of possible statutory violations. In addition to the regulatory and enforcement responsibilities the board is charged with the preservation of citizen confidence in the democratic process and enhancement in voter participation in elections.

PERSONNEL DIRECTORY

Commissioners

Douglas A. Kellner
Co-Chair

James A. Walsh
Co-Chair

Evelyn J. Aquila
Commissioner

Gregory P. Peterson
Commissioner

Stanley L. Zalen
Co-Executive Director

Donna Mullahey
Secretary

Todd D. Valentine
Co-Executive Director

Maryellen Reda
Secretary

Office of the Special Counsel

Kimberly A. Galvin, *Special Counsel*
Paul Collins, *First Deputy Counsel*

Office of the Enforcement Counsel

Elizabeth C. Hogan, *Enforcement Counsel*
William J. McCann, Jr., *Special Deputy Counsel*

Campaign Financial Disclosure

Patricia M. Lloyd, *Associate Accountant*
Josephine T. Jackson, *Senior Accountant*

Public Information

John W. Conklin, *Director of Public Information Services*
Robert A. Brehm, *Deputy Dir of Public Information Services*

Agency-Based Voter Registration

Gregory Fiozzo, *Coordinator of NVRA Operations*
Patrick Campion, *Coordinator of Special Projects*

Election Operations and Services

Anna E. Svizzero, *Director of Election Operations*
Joseph Burns, *Deputy Director of Election Operations*

Information Technology

George E. Stanton, *Chief of Data Processing Services*
Daniel E. Valvo, *Manager of Data Processing Services*

Administrative Office

Patricia L. Tracey, *Administrative Officer*
Thomas Jarose, *Administrative Assistant*

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COUNSEL'S OFFICE

Legal Opinions

The Office of Special counsel is responsible for preparing responses to requests for formal opinions from the board. These formal opinions serve to further clarify certain sections of the Election Law. The Board issued no formal opinions for 2008.

You may obtain copies of individual opinions or a complete set of opinions by visiting the Board's website.

Legislative Activities

Counsel's office, in consultation with the executive staff, regularly monitors all legislative action which could impact the Board and the election process in New York. Such activities include attending legislative committee meetings, responding to inquiries regarding legislation, and responding to requests for comments on legislation. In addition, Counsel's office is responsible for drafting any legislative proposals of the Board and obtaining Pre-Clearance from the Department of Justice for any state wide legislation which affects voting procedures in the five (5) covered counties in the State under the Section 5 of the Voting Rights Act (42 U.S.C. 1973c)

The following is a brief summary of significant Legislation activity in 2008:

Chapter Number	Summary
187	Extends certain provisions relating to the election ballot for canvassing and write-in votes for an additional year.
362	Amends §5-210 to provide that the voter registration form promulgated by the State Board shall include a space for the applicant to enroll in the New York State Donate Life Registry.

Election Law Inquiries

The Office of Special Counsel handles general questions on election law, excluding enforcement matters. Local boards of elections, town and village clerks, elected officials, candidates, political committees, and involved citizens all rely on the expertise of the board's attorneys in interpreting the law. The Office of Special Counsel received and responded to approximately 1500 inquiries regarding administration and interpretation of the Election Law.

Litigation

The Office of Special Counsel represented the Board in 46 pending court actions in 2008.

The following is a brief summary of the significant litigation activity in 2008:

<i>LITIGATION SUMMARY</i>
<p><i>United States v. New York State</i></p> <p>The United States Department of Justice brought suit against the State of New York and the State Board of Elections to enforce provisions of the Help America Vote Act. The State Board and the Department of Justice established a consent decree with the Court setting forth a plan which would bring the State into compliance as soon as possible. Monitoring by the Department of Justice is ongoing.</p>
<p><i>CIBER, INC., v. State of New York</i></p> <p>CIBER brought suit against the state of New York, New York State Office of General Services, and the State Board of Elections for breach of agreement. CIBER was to provide assistance in the examination and testing of electronic or computerized voting systems related to the State of New York's democratic voting processes, and was to be paid for its services in accordance with the terms of the agreement. The New York State Board of Elections has authorized the New York State Attorney General's Office to explore settlement options on its behalf.</p>

Voter Registration Unit

Agency-Based Voter Registration

Since 1995, the New York State Board of Elections has been assisting and guiding participating state agencies in understanding and executing their voter registration responsibilities mandated by the National Voter Registration Act of 1993 (NVRA) and its corresponding state laws. The intent of the program is to offer individuals the opportunity to register to vote, when they apply for or renew a driver's license, or when they apply for services at any of the approximately 750 offices that participate in the program.

Including the Department of Motor Vehicles, 16 New York State agencies participate in the agency-based voter registration program. Designated as state agencies which provide public assistance are the Department of Social Services and the Department of Health. Designated as state agencies that provide programs primarily engaged in providing services to people with disabilities are the Department of Labor, Office for the Aging, Division of Veterans' Affairs, Office of Mental Health, Office of Vocational and Educational Services for Individuals for Disabilities, Commission on Quality of Care and Advocacy for Persons with Disabilities, Office of Mental Retardation and Developmental Disabilities, Commission for the Blind and Visually Handicapped, Office of Alcoholism and Substance Abuse Services, State University of New York Disability Offices, City University of New York Disability Offices, and certain offices which administer programs established or funded by such agencies. Additional state agencies designated as voter registration sites are the Department of State and the Division of Workers' Compensation.

Registration Statistics

During 2008, 421,514 voter registration applications or transactions were received by county boards of elections which resulted from the efforts of state agencies. The Department of Motor Vehicles yielded the highest volume of registration applications among the various agencies mandated by the NVRA, accounting for 69% (289,261) of the total number of voter registration applications or transactions in the state. The remaining agencies/programs participating in the program accounted for 31% (132,253). Armed services recruiting offices accounted for 0 applications. Voter registration applications and transactions incorrectly sent to the state board by mail and forwarded to the county boards of elections accounted for 2,008 of the above total.

Sources of Voter Registration

Motor Vehicles	289,261
Public Assistance Agencies	119,218
Disability Agencies	8,930
State Designated Agencies	2,097
By Mail	2,008
Armed Services	0
Total	421,514

Agency-Based Voter Registration Statistics

<u>Year</u>	<u>New Registrations</u>	<u>Address Changes</u>	<u>Enrollment Changes</u>	<u>Name Changes</u>
1995	316,627	64,005	23,108	4,644
1996	326,356	132,169	33,855	6,356
1997	273,190	171,275	32,773	8,164
1998	238,961	160,474	36,635	9,205
1999	220,771	156,619	40,089	9,400
2000	194,170	135,255	25,270	9,322
2001	191,403	129,267	26,550	10,496
2002	179,684	123,636	30,290	10,354
2003	187,100	125,627	31,403	10,949
2004	217,289	137,926	38,691	12,359
2005	147,792	106,395	19,569	9,314
2006	144,977	94,685	15,912	8,452
2007	166,341	89,779	18,113	9,665
2008	203,486	98,763	25,722	11,157

Training

The State Board of Elections is responsible for the development of training materials and presentation of training programs on the requirements and implementation of the agency-based voter registration program. In 2008, the bi-annual regional agency-based voter registration training offerings were presented to the participating NVRA sites in New York State. State Board staff continues to provide updated training and reference materials as well as on-going telephone guidance and support to agency program liaisons, site personnel in all offices offering agency-based voter registration, as well as to county boards of election. The following is a listing of the dates and locations of the agency-based training that was provided in 2008.

Agency-Based Voter Registration Regional Training

<u>DATE</u>	<u>LOCATION</u>	<u>DATE</u>	<u>LOCATION</u>
4/24-25/08	New York City	8/13/08	Rochester
8/4/08	Elmsford	8/14/08	Binghamton
8/5/08	Ronkonkoma	9/19/08	Albany
8/12/08	Buffalo	9/25-26/08	New York City

NVRA Informational Newsletter

An NVRA Newsletter continues to be published bi-annually by the State Board of Elections every January and July and is distributed to each participating voter registration site and agency program coordinator. The purpose of the newsletter is to provide program and training information to agencies participating in the agency-based voter registration program. The newsletter contains pertinent agency-based registration guidelines, procedural recommendations, voter registration deadlines, and upcoming training information. This bulletin has been a proven tool for periodically communicating useful information and guidance to NVRA sites.

Agency Oversight

The success of the agency-based registration program relies on cooperation among the participating state agencies, county boards of elections, and the New York State Board of Elections. Due to the numerous and unique differences in clientele and services provided by each of the agencies, the administrative policies at each agency's participating offices and programs are conducted at the discretion of each individual state agency, under the guidance, input, approval and support of the State Board of Elections. Also, staff responds to all inquiries, and acts to assist agency program coordinators, site personnel, and county board staff in resolving administrative and procedural issues in an effort to ensure effective and efficient operation of the agency-based registration program in New York State.

In addition, statistical reports containing data on voter registration activity for all agency-based sites are mailed to agency commissioners and program coordinators each month. Review of these reports enables program coordinators to monitor voter registration activity and program compliance, as well as identify inconsistencies at each participating office. This information also assists the State Board of Elections in evaluating the workload placed on county boards of elections offices by NVRA program requirements.

Distribution of NVRA Program Supplies

Supplies for the agency-based registration program are shipped weekly by NVRA staff as requested by participating sites. Constant tracking of supply order and shipping dates is made possible by a computerized supply order and inventory system specifically developed for monitoring distribution of NVRA program materials. The system also provides staff with current

inventory balances to ensure that supplies, including forms in multiple languages as required by the Voting Rights Act, are reordered as needed. The New York State Board of Elections processed 627 individual supply shipments to participating NVRA sites during 2008.

In addition, the State Board of Elections provides “read only” copies of the NYS voter registration form in both Braille and large print formats. Copies of both versions as well as a poster-sized version of the agency-based voter registration form are provided to agencies and programs participating in the NVRA program that serve people with disabilities upon request.

The State Board also distributed mail registration forms to institutions of the State University of New York for inclusion in admission and orientation packets of students enrolling in the January and September 2008 semesters.

Voter Registration Cancellations

When New York State residents relocate to another state or when out-of-state residents move into New York State and subsequently register to vote, a notice of registration cancellation is returned either to a county board of elections or the New York State Board of Elections so that voter registration rolls may be updated. In 2008, staff at the State Board of Elections processed 99,747 New York State and 47,329 out-of-state registration cancellations and forwarded them to the appropriate county board of elections or state election official.

DMV Address Change Requests

The New York State Board of Elections assists the Department of Motor Vehicles with the distribution of customer address change requests resulting from licensing or driver I.D. transactions by counting, sorting and forwarding them monthly to county boards of elections. Also received from the Department of Motor Vehicles and processed by state board staff, are the DMV internet change of address request forms which have been downloaded by customers, completed, and forwarded to DMV. The state board distributed 82,797 address change requests received from the Department of Motor Vehicles to county boards during 2008.

County HAVA Funds Program

The Help America Vote Act (HAVA) has provided funds to the State of New York for poll worker training, voter education, and poll site accessibility. Since June 2006, the State Board has been overseeing the grant application process, as well as the disbursement of federal and state funds, to further the HAVA and State program objectives. The Poll Site Access Improvement Program provides funds to county boards of elections to assist them in ensuring that all New York polling places are accessible and provide the same opportunity for all voters to participate in the election process. The Voter Education and Poll Worker Training Program provides funds to county boards of elections to implement programs to educate poll workers and the general public on the proper use of new voting systems.

Poll Site Access Program

The New York State Board of Elections has received funding from State appropriations and from the Department of Health and Human Services to establish, expand, and improve access to and participation by individuals with a full range of disabilities in the elections process. The polling place access improvement funds will assist county boards in undertaking minor temporary improvement or renovation projects, and the purchase of proper signage, materials, and low-tech devices to help assist persons with disabilities on election days and to assure voter privacy and independence. The funds may be used to make polling places, including parking, the path of travel, door hardware, entrances, exits, and voting areas of each polling facility, accessible to individuals with the full range of disabilities (e.g. impairments involving vision, hearing, mobility, dexterity, emotional, or intellectual) through the use of varied accessibility tools (e.g. ramps, handrails, and signage).

Poll Worker Training and Voter Education Program

The New York State Board of Elections has received \$10 million in HAVA funds to be dispersed and used by county boards for the specific and limited purpose of advancing Voter Education and Poll Worker Training. County Boards will implement programs to educate individuals on the proper use of new voting systems, including ballot marking devices. These efforts are intended to help bolster public confidence in the election process by providing information to election administrators on methods for keeping the process secure while ensuring that every eligible voter can cast a vote and have that vote counted. Training and education must extend to all voters, including those with a full range of disabilities, as well as those with language barriers.

The State Board of Elections in conjunction with the New York State Commission on Quality of Care and Advocacy for Persons with Disabilities (CQCAPD) provided counties with additional Train the Trainer programs. The training teaches individuals designated by the County Board of Elections and provides them with up to date information and awareness on assisting individuals with disabilities. This includes making polling places more accessible, the most current information on the voting process, as well as information regarding specific voting equipment purchased by county boards of elections.

The State Board created the On-line Poll Worker Training Project as a supplemental means to training poll workers. Our solution provider, SOE Software, accumulated existing training materials. The project is an inclusive effort to deliver training to poll workers through both a written manual and an online training platform. SOE Software has collaborated with the State Board and a bi-partisan Advisory Group consisting of seven counties and the New York City Board of Elections. This resulted in the development of a county-level administrator user manual of the online poll-worker training platform, as well as an instructor manual and a student poll-worker training manual were all developed and distributed to each county board of elections. Full use of the on-line training platform was completed in October 2008.



The State Board of Elections, in conjunction with the New York Broadcasters' Association and the SUNY New York Network, produced radio public service announcements (PSAs) which aired from July 2008 through November 2008. The PSAs informed voters of the availability of ballot marking devices (BMDs) in the polling sites, directing them to the State Board's www.Vote-NY.com voter information webpage for further instructions on how to use the particular voting equipment available within their county. Also, the voter education website serves as a recruiting tool to interest the public in becoming poll workers.

Election Operations and Services

The Election Operations and Services Unit is responsible for facilitating ballot access, and the oversight and support of New York State's 62 County Boards of Elections. Additional components of the Unit's work include the certification and support of voting systems, as well as the provision of public voter assistance. 2008 saw a concurrent emphasis in each of these vital areas relating to fulfilling election responsibilities on behalf of the State of New York.

Candidate Ballot Access Process

In 2008 ballot access activities dramatically heightened due to Unit responsibilities surrounding races for national presidential nominating convention delegate positions, as conducted at the primary election held on February 5, 2008. The primary for all public offices and certain party positions was held on September 9th, and the general election at which the 45th President of the United States was elected, was held on November 4th.

Even-numbered year races include those for Member of Assembly, State Senator, and Member of the United States House of Representatives. In addition to filings related to those offices, the State Board is also the repository for petitions filed for delegates and alternate delegates to Judicial District Conventions. These persons nominate candidates for the office of Justice of the Supreme Court from each of thirteen judicial districts in the State. Further, petitions for those seeking to become State Party Committee Members, representing certain Assembly and Congressional districts, are also filed with the State Board.

Ballot access activity relating to the public, party, and judicial offices described above involve data entry, as well as the creation and dissemination of corresponding acknowledgments. For the February Presidential Primary, this activity consisted of the filing of the following petitions and nominations:

- 6 statewide petitions for the office of President
- 35 petitions for the office of delegate and alternate delegate to a National Presidential Convention, representing approximately 179 Delegates and 29 Alternate Delegates
- 182 delegate and alternate delegate candidates named on petitions filed at the County Board level, which were certified to the State Board and processed by the Election Operations Unit
- 9 statewide nominations for the office of President
- 9 nominations for the office of delegate and alternate delegate to a National Presidential Convention representing approximately 431 Delegates and 400 Alternate Delegates

The following petitions were filed with respect to the September primary and the subsequent general election:

- Congressional offices: 101 petitions

- State Senate offices: 127 petitions
- State Assembly offices: 182 petitions
- State Committee Member positions: 28 petitions
- Judicial Delegate and Alternate Delegate positions: 78 petitions

In addition, the following filings relating to petitions were received, posted, and acknowledged:

- 11 Certificates of Declination
- 5 Certificates of Substitution
- 229 Acceptances
- 200 Certificates of Authorization

Independent petitions may be filed for public office, and they also require acceptance by the candidate being nominated. The 2008 Independent filing season included the submission, posting, and acknowledgement of the following:

- 6 Petitions for the office of President, which included respective lists of Presidential Electors
- 7 Petitions for a Congressional office
- 2 Petitions for State Senate offices
- 1 Petition for State Assembly office

Some State Senate, Assembly and Congressional districts, are wholly contained by county boundaries. In such cases, candidate filings are made at respective County Boards of Elections. Each County Board must notify the State Board of this activity. This is the method used to prepare and certify a complete list of candidates for all offices to be elected at the November election. Following are data relating to this activity for 2008:

- 2,197 names contained in petitions filed at the County Board level for public offices and party positions which were certified to the State Board, and subsequently processed;
- 5 names contained in independent petitions filed at the County Board level for public offices and party positions which were certified to the State Board, and subsequently processed.

Ballot access filings are not validated by the State Board of Elections. However, the validity of a filing may be challenged by persons choosing to do so. Challenges require the filing of an initial notice, and a subsequent detailed list of specific objections. Staff then reviews each specific and itemized objection, notes their findings on a reporting form, and submits same to a hearing officer for review. Determinations are then made by the Commissioners of the State Board, and notices of all determinations are provided to all participants. Objections to designating petitions and corresponding specifications received, posted, and researched, were as follows:

- 124 objections filed

- 40 sets of specifications filed
- 26 specifications required 'line-by-line' research
- 198 requests were made to view original filing documents
- 220 copies of original filings were purchased

Objections and specifications can also relate to independent petition filings. The process of determining the validity of these specific objections is identical to that used in the designating petition process noted above. 2008 independent initiatives resulted in the receipt of the following:

- 11 objections filed
- 10 set of specifications filed
- 5 specifications required 'line-by-line' research
- 48 requests were made to view original filing documents
- 26 copies of original filings were purchased

Vacancies were certified in eleven of the State's thirteen Judicial Districts. Delegates and Alternate Delegates were notified by their respective parties, to attend conventions which were convened in the latter part of September. From eleven Judicial Districts, a total of 42 nominations were received, posted, and acknowledged, representing the nomination of 105 candidates for the office of Justice of the Supreme Court.

One special election was called by Governor Eliot Spitzer, to address a vacancy in State Senate district 48 due to the resignation of Hon. James W. Wright. As directed by the Governor's proclamation, the special election was held on February 26, 2008. All candidate filings related to this seat were made at the State Board. A total of 5 nominations were received.

Post-election activities include the collection, recording, and validating of all election results corresponding to the offices noted above. Certificates are prepared for signature by the State Commissioners in their capacity as the State Board of Canvassers. In addition, the Unit fielded numerous post-election questions on both Primary and General Election issues.

Presidential elections require the production of unique documents which are used in the conduct of the Electoral College, which was held on December 15. The Secretary of State convenes this important session, however, the preparation of the necessary documents are the obligation of the State Board. Subsequent to the adjournment of the Electoral College, executed documents were prepared and forwarded by the State Board, as required, to the Archivist of the United States, located in Washington, DC.

Public Election Services

The Unit responded to a high volume of informational inquiries from the public, most pointedly relating to the Presidential Primary and General Election. Additionally, a sizeable amount of

associated information was distributed. This year the Unit responded to over 10,000 such phone calls and distributed 310 copies of the *New York State Election Law*. Over 1,054,770 Voter Registration forms were sent to County Boards of Elections, advocacy groups, and other organizations, and a further 750 pieces of general information were disseminated, including election results (current and previous), political calendars, candidate lists, SBOE's *Running for Office* booklet, and other information and data relating to elections and the election process.

The Election Operations Unit also manages the State Board's toll-free voter registration application request number (*1-800-FOR-VOTE*), and fulfills requests submitted via the agency website. In 2008, a total of 30,064 calls were transcribed, of which 230 callers accessed the Spanish-speaking component of the toll free system, and 18,723 were website requests. This activity resulted in the shipping of:

- 78,251 English forms
- 18,000 Spanish forms

The Unit also supplies voter registration applications to County Boards. In 2008, these forms were prepared and shipped, as follows:

- Over one million English registration applications;
- 84,000 Spanish registration applications.

Additionally, SBOE supplies federal voter registration forms, which are used by persons in the military and by United States citizens living abroad. This year, 18,000 such forms were distributed.

County Boards of Elections Operational Support

In addition to election assistance, the Unit provides daily operational support to County Boards of Elections. This activity was impacted by the Unit's negotiation of statewide contracts for Ballot Marking Devices (BMD's), peripherals, training, and technical support with voting system vendors, and close collaboration with the Office of General Services (OGS) to prepare an informational packet to support the County Board ordering process for BMD's, and active involvement throughout the entire procurement process.

County Boards benefit by the ongoing support from the Unit, which takes many forms, including:

- Presentations and panel sessions at the *Election Commissioners' Association* regional meetings and conferences;
- *New Commissioners Workshop* presented at the Annual SBOE Conference;
- Topical memoranda transmitting guidance, policy and procedure, news, and information;
- On-site training and assistance in voting equipment use, and related tasks.

2008, in particular, brought a high volume of telephone calls and email to the Election Operations Unit, with the converging priorities of both a Presidential election year and the implementation of ballot marking devices in all poll sites statewide. In the area of phone support alone, over 18,000 calls were handled by the Unit. The wide-ranging topics ran the full gamut from informational requests to guidance on subjects spanning the Election Law, ballot layout, voter registration, the *Help America Vote Act* (HAVA), voter list maintenance, County Board policy and procedure, and a broad variety of operational matters. As a vital resource to County Board Commissioners and staff, County Legislators, Supervisors, County Managers, County Attorneys, data processing personnel, and others who are responsible for understanding, evaluating, supporting, and in some cases, approving County Board administrative agendas, spending plans and funding requests for initiatives, upgrades to various computer systems, and other programs that the County Boards may choose to implement, the Unit typically addresses and/or fulfills a broad spectrum of requests from the field.

Significant support of County Board election administration efforts was undertaken by the Election Operations Unit during 2008. Unit staff worked extensively with the NYSTEC consultant group on the continued development of the voting systems testing matrix, multiple procedural documents (including the master test plan and testing requirements matrix); and overall project management, and weekly status meetings. Additional critical tasks were undertaken in this vein, as well, including the following:

- Creation of a County Board receipt checklist for consistency in receiving processes for BMD deliveries;
- Preparation of a County Board guide for use of both BMD systems to be used in New York, as well as updates to that guide;
- Developed and delivered training in test deck methodology and test deck development to County Boards;
- Developed and delivered training on general voting system usage to County Boards;
- Developed and delivered training in hash check methodology to County Boards;
- Execution and ongoing refinement of numerous policies and procedures for both Unit and County Board use, including *County Board Voting System Facility Storage and Transport Guidelines*, formal Requests for Interpretation process used by both vendors and the ITA, acceptance testing, building of test decks, and numerous other practices geared toward very specific steps for achieving consistency in gaining statewide HAVA compliance.

Voting System Certification Activities and Support

In 2008, the Election Operations Unit continued its considerable efforts toward HAVA compliance, most notably in the form of testing and certifying new voting systems for New York State, as well as the deployment of voter-accessible BMD's in each of New York's poll sites. Following are key projects and tasks undertaken in this regard:

- Analysis and assessment of ITA testing reports for interim authorization of BMD's;
- Development and implementation of acceptance testing procedures for BMD's;
- Planning and oversight of ordering and implementation of BMD's in all poll sites statewide;
- Creating and managing all aspects of a central site for acceptance testing of BMD's, including:
 - Identification of test site; development of a standardized acceptance testing methodology; development of a test deck strategy; design and implementation of a staffing plan using temporary personnel services contract; ordering of testing supplies; receiving and acceptance testing of 7,065 voting systems (2,559 from ES&S, and 4,506 from Sequoia/Dominion); technical trouble-shooting during the testing phase; establishment of methodology to track purchase orders associated with deliveries;
 - Tested vendor-provided BMD's by using a pre-defined test deck, including marking the ballot, verifying its accuracy, verifying the use of ADA devices, and scanning the marked ballot. The test deck consisted of several sub-decks, including an election verification ballot, an under-vote ballot, over-vote ballot, cross-endorsed vote ballot, and random vote ballot;
 - Programming of I-buttons, ballot image cards, and election definition cards;
- Development of a tracking process to allow detailed and specific inventory control of tested systems for record input and future reporting (concurrently satisfying Federal audit requirements for asset tracking of federal-funds purchases); created a process, and configured and customized it on multiple workstations; installed and implemented an inventory management software program at the test site to record and monitor movement of all voting system assets;
- Designed and implemented multiple functional processes with continuous modifications to improve overall efficiencies for asset/inventory workflows (receiving, testing, data input/scanning, and shipment);
- Programmed ballot definitions to be used during acceptance testing, including linkage of audio files, compiling, and producing PDF files to submit to print vendors;
- Initiated contracted work with Independent Testing Authority (ITA) SysTest Labs, providing ongoing oversight and contract management , including weekly status meetings, review and approval of technical deliverables, invoicing, and related administrative processes;
- Continued training and learning of voting systems, related peripherals, and Election Management Software;
- Ongoing support of SBOE executive staff and County Boards in the form of research, benchmarking with other states, participation in analyses, and discussion on numerous associated matters;
- Regular communications with the vendor community through the hosting of conference call meetings, dedicated e-mail box use, and in-person meetings;

- Continued collaboration with host agency OGS on a variety of administrative activities, such as the ordering of new systems and supplies; vendor contract interpretation; and, HAVA fund apportionment, including the tracking of expenditures;
- Arranging and/or providing technical support of voting systems demonstrations for the Citizen's Election Management Advisory Committee (CEMAC), New York State Commission on Quality of Care and Advocacy for Persons with Disabilities (CQCAPD), the U.S. Department of Justice, the media, and other interested groups;
- Oversight and contract management of the NYSTEC consultant group on the continued development of the voting systems testing matrix, multiple procedural documents (including the master test plan and requirements matrix); and overall project management, as well as weekly status meetings; and,
- Provision of documents relevant for publication to the SBOE website.

Additional Unit Initiatives in 2008

In true team spirit, the Unit assists other units in the agency with their respective urgent needs, when units found themselves in need of staff support and without the fiscal means to secure temporary staffing to address any sudden increase in workloads.

Information Technology Unit

Changes in New York State statutes and Federal laws, over the past ten years, have mandated that the State Board of Elections move from a paper based agency to a modern electronic computer based one. All of the program units in the Agency rely heavily on technology to perform the Board's collective mission. The Information Technology Unit (ITU) is tasked with providing the most efficient and cost effective technology solutions to assist program unit staff to perform their responsibilities.

Among the ITU responsibilities are the procurement, operations and maintenance of all computing systems for the State Board of Elections. ITU staff performs or manages development, implementation, maintenance and support of all election information systems for the Agency. Information Technology Unit staff is responsible for data storage, data processing, security of networks and data as well as accessibility to election-related data. ITU keeps the Board current with emerging technology and makes recommendations to the Co-Executive Directors regarding technology procurement.

Additionally, the Agency's Chief Information Officer represents the Board of Elections on the New York State CIO Council and serves on CIO Council's technology committees. The Agency's Information Security Officer oversees all aspects of network and Internet security and represents the Board of Elections on the New York State ISO Council.

Computing Environment and Infrastructure

The New York State Board of Elections operates two network environments. The Internal Agency network is a Novell NetWare networking environment using Microsoft Windows XP client operating systems on the desktop. The NYSVoter Wide Area Network (WAN) is a Microsoft Network. The Information Technology Unit is responsible for the design, installation, configuration and maintenance of the networks. This responsibility includes servers, switches, wiring plant, workstations and all of the ancillary devices required for operating a stable, secure network. Information Technology staff is also responsible for the design and maintenance of the Agency database, Intranet and Internet infrastructures and applications. ITU staff assures that backup, disaster recovery and network security are performed with attention to best industry standards and practices.

Information Technology management is responsible for developing an IT budget and submitting the Annual Technology Plan (ATP) to the Division of Budget and Office for Technology for review. IT Management is also responsible for obtaining purchase approval for all technology purchases by submitting justified "Intent to Purchase" documentation and submitting requisitions for purchase to the finance/procurement officer.

ITU staff develops, maintains and supports the major applications and systems, described below, which are used at the State Board of Elections.

Financial Disclosure Administration System (FIDAS)

The Financial Disclosure System is an Oracle network-based system used by auditing and enforcement staff for the management of the financial disclosure reports for committees and candidates for statewide office. The Information Technology Unit develops and maintains the Oracle databases and applications associated with the administration of campaign finances. The Agency's Electronic Filing Software, which is used by candidates and political committees for filing their reports, was developed by and is maintained by the Agency's IT staff.

ITU is responsible for receiving electronic filings and loading them into the Campaign Financial Disclosure Database. In 2008 these ITU staff loaded 22,350 reports into the database in a timely fashion while simultaneously handling over 2,000 calls to the help desk.

National Change of Address Processing (NCOA)

NCOA processing was coordinated by the State Board as required by the National Voter Registration Act. A file with all the names and addresses is produced and forwarded electronically to an NCOA vendor for matching against the U.S. Post Office's Change-of-Address database. The file resulting from the processing is retrieved electronically by the State Board where it is parsed and redistributed to the individual counties of origin. The NCOA processing for 2008 included more than 11,400,000 voter records from sixty-two counties. Centralizing this NCOA processing through the State Board, as opposed to the processing by individual counties, provides the counties with a substantial savings in revenue due to the economy of scale that the State Board is able to leverage.

Election Operation Support

The Information Technology Unit provides support to the Election Operations Unit in the form of the Candidate Petition Administration System (CAPAS), which is used to administer the candidate petition process, as well as, create correspondence, ballots and reports pertaining to elections. The year 2008 was a major election year, including a presidential primary election in February, so support requirements for the CAPAS system extended throughout the entire year of 2008.

Agency-Based Voter Registration/Public Information

The Information Technology Unit supports the database applications used by the Voter Registration Unit to manage the registration sites and transactions. There is also a supplies inventory system created and maintained by the Agency's IT staff.

The Public Information Officer has oversight of the content on the Agency's web site. The Agency has adopted a policy of making as much information as possible available electronically thus cutting the cost of printing and reproduction. The Information Technology

staff works closely with the Public Information Office to oversee the technology, design and application development associated with the Agency's Internet web site.

NYSVoter Statewide Voter Registration Database

As part of the Federal Help America Vote Act (HAVA), legislation that was passed in 2002, as well as New York State election law changes, the State Board of Elections was required to create a statewide voter registration database. The Statewide Voter Registration Database, NYSVoter, was developed and implemented in 2007.

In addition to integrating with 62 individual County Voter Registration Systems, the NYSVoter system queries the Department of Motor Vehicles and Social Security Administration databases for the purpose of verifying voter registration information as required by HAVA.

With a Presidential Primary as well as a Fall Statewide Primary and General Election, the 2008 election year was considered the first full scale test of the NYSVoter system under stress. The system performed as designed in all aspects of voter registration, verification and reporting. A record number of new voters were registered during 2008 due to the historic interest in the Presidential Election. An enhancement to serve the public by allowing them to look up their voter registration and poll site locations was also added to NYSVoter during 2008. This service was heavily used during the elections cycle with peak usage of 197,000 lookups on November 3, 2008. In general, it can be said that the system lived up to the "Best of New York" award that it received and served the election community and the public well.

Enforcement and Investigations

The Board's Enforcement Counsel supervises the Enforcement, Investigation and Campaign Finance Units.

The Enforcement Unit consists of 6 staff members: Enforcement Counsel, Deputy Enforcement Counsel, Confidential Secretary, as well as a Confidential Aide and two Enforcement Specialists who were added in 2008.

The Unit enforces violations of the Election Law. Whenever a complaint alleging a violation is filed, it is reviewed to ascertain that the conduct complained of would, if true, be a violation of this Law, examines the nature and scope of the alleged conduct, and recommends an appropriate disposition of the complaint to the Board's Commissioners. In addition to general complaints of Election Law violations, Enforcement also has responsibility for complaints of violations of Title III of the Help America Vote Act of 2002, in accordance with the specific processes statutorily imposed.

In 2008, the Board received 108 complaints alleging violations of the Election Law. The Enforcement Unit processed 70 complaints. Three of these were referred by the Board for investigation, One Final Determination was made. Two Formal Complaints of violations of HAVA were received and processed.

Additionally, Enforcement initiates civil proceedings relative to campaign finance reporting mandates. If a required campaign finance disclosure report is not filed, the committee and/or candidate are notified by Certified and First Class mail of the failure to file. If the filing is not received by the Board within five days of receipt of that letter, the Board initiates a civil action requesting the Court to order the filing be made, as well as to assess a monetary penalty and court costs. In 2008, the Enforcement Unit initiated 7 lawsuits and obtained 869 judgments against candidates and committees for failure to file campaign finance disclosure reports. By year's end, 215 judgments were satisfied and \$68,623 in fines and costs was collected.

Enforcement's Investigation Unit consists of 1 Investigator, who conducts any investigation of alleged violations of the Election Law ordered by the Board, including in the areas of campaign finance financial disclosure, petitions, illegal registration and voting, and the conduct of elections.

Campaign Finance

The Campaign Finance Unit (CFU) is headed by the Enforcement Counsel who, with the Deputy Enforcement Counsel, supervises a campaign finance staff of 12 who are assigned to the three subunits within Campaign Finance: Intake and Processing, Education and Training, and Audit.

CFU/Intake and Processing consists of 6 staff: an Associate Accountant, a Senior Accountant, a Clerk II, a Calculations Clerk II, and 2 Campaign Finance Analysts (down from 6 as 4 Analyst positions were lost in 2008.) This staff is primarily responsible for receiving and processing campaign financial disclosure reports. In 2008, there were a total of nine filing dates as follows: January and July Periodic filings, one special election filing, three primary election filings, and three general election filings. In 2008, there were 9,141 active filers. A total of 23,622 New York State financial disclosure statements were received by the Board in 2008, consisting of 10,223 from state filers and 13,399 from county filers. There were 22,146 electronic submissions, 509 by diskette, and 967 paper filings. All filings are available for public viewing on the Board's website. If a mandated filing is not made, the candidate and/or committee are notified by Certified and First Class mail of the failure to file. In 2008, the CFU sent in excess of 4,000 such letters. Subsequently, 7 lawsuits were initiated by the Enforcement Unit and a resulting 869 Judgments for failure to file were entered.

With the change in law effective January 1, 2006, the number of active filers with the Board has increased significantly, as is indicated below:

	2005	2006	2007	2008
State Filers	2,295	2,564	2,159	2,152
County Filers	<u>976</u>	<u>4,094</u>	<u>6,701</u>	<u>6,989</u>
TOTAL FILERS	3,271	6,658	8,860	9,141

Filers include both committees, and candidates without a committee who are making their own filings. In 2008, new committees registered with the Board totaled 2,006. With each new registration, the CFU sent a confirmation to the treasurer, providing the committee identification number, the treasurer's personal identification number, and other information relating to filing requirements and obligations. There were 2,473 committee/candidate terminations processed in 2008.

Other duties of this subunit include:

- Creation and publication of the campaign financial disclosure filing calendar.
- Calculation of the contribution limits as set forth in Election Law Article 14.

- Providing the public, as well as all filers with the State Board or County Boards of Elections, with information regarding campaign finance. In 2008, the staff responded to 13,106 telephone inquiries, and filled 469 requests for campaign finance forms and materials. Staff also assisted people who visited our public view area.

CFU/ Education and Training was created in 2008, and consists of 3 staff. Primarily, their area of responsibility is the preparation and dissemination of information and materials relative to the financial disclosure mandates of the Election Law. Included in their duties are:

- Revising annually the campaign finance filer Handbook.
- Revising Forms promulgated by the Board relative to Article 14 of the Election Law.
- Creating annual Filer Updates for distribution to all filers.
- Conducting Training Seminars throughout the State to provide information as to the requirements of financial filing and applicable Election Law provisions.

CFU/Audit is responsible for regularly reviewing filings for compliance with statutory mandates. In 2008 the Board added 1 Confidential Auditor to staff this subunit, bringing the number of staff to 3.

Public Information

Media Relations

The public information officer serves as the board's spokesperson and is responsible for handling all press inquiries. In 2008, the Public Information Office received over 10,000 requests from reporters, interested parties and the general public seeking information on election results, voter registration, campaign finance, enforcement matters, election law, and implementation of the Help America Vote Act, new voting machines and board policies. The public information officer also produced press releases and advisories throughout the year which provided information on these topics to the press corps and the general public. This information was also made available via the Internet through the board's web site along with a wide range of election-related data of interest to citizens all over the world.

Freedom of Information Law

The public information officer also serves as the board's records access officer. He is responsible for processing all FOIL requests received by the agency. In 2008, 581 requests were received by the records access officer. The number is a major increase from previous years due to the ability of the public to make FOIL requests by e-mail and most requests were for data and records from the New York State Board of Elections statewide database of registered voters. Of the requests received, 577 requests were granted, 4 were denied in accordance with the provisions of § 87 of the Public Officers Law, and in 63 instances no records were found.

Registration Hotlines

The board's automated hotline (1-800-FOR-VOTE) and the board's webpage on-line registration system (www.elections.state.ny.us) provide a dependable, efficient and convenient way in which citizens may request voter registration application forms. The hotline remains a positive component of the board's outreach program and the web page continues to capture a larger share of the program as more of the population comes online.

Annual Conference

The board held its Annual Conference for county election commissioners this spring in Albany, New York. The annual conference provides a forum for election officials throughout the state to learn and exchange ideas on election laws and administration. More than 300 people attended the three-day event and took advantage of several meetings to discuss implementation in New York of new voting machines and the Help America Vote Act of 2002. The seminar attracted local board commissioners and staff from every county board in New York.

AGENCY ADMINISTRATION

The Board's Administrative Office consists of four staff members. The duties of this unit include the preparation of all budget and fiscal records and reports, all personnel administration, purchasing, mail and warehouse operations and general Agency Administrative tasks relating to day to day Operations. The agency has a Host Agency Relationship with the Office of General Services for activities related to Contracts, Purchasing and Payment of Vouchers.

Fiscal Operations

The Board began the new fiscal year with an appropriation of more than \$9,326,000 in General Funds, \$11,000,000 in Federal Funds and \$2,000,000 in Special Revenue Funds.

In 2008 the agency was appropriated an additional \$1,000,000 for services and expenses related to the alteration of poll sites to provide accessibility for disabled voters, and an additional \$10,000,000 Aid to Localities from the interest earned from the Federal Funds to implement the Help America Vote Act. There was also an additional appropriation of \$2,000,000 in Special Revenue Funds for the Voting Machine Examination Account.

A new program for Investigations of Campaign Finance was passed in Chapter 57 of the Laws of 2007, with a funding level of \$1,500,000 and increasing the agency's staff by 21 positions.

The Board was granted the following re-appropriations for the Fiscal Year:

- \$4,990,000 in General Funds/Aid to Localities for expenses related to the alteration of poll sites to provide accessibility for disabled voters
- \$3,500,000 in Federal Funds for the disbursement of the Health and Human Services Funds for Poll Site Accessibility improvements
- \$20,000,000 in Federal Funds/State Operations for expenses related to the Help America Vote Act
- \$5,000,000 in Federal Funds/State Operations for the State Certification Fund
- \$15,000,000 in Federal Funds/Aid to Localities for expenses related to the implementation of the Help America Vote Act
- \$12,000,000 in Federal Funds/Aid to Localities for expenses related to the implementation of the Help America Vote Act
- \$10,000,000 in Federal Funds/Aid to Localities for expenses incurred for poll worker training and voter education efforts
- \$190,000,000 in Federal Funds for services and expenses related to the purchase of new voting machines and voting systems
- \$8,000,000 in Special Revenue/State Operations for the Help America Vote Act Matching Funds Account

- \$4,000,000 in Special Revenue/State Operations for the Voting Machine Examination Account

Personnel Administration

The agency was authorized a staffing level of 83 full time positions for the 2008/09 Fiscal Year.

As a result of the 2007-2010 negotiated agreements with NYS Bargaining Units, the staff was paid a statewide salary increase of 3% in annual salaries effective April 3, 2008.

REVENUE FISCAL YEAR 2008

JUDGMENTS	\$ 89,836.60
CAMPAIGN FINANCE PHOTOCOPIES	\$ 823.65
ELECTION OPERATIONS PHOTOCOPIES	\$ 6,105.50
ESCROW AND OTHERS	<u>\$ 1,250.00</u>
TOTAL	\$ 98,015.75

Appendix

NYSVoter Enrollment by County, Party Affiliation and Status Voters Registered as of November 1, 2008

REGION	COUNTY	STATUS	DEM	REP	IND	CON	WOR	GRE	LBT	RTH	SWP	BLANK	TOTAL
Outside NYC	Albany	Active	90,628	41,545	8,024	2,733	519	572	39	0	2	39,957	184,019
Outside NYC	Albany	Inactive	11,665	4,394	1,407	312	147	202	3	0	1	6,076	24,207
Outside NYC	Albany	Total	102,293	45,939	9,431	3,045	666	774	42	0	3	46,033	208,226
Outside NYC	Allegany	Active	6,092	12,706	809	325	100	60	10	0	0	3,428	23,530
Outside NYC	Allegany	Inactive	1,352	1,989	299	85	44	25	3	0	0	1,717	5,514
Outside NYC	Allegany	Total	7,444	14,695	1,108	410	144	85	13	0	0	5,145	29,044
Outside NYC	Broome	Active	43,402	45,508	4,849	1,401	497	427	47	0	1	21,056	117,188
Outside NYC	Broome	Inactive	4,905	4,027	708	126	125	128	4	0	0	3,289	13,312
Outside NYC	Broome	Total	48,307	49,535	5,557	1,527	622	555	51	0	1	24,345	130,500
Outside NYC	Cattaraugus	Active	16,318	18,676	1,740	953	223	106	6	0	0	8,919	46,941
Outside NYC	Cattaraugus	Inactive	1,718	1,831	310	95	39	24	2	0	0	1,568	5,587
Outside NYC	Cattaraugus	Total	18,036	20,507	2,050	1,048	262	130	8	0	0	10,487	52,528
Outside NYC	Cayuga	Active	15,826	17,929	2,077	1,203	164	134	9	0	0	8,980	46,322
Outside NYC	Cayuga	Inactive	1,890	2,161	398	162	45	41	1	0	0	1,823	6,521
Outside NYC	Cayuga	Total	17,716	20,090	2,475	1,365	209	175	10	0	0	10,803	52,843
Outside NYC	Chautauqua	Active	29,041	27,602	4,133	1,865	315	167	23	0	0	18,090	81,236
Outside NYC	Chautauqua	Inactive	3,113	2,872	803	167	91	50	4	0	0	3,162	10,262
Outside NYC	Chautauqua	Total	32,154	30,474	4,936	2,032	406	217	27	0	0	21,252	91,498
Outside NYC	Chemung	Active	17,061	22,425	2,522	614	208	113	10	0	0	9,626	52,579
Outside NYC	Chemung	Inactive	1,305	1,478	244	31	25	24	1	0	0	1,135	4,243
Outside NYC	Chemung	Total	18,366	23,903	2,766	645	233	137	11	0	0	10,761	56,822
Outside NYC	Chenango	Active	7,724	13,114	1,334	441	150	139	8	0	0	5,802	28,712
Outside NYC	Chenango	Inactive	984	1,376	211	53	23	31	1	0	0	1,082	3,761
Outside NYC	Chenango	Total	8,708	14,490	1,545	494	173	170	9	0	0	6,884	32,473
Outside NYC	Clinton	Active	17,109	15,887	2,532	417	161	136	6	0	0	10,354	46,602
Outside NYC	Clinton	Inactive	1,749	1,525	341	43	21	58	3	0	0	1,657	5,397
Outside NYC	Clinton	Total	18,858	17,412	2,873	460	182	194	9	0	0	12,011	51,999
Outside NYC	Columbia	Active	12,525	12,830	2,414	1,192	166	212	19	0	1	11,115	40,474
Outside NYC	Columbia	Inactive	1,807	1,454	403	208	22	51	2	0	0	1,761	5,708
Outside NYC	Columbia	Total	14,332	14,284	2,817	1,400	188	263	21	0	1	12,876	46,182
Outside NYC	Cortland	Active	9,177	10,942	1,351	415	117	92	1	0	0	6,200	28,295
Outside NYC	Cortland	Inactive	920	777	202	27	23	23	0	0	0	1,138	3,110
Outside NYC	Cortland	Total	10,097	11,719	1,553	442	140	115	1	0	0	7,338	31,405
Outside NYC	Delaware	Active	7,773	12,976	1,187	444	78	120	5	0	0	5,026	27,609
Outside NYC	Delaware	Inactive	969	1,221	220	55	19	27	1	0	0	948	3,460
Outside NYC	Delaware	Total	8,742	14,197	1,407	499	97	147	6	0	0	5,974	31,069

Outside NYC	Dutchess	Active	54,497	53,434	7,730	3,220	543	478	46	0	1	46,137	166,086
Outside NYC	Dutchess	Inactive	6,721	5,669	1,029	297	95	118	5	0	0	5,928	19,862
Outside NYC	Dutchess	Total	61,218	59,103	8,759	3,517	638	596	51	0	1	52,065	185,948
Outside NYC	Erie	Active	294,051	160,051	21,989	11,840	2,559	1,573	87	0	4	98,853	591,007
Outside NYC	Erie	Inactive	31,546	15,935	2,087	1,198	247	285	0	0	0	14,604	65,902
Outside NYC	Erie	Total	325,597	175,986	24,076	13,038	2,806	1,858	87	0	4	113,457	656,909
Outside NYC	Essex	Active	6,439	12,670	1,503	179	47	77	6	0	0	4,381	25,302
Outside NYC	Essex	Inactive	533	850	172	18	11	19	0	0	0	572	2,175
Outside NYC	Essex	Total	6,972	13,520	1,675	197	58	96	6	0	0	4,953	27,477
Outside NYC	Franklin	Active	9,821	9,921	1,320	314	90	58	3	0	0	4,706	26,233
Outside NYC	Franklin	Inactive	743	710	168	15	15	29	4	0	0	722	2,406
Outside NYC	Franklin	Total	10,564	10,631	1,488	329	105	87	7	0	0	5,428	28,639
Outside NYC	Fulton	Active	7,824	16,914	1,260	401	118	59	3	0	0	4,940	31,519
Outside NYC	Fulton	Inactive	391	719	95	15	10	11	1	0	0	387	1,629
Outside NYC	Fulton	Total	8,215	17,633	1,355	416	128	70	4	0	0	5,327	33,148
Outside NYC	Genesee	Active	9,443	15,816	1,419	775	149	72	11	0	0	7,164	34,849
Outside NYC	Genesee	Inactive	816	1,075	192	63	23	14	3	0	0	907	3,093
Outside NYC	Genesee	Total	10,259	16,891	1,611	838	172	86	14	0	0	8,071	37,942
Outside NYC	Greene	Active	7,009	12,538	1,392	774	98	82	2	0	0	7,409	29,304
Outside NYC	Greene	Inactive	813	1,168	187	83	19	21	1	0	0	997	3,289
Outside NYC	Greene	Total	7,822	13,706	1,579	857	117	103	3	0	0	8,406	32,593
Outside NYC	Hamilton	Active	957	2,866	145	39	7	5	0	0	0	475	4,494
Outside NYC	Hamilton	Inactive	109	331	22	7	0	5	0	0	0	83	557
Outside NYC	Hamilton	Total	1,066	3,197	167	46	7	10	0	0	0	558	5,051
Outside NYC	Herkimer	Active	10,645	19,428	1,862	494	99	76	2	0	0	6,154	38,760
Outside NYC	Herkimer	Inactive	811	1,223	226	29	27	14	0	0	0	790	3,120
Outside NYC	Herkimer	Total	11,456	20,651	2,088	523	126	90	2	0	0	6,944	41,880
Outside NYC	Jefferson	Active	16,611	25,066	2,599	710	166	105	5	0	0	11,206	56,468
Outside NYC	Jefferson	Inactive	1,931	2,570	392	73	41	23	1	0	0	2,174	7,205
Outside NYC	Jefferson	Total	18,542	27,636	2,991	783	207	128	6	0	0	13,380	63,673
Outside NYC	Lewis	Active	4,510	9,207	608	232	47	31	4	0	1	2,352	16,992
Outside NYC	Lewis	Inactive	135	221	45	6	2	1	1	0	0	127	538
Outside NYC	Lewis	Total	4,645	9,428	653	238	49	32	5	0	1	2,479	17,530
Outside NYC	Livingston	Active	11,001	17,337	1,564	731	122	130	13	0	0	8,138	39,036
Outside NYC	Livingston	Inactive	948	1,120	212	51	19	31	3	0	0	1,015	3,399
Outside NYC	Livingston	Total	11,949	18,457	1,776	782	141	161	16	0	0	9,153	42,435
Outside NYC	Madison	Active	11,115	16,964	2,280	771	173	147	5	0	0	8,707	40,162
Outside NYC	Madison	Inactive	1,181	1,493	334	75	33	28	1	0	0	1,308	4,453
Outside NYC	Madison	Total	12,296	18,457	2,614	846	206	175	6	0	0	10,015	44,615
Outside NYC	Monroe	Active	170,668	140,751	16,291	7,613	1,201	1,174	187	0	3	104,523	442,411
Outside NYC	Monroe	Inactive	13,952	6,824	1,184	401	171	205	9	0	0	8,600	31,346

Outside NYC	Monroe	Total	184,620	147,575	17,475	8,014	1,372	1,379	196	0	3	113,123	473,757
Outside NYC	Montgomery	Active	10,254	10,814	1,285	538	96	56	9	0	0	5,658	28,710
Outside NYC	Montgomery	Inactive	1,166	1,119	191	74	21	15	0	0	0	1,038	3,624
Outside NYC	Montgomery	Total	11,420	11,933	1,476	612	117	71	9	0	0	6,696	32,334
Outside NYC	Nassau	Active	331,847	332,152	24,460	9,313	1,881	1,232	0	0	0	197,155	898,040
Outside NYC	Nassau	Inactive	26,681	24,438	1,783	676	163	199	0	0	0	16,455	70,395
Outside NYC	Nassau	Total	358,528	356,590	26,243	9,989	2,044	1,431	0	0	0	213,610	968,435
Outside NYC	Niagara	Active	55,193	44,440	4,650	2,468	777	275	11	0	0	21,129	128,943
Outside NYC	Niagara	Inactive	5,486	4,033	708	260	143	72	1	0	0	3,261	13,964
Outside NYC	Niagara	Total	60,679	48,473	5,358	2,728	920	347	12	0	0	24,390	142,907
Outside NYC	Oneida	Active	44,801	51,013	5,831	1,550	379	174	1	1	0	23,041	126,791
Outside NYC	Oneida	Inactive	2,047	1,862	422	65	41	31	0	0	0	1,480	5,948
Outside NYC	Oneida	Total	46,848	52,875	6,253	1,615	420	205	1	1	0	24,521	132,739
Outside NYC	Onondaga	Active	101,126	92,821	11,947	4,527	1,234	833	85	0	0	72,347	284,920
Outside NYC	Onondaga	Inactive	10,141	7,285	1,386	340	220	267	13	0	0	8,774	28,426
Outside NYC	Onondaga	Total	111,267	100,106	13,333	4,867	1,454	1,100	98	0	0	81,121	313,346
Outside NYC	Ontario	Active	19,301	26,807	2,956	1,123	152	192	9	0	0	14,257	64,797
Outside NYC	Ontario	Inactive	2,026	2,507	427	130	38	55	0	0	0	2,135	7,318
Outside NYC	Ontario	Total	21,327	29,314	3,383	1,253	190	247	9	0	0	16,392	72,115
Outside NYC	Orange	Active	70,416	71,814	8,499	3,323	650	559	22	0	0	44,618	199,901
Outside NYC	Orange	Inactive	7,560	7,044	1,002	323	82	107	1	0	0	5,619	21,738
Outside NYC	Orange	Total	77,976	78,858	9,501	3,646	732	666	23	0	0	50,237	221,639
Outside NYC	Orleans	Active	6,057	11,399	923	477	132	58	5	0	0	5,219	24,270
Outside NYC	Orleans	Inactive	479	713	80	30	11	5	0	0	0	553	1,871
Outside NYC	Orleans	Total	6,536	12,112	1,003	507	143	63	5	0	0	5,772	26,141
Outside NYC	Oswego	Active	18,715	35,600	3,210	1,597	325	137	14	0	0	14,730	74,328
Outside NYC	Oswego	Inactive	2,034	3,126	431	170	51	46	2	0	0	2,360	8,220
Outside NYC	Oswego	Total	20,749	38,726	3,641	1,767	376	183	16	0	0	17,090	82,548
Outside NYC	Otsego	Active	10,671	13,947	1,683	439	109	145	0	0	0	6,835	33,829
Outside NYC	Otsego	Inactive	1,293	1,203	273	26	28	54	0	0	0	1,192	4,069
Outside NYC	Otsego	Total	11,964	15,150	1,956	465	137	199	0	0	0	8,027	37,898
Outside NYC	Putnam	Active	17,260	21,473	2,739	2,033	150	147	14	0	0	14,975	58,791
Outside NYC	Putnam	Inactive	1,807	2,175	336	201	16	39	0	0	0	1,931	6,505
Outside NYC	Putnam	Total	19,067	23,648	3,075	2,234	166	186	14	0	0	16,906	65,296
Outside NYC	Rensselaer	Active	26,993	26,205	5,782	4,498	1,026	278	34	0	1	29,657	94,474
Outside NYC	Rensselaer	Inactive	5,028	3,655	1,062	608	299	129	6	0	1	5,362	16,150
Outside NYC	Rensselaer	Total	32,021	29,860	6,844	5,106	1,325	407	40	0	2	35,019	110,624
Outside NYC	Rockland	Active	78,665	41,946	5,392	3,406	410	244	2	0	0	39,453	169,518
Outside NYC	Rockland	Inactive	8,636	4,542	649	310	72	60	1	0	0	5,221	19,491
Outside NYC	Rockland	Total	87,301	46,488	6,041	3,716	482	304	3	0	0	44,674	189,009
Outside NYC	Saratoga	Active	37,264	65,683	7,176	1,932	374	404	43	0	0	34,976	147,852

Outside NYC	Saratoga	Inactive	3,404	4,891	734	146	28	105	4	0	0	3,571	12,883
Outside NYC	Saratoga	Total	40,668	70,574	7,910	2,078	402	509	47	0	0	38,547	160,735
Outside NYC	Schenectady	Active	34,803	27,818	4,315	2,775	607	196	4	0	0	21,955	92,473
Outside NYC	Schenectady	Inactive	2,903	2,061	429	175	63	52	0	0	0	2,219	7,902
Outside NYC	Schenectady	Total	37,706	29,879	4,744	2,950	670	248	4	0	0	24,174	100,375
Outside NYC	Schoharie	Active	4,933	7,596	963	345	56	65	7	0	0	4,174	18,139
Outside NYC	Schoharie	Inactive	355	458	109	22	16	12	0	0	0	472	1,444
Outside NYC	Schoharie	Total	5,288	8,054	1,072	367	72	77	7	0	0	4,646	19,583
Outside NYC	Schuyler	Active	3,378	4,916	541	180	63	70	3	0	0	2,357	11,508
Outside NYC	Schuyler	Inactive	388	533	71	25	20	19	0	0	0	473	1,529
Outside NYC	Schuyler	Total	3,766	5,449	612	205	83	89	3	0	0	2,830	13,037
Outside NYC	Seneca	Active	6,476	8,262	748	420	90	51	4	0	0	3,776	19,827
Outside NYC	Seneca	Inactive	324	374	39	28	8	13	0	0	0	376	1,162
Outside NYC	Seneca	Total	6,800	8,636	787	448	98	64	4	0	0	4,152	20,989
Outside NYC	St.Lawrence	Active	22,447	22,641	2,714	696	217	171	16	0	0	11,604	60,506
Outside NYC	St.Lawrence	Inactive	1,596	1,520	300	43	27	41	1	0	0	1,454	4,982
Outside NYC	St.Lawrence	Total	24,043	24,161	3,014	739	244	212	17	0	0	13,058	65,488
Outside NYC	Steuben	Active	15,376	30,863	2,472	788	199	168	12	0	0	9,095	58,973
Outside NYC	Steuben	Inactive	682	1,079	120	30	29	11	1	0	0	688	2,640
Outside NYC	Steuben	Total	16,058	31,942	2,592	818	228	179	13	0	0	9,783	61,613
Outside NYC	Suffolk	Active	278,357	309,780	31,438	19,751	3,369	1,785	177	0	3	234,168	878,828
Outside NYC	Suffolk	Inactive	24,590	22,200	2,690	1,450	344	247	20	0	0	20,728	72,269
Outside NYC	Suffolk	Total	302,947	331,980	34,128	21,201	3,713	2,032	197	0	3	254,896	951,097
Outside NYC	Sullivan	Active	18,129	13,761	1,832	949	187	179	5	0	0	11,218	46,260
Outside NYC	Sullivan	Inactive	5,864	3,779	389	264	33	65	3	0	0	4,466	14,863
Outside NYC	Sullivan	Total	23,993	17,540	2,221	1,213	220	244	8	0	0	15,684	61,123
Outside NYC	Tioga	Active	8,703	14,872	1,398	354	119	85	6	0	0	5,889	31,426
Outside NYC	Tioga	Inactive	649	997	151	31	15	20	2	0	0	667	2,532
Outside NYC	Tioga	Total	9,352	15,869	1,549	385	134	105	8	0	0	6,556	33,958
Outside NYC	Tompkins	Active	24,709	14,133	2,024	313	185	633	20	0	0	12,009	54,026
Outside NYC	Tompkins	Inactive	4,892	1,997	475	52	50	283	11	0	0	3,429	11,189
Outside NYC	Tompkins	Total	29,601	16,130	2,499	365	235	916	31	0	0	15,438	65,215
Outside NYC	Ulster	Active	37,785	30,822	4,495	2,524	449	831	48	0	0	35,192	112,146
Outside NYC	Ulster	Inactive	5,385	3,423	729	216	88	279	8	0	0	5,369	15,497
Outside NYC	Ulster	Total	43,170	34,245	5,224	2,740	537	1,110	56	0	0	40,561	127,643
Outside NYC	Warren	Active	10,021	20,925	1,953	532	127	148	5	0	0	7,860	41,571
Outside NYC	Warren	Inactive	981	1,551	264	56	32	45	2	0	0	1,024	3,955
Outside NYC	Warren	Total	11,002	22,476	2,217	588	159	193	7	0	0	8,884	45,526
Outside NYC	Washington	Active	8,942	16,539	1,931	558	169	127	2	0	0	7,483	35,751
Outside NYC	Washington	Inactive	765	1,169	186	45	21	24	0	0	0	1,005	3,215
Outside NYC	Washington	Total	9,707	17,708	2,117	603	190	151	2	0	0	8,488	38,966

Outside NYC	Wayne	Active	13,964	23,754	2,405	1,307	202	132	14	0	0	13,347	55,125
Outside NYC	Wayne	Inactive	1,000	1,246	222	86	39	13	1	0	0	1,272	3,879
Outside NYC	Wayne	Total	14,964	25,000	2,627	1,393	241	145	15	0	0	14,619	59,004
Outside NYC	Westchester	Active	245,752	139,097	18,992	8,798	1,317	812	29	0	2	126,594	541,393
Outside NYC	Westchester	Inactive	46,213	28,153	3,818	1,870	390	354	0	0	1	27,896	108,695
Outside NYC	Westchester	Total	291,965	167,250	22,810	10,668	1,707	1,166	29	0	3	154,490	650,088
Outside NYC	Wyoming	Active	6,354	11,512	985	450	89	15	0	0	0	4,886	24,291
Outside NYC	Wyoming	Inactive	374	548	70	21	6	2	0	0	0	494	1,515
Outside NYC	Wyoming	Total	6,728	12,060	1,055	471	95	17	0	0	0	5,380	25,806
Outside NYC	Yates	Active	3,359	6,776	485	212	52	39	1	0	0	2,116	13,040
Outside NYC	Yates	Inactive	424	781	115	24	10	8	0	0	0	515	1,877
Outside NYC	Yates	Total	3,783	7,557	600	236	62	47	1	0	0	2,631	14,917
Outside NYC Total		Active	2,459,318	2,315,284	261,158	118,272	23,309	16,586	1,159	1	19	1,501,471	6,696,577
Outside NYC Total		Inactive	268,180	205,475	31,552	11,492	3,741	4,160	131	0	3	195,069	719,803
Outside NYC Total		Total	2,727,498	2,520,759	292,710	129,764	27,050	20,746	1,290	1	22	1,696,540	7,416,380
Within NYC	Bronx	Active	476,111	44,617	11,968	3,109	2,395	348	14	0	0	90,385	628,947
Within NYC	Bronx	Inactive	63,865	6,840	2,418	479	365	95	1	0	0	14,947	89,010
Within NYC	Bronx	Total	539,976	51,457	14,386	3,588	2,760	443	15	0	0	105,332	717,957
Within NYC	Kings	Active	876,385	116,985	25,081	4,299	4,239	2,411	68	1	0	212,564	1,242,033
Within NYC	Kings	Inactive	104,376	14,465	3,731	579	531	767	7	0	0	28,448	152,904
Within NYC	Kings	Total	980,761	131,450	28,812	4,878	4,770	3,178	75	1	0	241,012	1,394,937
Within NYC	New York	Active	668,998	101,731	26,375	1,802	1,700	2,074	107	0	0	177,414	980,201
Within NYC	New York	Inactive	96,750	20,967	5,350	359	279	785	11	0	0	32,390	156,891
Within NYC	New York	Total	765,748	122,698	31,725	2,161	1,979	2,859	118	0	0	209,804	1,137,092
Within NYC	Queens	Active	649,411	136,013	22,337	5,906	2,731	1,271	31	0	0	199,425	1,017,125
Within NYC	Queens	Inactive	44,199	10,593	1,866	441	238	179	0	0	0	15,779	73,295
Within NYC	Queens	Total	693,610	146,606	24,203	6,347	2,969	1,450	31	0	0	215,204	1,090,420
Within NYC	Richmond	Active	113,737	75,233	6,841	3,992	915	276	16	0	0	50,607	251,617
Within NYC	Richmond	Inactive	10,115	6,317	801	333	117	31	0	0	0	5,195	22,909
Within NYC	Richmond	Total	123,852	81,550	7,642	4,325	1,032	307	16	0	0	55,802	274,526
Within NYC Total		Active	2,784,642	474,579	92,602	19,108	11,980	6,380	236	1	0	730,395	4,119,923
Within NYC Total		Inactive	319,305	59,182	14,166	2,191	1,530	1,857	19	0	0	96,759	495,009
Within NYC Total		Total	3,103,947	533,761	106,768	21,299	13,510	8,237	255	1	0	827,154	4,614,932
Statewide Total		Active	5,243,960	2,789,863	353,760	137,380	35,289	22,966	1,395	2	19	2,231,866	10,816,500
Statewide Total		Inactive	587,485	264,657	45,718	13,683	5,271	6,017	150	0	3	291,828	1,214,812
Statewide Total		Total	5,831,445	3,054,520	399,478	151,063	40,560	28,983	1,545	2	22	2,523,694	12,031,312